

FORM PTO-1390 (Modified) (REV 11-2000)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER <b>PU3318USw</b>
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371				U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR <b>09/980680</b>
INTERNATIONAL APPLICATION NO. <b>PCT/US00/13134</b>	INTERNATIONAL FILING DATE <b>May 12, 2000</b>	PRIORITY DATE CLAIMED <b>14 May 1999</b>		
TITLE OF INVENTION <b>SHORT-ACTING BENZODIAZEPINES</b>				
APPLICANT(S) FOR DO/EO/US <b>FELDMAN, Paul L.; JUNG, David Kendall; KALDOR, Istvan; PACOFSKY, Gregory J.; STAFFORD, Jeffrey A.; TIDWELL, Jeffrey H.</b>				
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:				
1.	<input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.			
2.	<input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.			
3.	<input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.			
4.	<input type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (Article 31).			
5.	<input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371 (c) (2)) a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input checked="" type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).			
6.	<input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). a. <input type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).			
7.	<input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input checked="" type="checkbox"/> have not been made and will not be made.			
8.	<input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).			
9.	<input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).			
10.	<input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).			
11.	<input checked="" type="checkbox"/> A copy of the International Preliminary Examination Report (PCT/IPEA/409).			
12.	<input checked="" type="checkbox"/> A copy of the International Search Report (PCT/ISA/210).			
Items 13 to 20 below concern document(s) or information included:				
13.	<input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.			
14.	<input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.			
15.	<input checked="" type="checkbox"/> A <b>FIRST</b> preliminary amendment.			
16.	<input type="checkbox"/> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment.			
17.	<input type="checkbox"/> A substitute specification.			
18.	<input type="checkbox"/> A change of power of attorney and/or address letter.			
19.	<input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.			
20.	<input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).			
21.	<input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).			
22.	<input checked="" type="checkbox"/> Certificate of Mailing by Express Mail			
23.	<input checked="" type="checkbox"/> Other items or information:			
<b>PCT Request</b> <b>PCT Publication Cover Sheet</b>				

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR  
**09/980680**INTERNATIONAL APPLICATION NO.  
PCT/US00/13134ATTORNEY'S DOCKET NUMBER  
PU3318USw

24. The following fees are submitted:

**BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) :**

<input type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO .....	\$1040.00
<input checked="" type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO .....	\$890.00
<input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO .....	\$740.00
<input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) .....	\$710.00
<input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) .....	\$100.00

**CALCULATIONS PTO USE ONLY****ENTER APPROPRIATE BASIC FEE AMOUNT =****\$890.00**Surcharge of **\$130.00** for furnishing the oath or declaration later than  
months from the earliest claimed priority date (37 CFR 1.492 (c)). 20     30**\$0.00**

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	
Total claims	32 - 20 =	12	x \$18.00	<b>\$216.00</b>
Independent claims	5 - 3 =	2	x \$84.00	<b>\$168.00</b>
Multiple Dependent Claims (check if applicable).			<input type="checkbox"/>	<b>\$0.00</b>
<b>TOTAL OF ABOVE CALCULATIONS</b>		=		<b>\$1,274.00</b>
Applicant claims small entity status. See 37 CFR 1.27). The fees indicated above are reduced by 1/2.				<b>\$0.00</b>
			<b>SUBTOTAL</b>	<b>\$1,274.00</b>
Processing fee of <b>\$130.00</b> for furnishing the English translation later than months from the earliest claimed priority date (37 CFR 1.492 (f)).			<input type="checkbox"/> 20 <input type="checkbox"/> 30	<b>\$0.00</b>
			+ <input type="checkbox"/>	
<b>TOTAL NATIONAL FEE</b>		=		<b>\$1,274.00</b>
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable).			<input type="checkbox"/>	<b>\$0.00</b>
			<b>TOTAL FEES ENCLOSED</b>	<b>\$1,274.00</b>
			Amount to be: refunded	\$
			charged	\$

- A check in the amount of \_\_\_\_\_ to cover the above fees is enclosed.
- Please charge my Deposit Account No. 07-1392 in the amount of \$1,274.00 to cover the above fees. A duplicate copy of this sheet is enclosed.
- The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 07-1392 A duplicate copy of this sheet is enclosed.
- Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:



23347

PATENT TRADEMARK OFFICE

  
SIGNATURE

LORIE ANN MORGAN

NAME

38,181

REGISTRATION NUMBER

31 Oct 01

DATE

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application: P.L. Feldman, et al.

Serial No.: To be Assigned

Examiner: To be Assigned

Filing Date: Concurrently Herewith

Art Unit: To be Assigned

For: SHORT-ACTING BENZODIAZEPINES

Commissioner of Patents

Washington, D.C. 20231

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**PRELIMINARY AMENDMENT**

Sir:

Prior to examination on the merits, please amend and consider the instant application in view of the following amendments and remarks.

**AMENDMENTS****In the Abstract:**

Please substitute the abstract provided herewith on a separate page.

**In the Specification:**

Please make the following amendments to the specification. For convenience, the following are paragraphs in the specification as they appear after making the requested changes. Please note that marked-up copies of the paragraphs showing the amendments to the specification are attached hereto.

At page 1, line 2, please insert:

--Cross References to Related Applications

This application is a Rule 371 Application of PCT Application No.

US00/13134, filed 12 May 2000, which claims priority to GB application Serial No. 9911152.8, filed 14 May 1999.--

Please delete the paragraph on page 5, lines 9-20, and substitute the following therefor:

--W is H;

X is CH<sub>2</sub> or NH; n is 1;

Y is CH<sub>2</sub>; m is 0 or 1, provided that if X is CH<sub>2</sub>, n is 1 and m is 0, then R<sup>1</sup> is not CH<sub>2</sub>CH<sub>3</sub>;

Z is O; p is 0 or 1;

R<sup>1</sup> is H, CH<sub>3</sub>, CH<sub>2</sub>CH<sub>3</sub>, (CH<sub>2</sub>)<sub>2</sub>CH<sub>3</sub>, (CH<sub>2</sub>)<sub>3</sub>CH<sub>3</sub>, CH(CH<sub>3</sub>)<sub>2</sub>, CH<sub>2</sub>CH(CH<sub>3</sub>)<sub>2</sub>, C(CH<sub>3</sub>)<sub>3</sub>, benzyl, 4-pyridylmethyl or 3-pyridylmethyl;

R<sup>2</sup> is phenyl, 2-fluorophenyl, 2-chlorophenyl or 2-pyridyl;

R<sup>3</sup> is Cl, Br or NO<sub>2</sub>;

R<sup>4</sup> is H, CH<sub>3</sub> or CH<sub>2</sub>CH<sub>2</sub>N(CH<sub>2</sub>CH<sub>3</sub>)<sub>2</sub>;

R<sup>5</sup> and R<sup>6</sup> together are O or S; or

pharmaceutically acceptable salts and solvates thereof.—

Please delete the paragraph beginning on page 5, line 22 and continuing to page 6, line 9 and substitute the following therefor:

--W is H;

X is CH<sub>2</sub> or NH; n is 1;

Y is CH<sub>2</sub>; m is 1;

p is 0;

R<sup>1</sup> is H, CH<sub>3</sub>, CH<sub>2</sub>CH<sub>3</sub>, (CH<sub>2</sub>)<sub>2</sub>CH<sub>3</sub>, (CH<sub>2</sub>)<sub>3</sub>CH<sub>3</sub>, CH(CH<sub>3</sub>)<sub>2</sub>, CH<sub>2</sub>CH(CH<sub>3</sub>)<sub>2</sub>, C(CH<sub>3</sub>)<sub>3</sub>, benzyl, 4-pyridylmethyl or 3-pyridylmethyl; provided that if R<sup>1</sup> is 3-pyridylmethyl or 4-pyridylmethyl, then X is CH<sub>2</sub>, n is 1, Y is CH<sub>2</sub>, m is 0 or 1,

R<sup>2</sup> is 2-fluorophenyl, R<sup>3</sup> is Cl, R<sup>4</sup> is H and R<sup>5</sup> and R<sup>6</sup> together is oxygen;

R<sup>2</sup> is phenyl, 2-fluorophenyl, 2-chlorophenyl or 2-pyridyl,

R<sup>3</sup> is Cl, Br or NO<sub>2</sub>;

R<sup>4</sup> is H, CH<sub>3</sub> or CH<sub>2</sub>CH<sub>2</sub>N(CH<sub>2</sub>CH<sub>3</sub>)<sub>2</sub>; provided that when R<sup>4</sup> is CH<sub>2</sub>CH<sub>2</sub>N(CH<sub>2</sub>CH<sub>3</sub>)<sub>2</sub>, then X is CH<sub>2</sub>, n is 1, Y is CH<sub>2</sub>, m is 1, R<sup>1</sup> is CH<sub>3</sub> or benzyl, R<sup>2</sup> is 2-fluorophenyl, R<sup>3</sup> is Cl and R<sup>5</sup> and R<sup>6</sup> together represent O;

R<sup>5</sup> and R<sup>6</sup> together are O or S; or

pharmaceutically acceptable salts and solvates thereof...

Please delete the paragraph on page 6, lines 12-23 and substitute the following therefor:

--W is H;

X is CH<sub>2</sub> or NH; n is 1;

Y is CH<sub>2</sub>; m is 0 or 1, provided that if X is CH<sub>2</sub> and m is 0, then R<sup>1</sup> is not CH<sub>2</sub>CH<sub>3</sub>;

p is 0;

R<sup>1</sup> is CH<sub>3</sub>, CH<sub>2</sub>CH<sub>3</sub>, (CH<sub>2</sub>)<sub>2</sub>CH<sub>3</sub>, (CH<sub>2</sub>)<sub>3</sub>CH<sub>3</sub>, CH(CH<sub>3</sub>)<sub>2</sub>, CH<sub>2</sub>CH(CH<sub>3</sub>)<sub>2</sub>, C(CH<sub>3</sub>)<sub>3</sub>, benzyl or 4-pyridylmethyl;

R<sup>2</sup> is 2-fluorophenyl, 2-chlorophenyl or 2-pyridyl,

R<sup>3</sup> is Cl, Br or NO<sub>2</sub>;

R<sup>4</sup> is H, CH<sub>3</sub> or CH<sub>2</sub>CH<sub>2</sub>N(CH<sub>2</sub>CH<sub>3</sub>)<sub>2</sub>;

R<sup>5</sup> and R<sup>6</sup> together are O or S; or

pharmaceutically acceptable salts and solvates thereof.--

Please delete the paragraph beginning on page 6, line 25 and continuing to page 7, line 9 and substitute the following therefor:

--W is H;

X is CH<sub>2</sub> or NH; n is 1;

Y is CH<sub>2</sub>; m is 0 or 1, provided that if X is CH<sub>2</sub> and m is 0, then R<sup>1</sup> is not CH<sub>2</sub>CH<sub>3</sub>;

p is 0;

R<sup>1</sup> is CH<sub>3</sub>, CH<sub>2</sub>CH<sub>3</sub>, (CH<sub>2</sub>)<sub>2</sub>CH<sub>3</sub>, (CH<sub>2</sub>)<sub>3</sub>CH<sub>3</sub>, CH(CH<sub>3</sub>)<sub>2</sub>, CH<sub>2</sub>CH(CH<sub>3</sub>)<sub>2</sub>, C(CH<sub>3</sub>)<sub>3</sub>, benzyl or 4-pyridylmethyl; provided that when R<sup>1</sup> is 4-pyridylmethyl, X is CH<sub>2</sub>, Y is CH<sub>2</sub>, m is 1, R<sup>2</sup> is 2-fluorophenyl, R<sup>3</sup> is Cl, R<sup>4</sup> is H and R<sup>5</sup> and R<sup>6</sup> together represent oxygen;

R<sup>2</sup> is 2-fluorophenyl, 2-chlorophenyl or 2-pyridyl,

R<sup>3</sup> is Cl, Br or NO<sub>2</sub>;

R<sup>4</sup> is H, CH<sub>3</sub> or CH<sub>2</sub>CH<sub>2</sub>N(CH<sub>2</sub>CH<sub>3</sub>)<sub>2</sub>; provided that when R<sup>4</sup> is CH<sub>2</sub>CH<sub>2</sub>N(CH<sub>2</sub>CH<sub>3</sub>)<sub>2</sub>, then X is CH<sub>2</sub>, Y is CH<sub>2</sub>, m is 1, R<sup>1</sup> is CH<sub>3</sub> or benzyl, R<sup>2</sup> is 2-fluorophenyl, R<sup>3</sup> is Cl and R<sup>5</sup> and R<sup>6</sup> together represent O;

R<sup>5</sup> and R<sup>6</sup> together represent O or S; or

pharmaceutically acceptable salts and solvates thereof.--

Please delete the paragraph on page 3, lines 5-25 and substitute the following therefor:

--wherein

W is H or C<sub>1</sub>-C<sub>4</sub> branched alkyl or a straight chained alkyl;

X is CH<sub>2</sub>, NH, or NCH<sub>3</sub>; n is 1 or 2;

Y is O, CH<sub>2</sub>; m is 0 or 1, provided that if X is CH<sub>2</sub>, n is 1 and m is 0, then R<sup>1</sup> is not CH<sub>2</sub>CH<sub>3</sub>;

Z is O; p is 0 or 1;

R<sup>1</sup> is H, a C<sub>1</sub>-C<sub>7</sub> straight chain alkyl, a C<sub>3</sub>-C<sub>7</sub> branched chain alkyl, a C<sub>1</sub>-C<sub>4</sub> haloalkyl, a C<sub>3</sub>-C<sub>7</sub> cycloalkyl, an aryl, a heteroaryl, an aralkyl, or a heteroaralkyl;

R<sup>2</sup> is phenyl, 2-halophenyl or 2-pyridyl,

R<sup>3</sup> is H, Cl, Br, F, I, CF<sub>3</sub> or NO<sub>2</sub>;

(1) R<sup>4</sup> is H, C<sub>1</sub>-C<sub>4</sub> alkyl, or dialkylaminoalkyl and R<sup>5</sup> and R<sup>6</sup> together represent a single oxygen or S atom which is linked to the diazepine ring by a double bond and p is zero or 1 (as depicted in formula 1a); or (2) R<sup>4</sup> and R<sup>5</sup> together form a double bond in the diazepine ring and R<sup>6</sup> represents the group NHR<sup>7</sup> wherein R<sup>7</sup> is H, C<sub>1-4</sub> alkyl, C<sub>1-4</sub> hydroxyalkyl, pyridylC<sub>1-2</sub>alkyl, imidazolylC<sub>1-2</sub>alkyl, benzyl or benzyl mono or disubstituted independently with halogen substituents, C<sub>1-4</sub>alkylpyridyl or C<sub>1-4</sub> alkylimidazolyl and p is zero (as depicted in formula 1b);

or (3) R<sup>4</sup>, and R<sup>6</sup> form the group -CR<sup>8</sup>=U-V= wherein R<sup>8</sup> is hydrogen, C<sub>1-4</sub> alkyl, or C<sub>1-3</sub> hydroxyalkyl, U is N or CR<sup>9</sup> wherein R<sup>9</sup> is H, C<sub>1-4</sub>alkyl, C<sub>1-3</sub>hydroxyalkyl or C<sub>1-4</sub>alkoxy- C<sub>1-4</sub>alkyl, V is N or CH and p is zero (as depicted in formula 1c);

or pharmaceutically acceptable salts and or solvates thereof.--

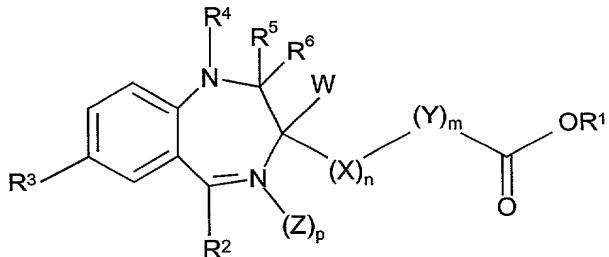
In the Claims:

Please cancel claims 18, 21-23, and 27. Claim 18 is rewritten as new Claim 31. Claim 27 is rewritten as new Claim 37.

Please amend the claims as follows. The following clean claims reflect the amendments being made herein. Although claims 10, 11, 14, 16, 17, and 28-

30 are not amended herein, they are included in the following to provide a complete set of claims as pending after the instant amendment. A marked-up copy of the amended claims is attached hereto.

1. (Amended) A compound of formula (I):



Formula (I)

wherein

W is H, a C<sub>1</sub>-C<sub>4</sub> branched alkyl, or straight chained alkyl;

X is CH<sub>2</sub>, NH or NCH<sub>3</sub>; n is 1 or 2;

Y is O or CH<sub>2</sub>; m is 0 or 1, provided that if X is CH<sub>2</sub>, n is 1 and m is 0, then R<sup>1</sup> is not CH<sub>2</sub>CH<sub>3</sub>;

Z is O; p is 0 or 1;

R<sup>1</sup> is H, a C<sub>1</sub>-C<sub>7</sub> straight chain alkyl, a C<sub>3</sub>-C<sub>7</sub> branched chain alkyl, a C<sub>1</sub>-C<sub>4</sub> haloalkyl, a C<sub>3</sub>-C<sub>7</sub> cycloalkyl, an aryl, a heteroaryl, an aralkyl, or a heteroaralkyl;

R<sup>2</sup> is phenyl, 2-halophenyl or 2-pyridyl,

R<sup>3</sup> is H, Cl, Br, F, I, CF<sub>3</sub> or NO<sub>2</sub>; and wherein

(1)  $R^4$  is H, a  $C_1$ - $C_4$  alkyl, or a dialkylaminoalkyl and  $R^5$  and  $R^6$  together represent a single oxygen or S atom which is linked to the diazepine ring by a double bond and p is zero or 1; or (2)  $R^4$  and  $R^5$  together is a double bond in the diazepine ring and  $R^6$  represents the group  $NHR^7$  wherein  $R^7$  is H,  $C_{1-4}$  alkyl,  $C_{1-4}$  hydroxyalkyl, pyridyl $C_{1-2}$ alkyl, imidazolyl $C_{1-2}$ alkyl, benzyl, benzyl mono or disubstituted independently with halogen substituents,  $C_{1-4}$ alkylpyridyl or  $C_{1-4}$  alkylimidazolyl and p is zero; or (3)  $R^4$ ,  $R^5$  and  $R^6$  form the group  $-CR^8=U-V=$  wherein  $R^8$  is hydrogen,  $C_{1-4}$  alkyl or  $C_{1-3}$  hydroxyalkyl, U is N or  $CR^9$  wherein  $R^9$  is H,  $C_{1-4}$ alkyl,  $C_{1-3}$ hydroxyalkyl or  $C_{1-4}$ alkoxy,  $C_{1-4}$ alkyl, V is N or CH and p is zero; and pharmaceutically acceptable salts or solvates thereof.

2. (Amended) A compound according to claim 1 wherein

W is H;

X is  $CH_2$  or NH; n is 1;

Y is  $CH_2$ ; m is 0 or 1, provided that if X is  $CH_2$ , n is 1 and m is 0, then  $R^1$  is not  $CH_2CH_3$ ;

Z is O; p is 0 or 1;

$R^1$  is H,  $CH_3$ ,  $CH_2CH_3$ ,  $(CH_2)_2CH_3$ ,  $(CH_2)_3CH_3$ ,  $CH(CH_3)_2$ ,  $CH_2CH(CH_3)_2$ ,  $C(CH_3)_3$ , benzyl, 4-pyridylmethyl or 3-pyridylmethyl;

$R^2$  is phenyl, 2-fluorophenyl, 2-chlorophenyl or 2-pyridyl;

$R^3$  is Cl, Br or  $NO_2$ ;

$R^4$  is H,  $CH_3$  or  $CH_2CH_2N(CH_2CH_3)_2$ ;

$R^5$  and  $R^6$  together are either O or S; and

pharmaceutically acceptable salts or solvates thereof.

3. (Amended) A compound according to claim 1 wherein

W is H;

X is  $CH_2$  or NH; n is 1;

Y is  $CH_2$ ; m is 1;

p is 0;

$R^1$  is H,  $CH_3$ ,  $CH_2CH_3$ ,  $(CH_2)_2CH_3$ ,  $(CH_2)_3CH_3$ ,  $CH(CH_3)_2$ ,  $CH_2CH(CH_3)_2$ ,  $C(CH_3)_3$ , benzyl, 4-pyridylmethyl or 3-pyridylmethyl; provided that if  $R^1$  is 3-

pyridylmethyl or 4-pyridylmethyl, then X is CH<sub>2</sub>, n is 1, Y is CH<sub>2</sub>, m is 0 or 1, R<sup>2</sup> is 2-fluorophenyl, R<sup>3</sup> is Cl, R<sup>4</sup> is H and R<sup>5</sup> and R<sup>6</sup> together are O; R<sup>2</sup> is phenyl, 2-fluorophenyl, 2-chlorophenyl or 2-pyridyl, R<sup>3</sup> is Cl, Br or NO<sub>2</sub>; R<sup>4</sup> is H, CH<sub>3</sub> or CH<sub>2</sub>CH<sub>2</sub>N(CH<sub>2</sub>CH<sub>3</sub>)<sub>2</sub>; provided that when R<sup>4</sup> is CH<sub>2</sub>CH<sub>2</sub>N(CH<sub>2</sub>CH<sub>3</sub>)<sub>2</sub>, X is CH<sub>2</sub>, n is 1, Y is CH<sub>2</sub>, m is 1, R<sup>1</sup> is CH<sub>3</sub> or benzyl, R<sup>2</sup> is 2-fluorophenyl, R<sup>3</sup> is Cl and R<sup>5</sup> and R<sup>6</sup> together is O; R<sup>5</sup> and R<sup>6</sup> together are O or S; and pharmaceutically acceptable salts or solvates thereof.

4. (Amended) A compound according to claim 1 wherein

W is H;  
X is CH<sub>2</sub> or NH; n is 1;  
Y is CH<sub>2</sub>; m is 0 or 1, provided that if X is CH<sub>2</sub> and m is 0, then R<sup>1</sup> is not CH<sub>2</sub>CH<sub>3</sub>;  
p is 0;  
R<sup>1</sup> is CH<sub>3</sub>, CH<sub>2</sub>CH<sub>3</sub>, (CH<sub>2</sub>)<sub>2</sub>CH<sub>3</sub>, (CH<sub>2</sub>)<sub>3</sub>CH<sub>3</sub>, CH(CH<sub>3</sub>)<sub>2</sub>, CH<sub>2</sub>CH(CH<sub>3</sub>)<sub>2</sub>, C(CH<sub>3</sub>)<sub>3</sub>, benzyl or 4-pyridylmethyl;  
R<sup>2</sup> is 2-fluorophenyl, 2-chlorophenyl or 2-pyridyl,  
R<sup>3</sup> is Cl, Br or NO<sub>2</sub>;  
R<sup>4</sup> is H, CH<sub>3</sub> or CH<sub>2</sub>CH<sub>2</sub>N(CH<sub>2</sub>CH<sub>3</sub>)<sub>2</sub>;  
R<sup>5</sup> and R<sup>6</sup> together are O or S; and pharmaceutically acceptable salts or solvates thereof.

5. (Amended) A compound according to claim 1 wherein

W is H;  
X is CH<sub>2</sub> or NH; n is 1;  
Y is CH<sub>2</sub>; m is 0 or 1, provided that if X is CH<sub>2</sub> and m is 0, then R<sup>1</sup> is not CH<sub>2</sub>CH<sub>3</sub>;  
p is 0;  
R<sup>1</sup> is CH<sub>3</sub>, CH<sub>2</sub>CH<sub>3</sub>, (CH<sub>2</sub>)<sub>2</sub>CH<sub>3</sub>, (CH<sub>2</sub>)<sub>3</sub>CH<sub>3</sub>, CH(CH<sub>3</sub>)<sub>2</sub>, CH<sub>2</sub>CH(CH<sub>3</sub>)<sub>2</sub>, C(CH<sub>3</sub>)<sub>3</sub>, benzyl or 4-pyridylmethyl; provided that when R<sup>1</sup> is 4-pyridylmethyl, then X is

CH<sub>2</sub>, n is 1, Y is CH<sub>2</sub>, m is 1, R<sup>2</sup> is 2-fluorophenyl, R<sup>3</sup> is Cl, R<sup>4</sup> is H and R<sup>5</sup> and R<sup>6</sup> together are O;  
 R<sup>2</sup> is 2-fluorophenyl, 2-chlorophenyl or 2-pyridyl,  
 R<sup>3</sup> is Cl, Br or NO<sub>2</sub>;  
 R<sup>4</sup> is H, CH<sub>3</sub> or CH<sub>2</sub>CH<sub>2</sub>N(CH<sub>2</sub>CH<sub>3</sub>)<sub>2</sub>; provided that when R<sup>4</sup> is CH<sub>2</sub>CH<sub>2</sub>N(CH<sub>2</sub>CH<sub>3</sub>)<sub>2</sub>, X is CH<sub>2</sub>, n is 1, Y is CH<sub>2</sub>, m is 1, R<sup>1</sup> is CH<sub>3</sub> or benzyl, R<sup>2</sup> is 2-fluorophenyl, R<sup>3</sup> is Cl and R<sup>5</sup> and R<sup>6</sup> together are O;  
 R<sup>5</sup> and R<sup>6</sup> together are O or S; and  
 pharmaceutically acceptable salts or solvates thereof.

6. (Amended) A compound according to claim 1 wherein W is H and X, n, Y, m, Z, p and R<sup>1-6</sup> for each compound are as follows:

X	n	Y	m	Z	p	R <sup>1</sup>	R <sup>2</sup>	R <sup>3</sup>	R <sup>4</sup>	R <sup>5</sup> R <sup>6</sup>
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	CH <sub>3</sub>	2-fluorophenyl	Cl	H	O
CH <sub>2</sub>	1	--	0	--	0	CH <sub>3</sub>	2-fluorophenyl	Cl	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	CH <sub>3</sub>	2-fluorophenyl	Br	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	benzyl	2-fluorophenyl	Cl	H	O
CH <sub>2</sub>	1	--	0	--	0	benzyl	2-fluorophenyl	Cl	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	CH <sub>3</sub>	2-chlorophenyl	Cl	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	2	--	0	CH <sub>3</sub>	2-fluorophenyl	Cl	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	benzyl	2-pyridyl	Cl	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	CH <sub>3</sub>	2-pyridyl	Br	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	CH <sub>3</sub>	2-pyridyl	Cl	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	2	--	0	C(CH <sub>3</sub> ) <sub>3</sub>	2-fluorophenyl	Cl	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	CH <sub>3</sub>	2-fluorophenyl	NO <sub>2</sub>	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	(CH <sub>2</sub> ) <sub>2</sub> CH <sub>3</sub>	2-pyridyl	Cl	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	CH <sub>2</sub> CH <sub>3</sub>	2-pyridyl	Cl	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	4-pyridylmethyl	2-fluorophenyl	Cl	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	(CH <sub>2</sub> ) <sub>3</sub> CH <sub>3</sub>	2-fluorophenyl	Cl	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	(CH <sub>2</sub> ) <sub>3</sub> CH <sub>3</sub>	2-pyridyl	Cl	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	CH <sub>2</sub> CH(CH <sub>3</sub> ) <sub>2</sub>	2-pyridyl	Cl	H	O
CH <sub>2</sub>	1	--	0	--	0	CH <sub>2</sub> CH <sub>3</sub>	2-fluorophenyl	Cl	H	O

CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	CH(CH <sub>3</sub> ) <sub>2</sub>	2-fluorophenyl	Cl	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	CH <sub>3</sub>	2-fluorophenyl	Cl	CH <sub>2</sub> CH <sub>2</sub> N(CH <sub>2</sub> CH <sub>3</sub> ) <sub>2</sub>	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	CH <sub>3</sub>	2-fluorophenyl	Cl	CH <sub>3</sub>	O
CH <sub>2</sub>	1	--	0	--	0	benzyl	2-fluorophenyl	Cl	CH <sub>3</sub>	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	benzyl	2-fluorophenyl	Cl	CH <sub>2</sub> CH <sub>2</sub> N(CH <sub>2</sub> CH <sub>3</sub> ) <sub>2</sub>	O
NH	1	CH <sub>2</sub>	1	--	0	CH <sub>3</sub>	2-chlorophenyl	Cl	H	O
NH	1	CH <sub>2</sub>	2	--	0	CH <sub>3</sub>	2-chlorophenyl	Cl	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	CH <sub>3</sub>	2-fluorophenyl	Cl	H	S
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	CH <sub>3</sub>	2-chlorophenyl	Cl	H	S
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	CH <sub>3</sub>	2-pyridyl	Cl	H	S
CH <sub>2</sub>	1	CH <sub>2</sub>	1	O	1	CH <sub>3</sub>	2-fluorophenyl	Cl	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	benzyl	phenyl	NO <sub>2</sub>	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	CH <sub>3</sub>	2-fluorophenyl	H	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	CH <sub>3</sub>	2-pyridyl	NO <sub>2</sub>	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	benzyl	2-pyridyl	NO <sub>2</sub>	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	benzyl	2-fluorophenyl	H	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	CH <sub>3</sub>	phenyl	NO <sub>2</sub>	H	O
NH	1	CH <sub>2</sub>	2	--	0	(CH <sub>2</sub> ) <sub>3</sub> CH <sub>3</sub>	2-fluorophenyl	Cl	H	O
CH <sub>2</sub>	1	--	0	--	0	3-pyridylmethyl	2-fluorophenyl	Cl	H	O
CH <sub>2</sub>	1	--	0	--	0	4-pyridylmethyl	2-fluorophenyl	Cl	H	O.

7. (Amended) A compound according to claim 1 wherein W is H and X, n, Y, m, Z, p and R<sup>1-6</sup> for each compound are as follows:

X	n	Y	m	Z	p	R <sup>1</sup>	R <sup>2</sup>	R <sup>3</sup>	R <sup>4</sup>	R <sup>5</sup> R <sup>6</sup>
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	CH <sub>3</sub>	2-fluorophenyl	Cl	H	O
CH <sub>2</sub>	1	--	0	--	0	CH <sub>3</sub>	2-fluorophenyl	Cl	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	CH <sub>3</sub>	2-fluorophenyl	Br	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	benzyl	2-fluorophenyl	Cl	H	O
CH <sub>2</sub>	1	--	0	--	0	benzyl	2-fluorophenyl	Cl	H	O

CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	CH <sub>3</sub>	2-chlorophenyl	Cl	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	2	--	0	CH <sub>3</sub>	2-fluorophenyl	Cl	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	benzyl	2-pyridyl	Cl	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	CH <sub>3</sub>	2-pyridyl	Br	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	CH <sub>3</sub>	2-pyridyl	Cl	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	2	--	0	C(CH <sub>3</sub> ) <sub>3</sub>	2-fluorophenyl	Cl	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	CH <sub>3</sub>	2-fluorophenyl	NO <sub>2</sub>	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	(CH <sub>2</sub> ) <sub>2</sub> CH <sub>3</sub>	2-pyridyl	Cl	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	CH <sub>2</sub> CH <sub>3</sub>	2-pyridyl	Cl	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	4-pyridylmethyl	2-fluorophenyl	Cl	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	(CH <sub>2</sub> ) <sub>3</sub> CH <sub>3</sub>	2-fluorophenyl	Cl	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	(CH <sub>2</sub> ) <sub>3</sub> CH <sub>3</sub>	2-pyridyl	Cl	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	CH <sub>2</sub> CH(CH <sub>3</sub> ) <sub>2</sub>	2-pyridyl	Cl	H	O
CH <sub>2</sub>	1	--	0	--	0	CH <sub>2</sub> CH <sub>3</sub>	2-fluorophenyl	Cl	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	CH(CH <sub>3</sub> ) <sub>2</sub>	2-fluorophenyl	Cl	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	CH <sub>3</sub>	2-fluorophenyl	Cl	CH <sub>2</sub> CH <sub>2</sub> N(CH <sub>2</sub> CH <sub>3</sub> ) <sub>2</sub>	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	CH <sub>3</sub>	2-fluorophenyl	Cl	CH <sub>3</sub>	O
CH <sub>2</sub>	1	--	0	--	0	benzyl	2-fluorophenyl	Cl	CH <sub>3</sub>	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	benzyl	2-fluorophenyl	Cl	CH <sub>2</sub> CH <sub>2</sub> N(CH <sub>2</sub> CH <sub>3</sub> ) <sub>2</sub>	O
NH	1	CH <sub>2</sub>	1	--	0	CH <sub>3</sub>	2-chlorophenyl	Cl	H	O
NH	1	CH <sub>2</sub>	2	--	0	CH <sub>3</sub>	2-chlorophenyl	Cl	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	CH <sub>3</sub>	2-fluorophenyl	Cl	H	S
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	CH <sub>3</sub>	2-chlorophenyl	Cl	H	S
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	CH <sub>3</sub>	2-pyridyl	Cl	H	S
CH <sub>2</sub>	1	CH <sub>2</sub>	1	O	1	CH <sub>3</sub>	2-fluorophenyl	Cl	H	O.

8. (Amended) A compound according to claim 1 wherein W is H, p is 0, and X, n, Y, m, R<sup>1-5</sup> for each compound are as follows:

X	n	Y	m	R <sup>1</sup>	R <sup>2</sup>	R <sup>3</sup>	R <sup>4</sup>	R <sup>5</sup> and R <sup>6</sup>
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CH <sub>2</sub>	1	CH <sub>2</sub>	1	CH <sub>3</sub>	2-fluorophenyl	Cl	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	CH <sub>3</sub>	2-fluorophenyl	Br	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	CH <sub>3</sub>	2-pyridyl	Cl	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	CH <sub>3</sub>	2-fluorophenyl	Cl	CH <sub>3</sub>	O.

9. (Amended) A compound according to claim 1 wherein W is H, X is CH<sub>2</sub>, n is 1, Y is CH<sub>2</sub>, m is 1, p is 0, R<sup>1</sup> is CH<sub>3</sub>, R<sup>2</sup> is 2-fluorophenyl, R<sup>3</sup> is Cl, R<sup>4</sup> is H and R<sup>5</sup> and R<sup>6</sup> together are O.

10. A compound according to claim 1 wherein R<sup>4</sup> and R<sup>5</sup> together form a double bond in the diazepine ring, R<sup>6</sup> is the group NHR<sup>7</sup> and p is zero.

11. A compound according to claim 10, wherein W is H, X is CH<sub>2</sub>, n is 1, Y is CH<sub>2</sub>, m is 1, R<sup>1</sup> is CH<sub>3</sub>, R<sup>2</sup> is 2-fluorophenyl, 2-chlorophenyl or 2-pyridyl, R<sup>3</sup> is Cl or Br and R<sup>7</sup> is CH<sub>3</sub>, CH<sub>2</sub>CH<sub>3</sub>, benzyl, 4-pyridylmethyl-, 4-pyridylethyl, CH(CH<sub>3</sub>)<sub>2</sub>, 4-imidazolylethyl or CH<sub>2</sub>CH<sub>2</sub>OH.

12. (Amended) A compound according to claim 10, wherein W is H, X is CH<sub>2</sub>, n is 1, Y is CH<sub>2</sub>, m is 1, R<sup>1</sup> is CH<sub>3</sub>, and R<sup>2</sup>, R<sup>3</sup> and R<sup>7</sup> are as follows:

R <sup>2</sup>	R <sup>3</sup>	R <sup>7</sup>
2-fluorophenyl	Cl	CH <sub>3</sub>
2-pyridyl	Cl	CH <sub>3</sub>
2-fluorophenyl	Cl	CH <sub>2</sub> CH <sub>3</sub>
2-fluorophenyl	Cl	benzyl
2-fluorophenyl	Cl	4-pyridylmethyl
2-fluorophenyl	Cl	4-pyridylethyl
2-fluorophenyl	Cl	CH <sub>2</sub> CH(CH <sub>3</sub> ) <sub>2</sub>
2-fluorophenyl	Cl	2-(4-imidazolyl)ethyl
2-fluorophenyl	Cl	CH <sub>2</sub> CH <sub>2</sub> OH
2-fluorophenyl	Br	CH <sub>3</sub>
2-chlorophenyl	Cl	CH <sub>3</sub> .

13. (Amended) A compound according to claim 10, wherein W is H, X is CH<sub>2</sub>, n is 1, Y is CH<sub>2</sub>, m is 1, R<sup>1</sup> is CH<sub>3</sub>, R<sup>2</sup> is 2-fluorophenyl, R<sup>3</sup> is chlorine or bromine and R<sup>7</sup> is methyl.

14. A compound according to claim 10, wherein W is H, X is CH<sub>2</sub>, n is 1, Y is CH<sub>2</sub>, m is 1, R<sup>1</sup> is CH<sub>3</sub>, R<sup>2</sup> is 2-fluorophenyl, R<sup>3</sup> is Cl and R<sup>7</sup> is CH<sub>3</sub>.

15. (Amended) A compound according to claim 1 wherein p is zero and R<sup>4</sup>, R<sup>5</sup> and R<sup>6</sup> together form the group —C(R<sup>8</sup>)=U-V=.

16. A compound according to claim 15 wherein

W is H;

X is CH<sub>2</sub>, n is 1;

Y is CH<sub>2</sub>, m is 1;

R<sup>1</sup> is CH<sub>3</sub> or CH<sub>2</sub>CH(CH<sub>3</sub>)<sub>2</sub>;

R<sup>2</sup> is 2-fluorophenyl, 2-chlorophenyl or 2-pyridyl;

R<sup>3</sup> is Cl or Br;

R<sup>8</sup> is H, CH<sub>3</sub> or CH<sub>2</sub>OH;

R<sup>9</sup> is H, CH<sub>3</sub>, CH<sub>2</sub>OH or CH<sub>2</sub>O-t-butyl;

U is CR<sup>9</sup> or N; and

V is N or CH.

17. A compound according to claim 15 wherein

W is H;

X is CH<sub>2</sub>, n is 1;

Y is CH<sub>2</sub>, m is 1;

R<sup>1</sup> is CH<sub>3</sub> or CH<sub>2</sub>CH(CH<sub>3</sub>)<sub>2</sub>; provided that when R<sup>1</sup> is CH<sub>2</sub>CH(CH<sub>3</sub>)<sub>2</sub>, X is CH<sub>2</sub>, n is 1, R<sup>2</sup> is 2-fluorophenyl, R<sup>3</sup> is Cl, R<sup>8</sup> is CH<sub>3</sub>, U is N and V is N;

R<sup>2</sup> is 2-fluorophenyl, 2-chlorophenyl or 2-pyridyl;

R<sup>3</sup> is Cl or Br;

R<sup>8</sup> is H, CH<sub>3</sub> or CH<sub>2</sub>OH;

R<sup>9</sup> is H, CH<sub>3</sub>, CH<sub>2</sub>OH or CH<sub>2</sub>O-t-butyl;

U is CR<sup>9</sup> or N; and

V is N or CH.

19. (Amended) A compound according to claim 15, wherein W is H, X is  $\text{CH}_2$ , n is 1, Y is  $\text{CH}_2$ , m is 1 and  $\text{R}^1$ ,  $\text{R}^2$ ,  $\text{R}^3$ ,  $\text{R}^8$ , U and V are as follows:

$\text{R}^1$	$\text{R}^2$	$\text{R}^3$	$\text{R}^8$	U	V
$\text{CH}_3$	2-pyridyl	Br	$\text{CH}_3$	CH	N
$\text{CH}_3$	2-pyridyl	Cl	$\text{CH}_3$	CH	N
$\text{CH}_3$	2-fluorophenyl	Cl	$\text{CH}_3$	N	CH
$\text{CH}_3$	2-pyridyl	Br	H	$\text{C-CH}_3$	N.

20. (Amended) A compound according to claim 15, wherein W is H, X is  $\text{CH}_2$ , n is 1, Y is  $\text{CH}_2$ , m is 1,  $\text{R}^1$  is  $\text{CH}_3$ ,  $\text{R}^2$  is 2-pyridyl,  $\text{R}^3$  is Br,  $\text{R}^8$  is  $\text{CH}_3$ , U is CH and V is N.

24. (Amended) A method of producing sedation or hypnosis, inducing anxiolysis, inducing muscle relaxation or treating convulsions in a mammal which comprises administering to the mammal an effective amount of a compound of claim 1.

25. (Amended) A method of producing sedation or hypnosis, inducing anxiolysis, inducing muscle relaxation or treating convulsions in a mammal which comprises administering to the mammal an effective amount of a compound of claim 10.

26. (Amended) A method of producing sedation or hypnosis, inducing anxiolysis, inducing muscle relaxation or treating convulsions in a mammal which comprises administering to the mammal an effective amount of a compound of claim 15.

28. Methyl-3-[(3S)-7-chloro-5-(2-fluorophenyl)-2-oxo-2,3-dihydro-1*H*-1,4-benzodiazepin-3-yl]propanoate or a pharmaceutically acceptable salt or solvate thereof.

29. Methyl-3-[(3S)-7-chloro-5-(2-fluorophenyl)-2-(methylamino)-3H-1,4-benzodiazepin-3-yl]propanoate or a pharmaceutically acceptable salt or solvate thereof.

30. Methyl-3-[(4S)-8-bromo-1-methyl-6-(2-pyridinyl)-4H-imidazo[1,2-a][1,4]benzodiazepin-4-yl]propanoate or a pharmaceutically acceptable salt or solvate thereof.

31. (New) A compound according to claim 15, wherein W is H, X is CH<sub>2</sub>, n is 1, Y is CH<sub>2</sub>, m is 1 and R<sup>1</sup>, R<sup>2</sup>, R<sup>3</sup>, R<sup>8</sup>, U, and V are as follows:

R <sup>1</sup>	R <sup>2</sup>	R <sup>3</sup>	R <sup>8</sup>	U	V
CH <sub>3</sub>	2-fluorophenyl	Cl	H	CH	N
CH <sub>3</sub>	2-fluorophenyl	Cl	CH <sub>3</sub>	CH	N
CH <sub>3</sub>	2-fluorophenyl	Cl	H	C-CH <sub>3</sub>	N
CH <sub>3</sub>	2-fluorophenyl	Cl	H	C-CH <sub>2</sub> OH	N
CH <sub>3</sub>	2-fluorophenyl	Cl	CH <sub>2</sub> OH	CH	N
CH <sub>3</sub>	2-pyridyl	Cl	H	CH	N
CH <sub>3</sub>	2-pyridyl	Cl	CH <sub>3</sub>	CH	N
CH <sub>3</sub>	2-pyridyl	Br	CH <sub>3</sub>	CH	N
CH <sub>3</sub>	2-pyridyl	Br	H	C-CH <sub>3</sub>	N
CH <sub>3</sub>	2-pyridyl	Cl	H	C-CH <sub>3</sub>	N
CH <sub>3</sub>	2-pyridyl	Cl	H	C-CH <sub>2</sub> OH	N
CH <sub>3</sub>	2-pyridyl	Cl	CH <sub>2</sub> OH	CH	N
CH <sub>3</sub>	2-pyridyl	Cl	CH <sub>3</sub>	C-CH <sub>3</sub>	N
CH <sub>3</sub>	2-chlorophenyl	Cl	CH <sub>3</sub>	N	N
CH <sub>3</sub>	2-chlorophenyl	Cl	CH <sub>3</sub>	N	N
CH <sub>2</sub> CH(CH <sub>3</sub> ) <sub>2</sub>	2-fluorophenyl	Cl	CH <sub>3</sub>	N	N
CH <sub>3</sub>	2-fluorophenyl	Cl	H	N	CH
CH <sub>3</sub>	2-fluorophenyl	Cl	CH <sub>3</sub>	N	CH
CH <sub>3</sub>	2-fluorophenyl	Cl	H	C-CH <sub>2</sub> O-t-butyl	N
CH <sub>3</sub>	2-pyridyl	Cl	CH <sub>3</sub>	C-CH <sub>2</sub> OH	N

32. (New) A pharmaceutical composition comprising a compound of claim 1.

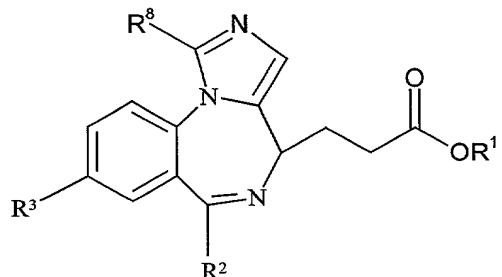
33. (New) A pharmaceutical composition comprising a compound of claim 2.

34. (New) A pharmaceutical composition comprising a compound of claim 28.

35. (New) A pharmaceutical composition comprising a compound of claim 29.

36. (New) A pharmaceutical composition comprising a compound of claim 30.

37. (New) A process for preparing a compound of formula (Ic),



Formula (Ic)

wherein

R<sup>1</sup> is H, C<sub>1-7</sub> straight chain alkyl, C<sub>3-7</sub> branched chain alkyl, C<sub>1-4</sub>haloalkyl, C<sub>3-7</sub>cycloalkyl, aryl, heteroaryl, aralkyl or heteroaralkyl;

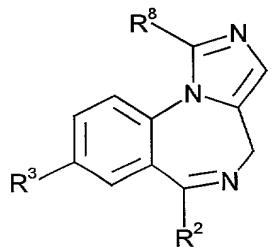
R<sup>2</sup> is phenyl, 2-halophenyl, or 2-pyridyl;

R<sup>3</sup> is H, Cl, Br, F, I, CF<sub>3</sub>, or NO<sub>2</sub>; and

R<sup>8</sup> is H, C<sub>1-4</sub>alkyl, or C<sub>1-4</sub>hydroxyalkyl

said process comprising the steps of:

1) reacting a compound of formula (M)



(M)

with a strong base to produce an anion; and

reacting said anion with a suitable Michael acceptor.

#### Remarks

Claims 1-17, 19-20, 24-26, and 28-37 are pending. The specification was amended to correct obvious, typographical errors. One of skill in the art would understand that recitation of "CH<sub>2</sub>(CH<sub>3</sub>)<sub>2</sub>" is clearly a typographical error and instead should read as "CH(CH<sub>3</sub>)<sub>2</sub>." Support for these changes can be found in Applicant's original specification, particularly at page 4, lines 19-24, in the table at page 8, in the table at page 9, in the table at page 79, and in the table at page 81. No new matter is added.

Additionally, the specification has been amended to correct an obvious error in the description of the compounds of formula (I). Support for the addition of "pyridylC<sub>1-2</sub>alkyl, imidazolylC<sub>1-2</sub>alkyl" to the description of R<sup>7</sup> can be found in Applicant's original specification, particularly at page 10, lines 20-25, page 11, line 6, Example Ib-5 at page 51, Example Ib-6 at page 51, and Example Ib-8 at page 52. No new matter is added.

Claim 18 was cancelled and rewritten as new Claim 31. Claim 27 was cancelled and rewritten as new Claim 37. Claims 21-23 have been cancelled. Claim 1 was amended to correct the clerical error described in the preceding paragraph with respect to the amendments to the specification. No new matter is added.

Claims 2-5 were amended to correct an obvious, typographical error. Support for this amendment can be found in Applicant's original specification, particularly at page 4, lines 19-24, in the table at page 8, in the table at page 9, and page 20, line 25. No new matter is added.

Claim 13 was amended to correct an obvious, typographical error. Support for this amendment can be found in Applicant's original specification, particularly at page 3, line 13, and page 10, line 23. No new matter is added.

Claims 31-37 have been added to complete the record. Support for these claims can be found in Applicant's original specification, particularly at page 69, lines 3-31, pages 3-14, page 69, and pages 21-22. No new matter is added.

Applicants respectfully submit that the instant application is in condition for substantive examination, which action is respectfully requested. The Examiner is invited to contact the undersigned at (919) 483-8222 to discuss this case further, if desired.

Respectfully submitted,



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31 Oct '01  
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**Marked-up Copy of Specification**

Page 5, lines 9-20:

W is H;

X is CH<sub>2</sub> or NH; n is 1;

Y is CH<sub>2</sub>; m is 0 or 1, provided that if X is CH<sub>2</sub>, n is 1 and m is 0, then R<sup>1</sup> is not CH<sub>2</sub>CH<sub>3</sub>;

Z is O; p is 0 or 1;

R<sup>1</sup> is H, CH<sub>3</sub>, CH<sub>2</sub>CH<sub>3</sub>, (CH<sub>2</sub>)<sub>2</sub>CH<sub>3</sub>, (CH<sub>2</sub>)<sub>3</sub>CH<sub>3</sub>, [CH<sub>2</sub>(CH<sub>3</sub>)<sub>2</sub>] CH(CH<sub>3</sub>)<sub>2</sub>, CH<sub>2</sub>CH(CH<sub>3</sub>)<sub>2</sub>, C(CH<sub>3</sub>)<sub>3</sub>, benzyl, 4-pyridylmethyl or 3-pyridylmethyl;

R<sup>2</sup> is phenyl, 2-fluorophenyl, 2-chlorophenyl or 2-pyridyl;

R<sup>3</sup> is Cl, Br or NO<sub>2</sub>;

R<sup>4</sup> is H, CH<sub>3</sub> or CH<sub>2</sub>CH<sub>2</sub>N(CH<sub>2</sub>CH<sub>3</sub>)<sub>2</sub>;

R<sup>5</sup> and R<sup>6</sup> together are O or S; or

pharmaceutically acceptable salts and solvates thereof.

Page 5, line 22 and continuing to page 6, line 9:

W is H;

X is CH<sub>2</sub> or NH; n is 1;

Y is CH<sub>2</sub>; m is 1;

p is 0;

R<sup>1</sup> is H, CH<sub>3</sub>, CH<sub>2</sub>CH<sub>3</sub>, (CH<sub>2</sub>)<sub>2</sub>CH<sub>3</sub>, (CH<sub>2</sub>)<sub>3</sub>CH<sub>3</sub>, [CH<sub>2</sub>(CH<sub>3</sub>)<sub>2</sub>] CH(CH<sub>3</sub>)<sub>2</sub>, CH<sub>2</sub>CH(CH<sub>3</sub>)<sub>2</sub>, C(CH<sub>3</sub>)<sub>3</sub>, benzyl, 4-pyridylmethyl or 3-pyridylmethyl; provided that if R<sup>1</sup> is 3-pyridylmethyl or 4-pyridylmethyl, then X is CH<sub>2</sub>, n is 1, Y is CH<sub>2</sub>, m is 0 or 1, R<sup>2</sup> is 2-fluorophenyl, R<sup>3</sup> is Cl, R<sup>4</sup> is H and R<sup>5</sup> and R<sup>6</sup> together is oxygen;

R<sup>2</sup> is phenyl, 2-fluorophenyl, 2-chlorophenyl or 2-pyridyl,

R<sup>3</sup> is Cl, Br or NO<sub>2</sub>;

R<sup>4</sup> is H, CH<sub>3</sub> or CH<sub>2</sub>CH<sub>2</sub>N(CH<sub>2</sub>CH<sub>3</sub>)<sub>2</sub>; provided that when R<sup>4</sup> is CH<sub>2</sub>CH<sub>2</sub>N(CH<sub>2</sub>CH<sub>3</sub>)<sub>2</sub>, then X is CH<sub>2</sub>, n is 1, Y is CH<sub>2</sub>, m is 1, R<sup>1</sup> is CH<sub>3</sub> or benzyl, R<sup>2</sup> is 2-fluorophenyl, R<sup>3</sup> is Cl and R<sup>5</sup> and R<sup>6</sup> together represent O;

R<sup>5</sup> and R<sup>6</sup> together are O or S; or

pharmaceutically acceptable salts and solvates thereof.

Page 6, lines 12-23:

W is H;

X is  $\text{CH}_2$  or NH; n is 1;

Y is  $\text{CH}_2$ ; m is 0 or 1, provided that if X is  $\text{CH}_2$  and m is 0, then  $\text{R}^1$  is not  $\text{CH}_2\text{CH}_3$ ;

p is 0;

$\text{R}^1$  is  $\text{CH}_3$ ,  $\text{CH}_2\text{CH}_3$ ,  $(\text{CH}_2)_2\text{CH}_3$ ,  $(\text{CH}_2)_3\text{CH}_3$ ,  $[\text{CH}_2(\text{CH}_3)_2]$   $\text{CH}(\text{CH}_3)_2$ ,  $\text{CH}_2\text{CH}(\text{CH}_3)_2$ ,  $\text{C}(\text{CH}_3)_3$ , benzyl or 4-pyridylmethyl;

$\text{R}^2$  is 2-fluorophenyl, 2-chlorophenyl or 2-pyridyl,

$\text{R}^3$  is Cl, Br or  $\text{NO}_2$ ;

$\text{R}^4$  is H,  $\text{CH}_3$  or  $\text{CH}_2\text{CH}_2\text{N}(\text{CH}_2\text{CH}_3)_2$ ;

$\text{R}^5$  and  $\text{R}^6$  together are O or S; or

pharmaceutically acceptable salts and solvates thereof.

Paragraph beginning on page 6, line 25 and continuing to page 7, line 9:

W is H;

X is  $\text{CH}_2$  or NH; n is 1;

Y is  $\text{CH}_2$ ; m is 0 or 1, provided that if X is  $\text{CH}_2$  and m is 0, then  $\text{R}^1$  is not  $\text{CH}_2\text{CH}_3$ ;

p is 0;

$\text{R}^1$  is  $\text{CH}_3$ ,  $\text{CH}_2\text{CH}_3$ ,  $(\text{CH}_2)_2\text{CH}_3$ ,  $(\text{CH}_2)_3\text{CH}_3$ ,  $[\text{CH}_2(\text{CH}_3)_2]$   $\text{CH}(\text{CH}_3)_2$ ,  $\text{CH}_2\text{CH}(\text{CH}_3)_2$ ,  $\text{C}(\text{CH}_3)_3$ , benzyl or 4-pyridylmethyl; provided that when  $\text{R}^1$  is 4-pyridylmethyl, X is  $\text{CH}_2$ , Y is  $\text{CH}_2$ , m is 1,  $\text{R}^2$  is 2-fluorophenyl,  $\text{R}^3$  is Cl,  $\text{R}^4$  is H and  $\text{R}^5$  and  $\text{R}^6$  together represent oxygen;

$\text{R}^2$  is 2-fluorophenyl, 2-chlorophenyl or 2-pyridyl,

$\text{R}^3$  is Cl, Br or  $\text{NO}_2$ ;

$\text{R}^4$  is H,  $\text{CH}_3$  or  $\text{CH}_2\text{CH}_2\text{N}(\text{CH}_2\text{CH}_3)_2$ ; provided that when  $\text{R}^4$  is  $\text{CH}_2\text{CH}_2\text{N}(\text{CH}_2\text{CH}_3)_2$ , then X is  $\text{CH}_2$ , Y is  $\text{CH}_2$ , m is 1,  $\text{R}^1$  is  $\text{CH}_3$  or benzyl,  $\text{R}^2$  is 2-fluorophenyl,  $\text{R}^3$  is Cl and  $\text{R}^5$  and  $\text{R}^6$  together represent O;

$\text{R}^5$  and  $\text{R}^6$  together represent O or S; or

pharmaceutically acceptable salts and solvates thereof.

Paragraph on page 3, lines 5-25:

wherein

W is H or C<sub>1</sub>-C<sub>4</sub> branched alkyl or a straight chained alkyl;

X is CH<sub>2</sub>, NH, or NCH<sub>3</sub>; n is 1 or 2;

Y is O, CH<sub>2</sub>; m is 0 or 1, provided that if X is CH<sub>2</sub>, n is 1 and m is 0, then

R<sup>1</sup> is not CH<sub>2</sub>CH<sub>3</sub>;

Z is O; p is 0 or 1;

R<sup>1</sup> is H, a C<sub>1</sub>-C<sub>7</sub> straight chain alkyl, a C<sub>3</sub>-C<sub>7</sub> branched chain alkyl, a C<sub>1</sub>-C<sub>4</sub> haloalkyl, a C<sub>3</sub>-C<sub>7</sub> cycloalkyl, an aryl, a heteroaryl, an aralkyl, or a heteroaralkyl;

R<sup>2</sup> is phenyl, 2-halophenyl or 2-pyridyl,

R<sup>3</sup> is H, Cl, Br, F, I, CF<sub>3</sub> or NO<sub>2</sub>;

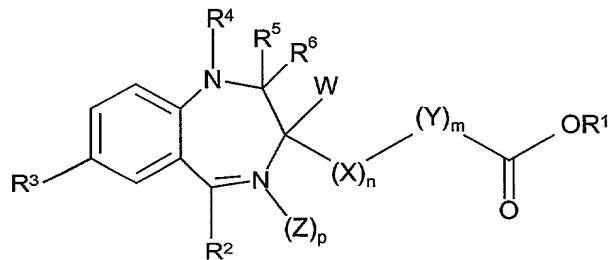
(1) R<sup>4</sup> is H, C<sub>1</sub>-C<sub>4</sub> alkyl, or dialkylaminoalkyl and R<sup>5</sup> and R<sup>6</sup> together represent a single oxygen or S atom which is linked to the diazepine ring by a double bond and p is zero or 1 (as depicted in formula Ia); or (2) R<sup>4</sup> and R<sup>5</sup> together form a double bond in the diazepine ring and R<sup>6</sup> represents the group NHR<sup>7</sup> wherein R<sup>7</sup> is H, C<sub>1-4</sub> alkyl, C<sub>1-4</sub> hydroxyalkyl, pyridylC<sub>1-2</sub>alkyl, imidazolylC<sub>1-2</sub>alkyl, benzyl or benzyl mono or disubstituted independently with halogen substituents, C<sub>1-4</sub>alkylpyridyl or C<sub>1-4</sub> alkylimidazolyl and p is zero (as depicted in formula Ib);

or (3) R<sup>4</sup>, and R<sup>6</sup> form the group -CR<sup>8</sup>=U-V= wherein R<sup>8</sup> is hydrogen, C<sub>1-4</sub> alkyl, or C<sub>1-3</sub> hydroxyalkyl, U is N or CR<sup>9</sup> wherein R<sup>9</sup> is H, C<sub>1-4</sub>alkyl, C<sub>1-3</sub>hydroxyalkyl or C<sub>1-4</sub>alkoxy- C<sub>1-4</sub>alkyl, V is N or CH and p is zero (as depicted in formula Ic);

or pharmaceutically acceptable salts and or solvates thereof.

## Marked-up Copy of Amended Claims

1. (Amended) A compound of formula (I):



Formula (I)

wherein

W is H, a C<sub>1</sub>-C<sub>4</sub> branched alkyl, or straight chained alkyl;

X is CH<sub>2</sub>, NH or NCH<sub>3</sub>; n is 1 or 2;

Y is O or CH<sub>2</sub>; m is 0 or 1, provided that if X is CH<sub>2</sub>, n is 1 and m is 0, then R<sup>1</sup> is not CH<sub>2</sub>CH<sub>3</sub>;

Z is O; p is 0 or 1;

R<sup>1</sup> is H, a C<sub>1</sub>-C<sub>7</sub> straight chain alkyl, a C<sub>3</sub>-C<sub>7</sub> branched chain alkyl, a C<sub>1</sub>-C<sub>4</sub> haloalkyl, a C<sub>3</sub>-C<sub>7</sub> cycloalkyl, an aryl, a heteroaryl, an aralkyl, or a heteroaralkyl;

R<sup>2</sup> is phenyl, 2-halophenyl or 2-pyridyl,

R<sup>3</sup> is H, Cl, Br, F, I, CF<sub>3</sub> or NO<sub>2</sub>; and wherein

(1) R<sup>4</sup> is H, a C<sub>1</sub>-C<sub>4</sub> alkyl, or a dialkylaminoalkyl and R<sup>5</sup> and R<sup>6</sup> together represent a single oxygen or S atom which is linked to the diazepine ring by a double bond and p is zero or 1; or (2) R<sup>4</sup> and R<sup>5</sup> together is a double bond in

the diazepine ring and R<sup>6</sup> represents the group NHR<sup>7</sup> wherein R<sup>7</sup> is H, C<sub>1-4</sub> alkyl, C<sub>1-4</sub> hydroxyalkyl, pyridylC<sub>1-2</sub>alkyl, imidazolyIC<sub>1-2</sub>alkyl, benzyl, [or] benzyl mono or disubstituted independently with halogen substituents, C<sub>1-4</sub>alkylpyridyl or C<sub>1-4</sub> [alkylimidazolyl] alkylimidazolyl and p is zero; or (3) R<sup>4</sup>, R<sup>5</sup> and R<sup>6</sup> form the group —CR<sup>8</sup>=U-V= wherein R<sup>8</sup> is hydrogen, C<sub>1-4</sub> alkyl or C<sub>1-3</sub> hydroxyalkyl, U is N or CR<sup>9</sup> wherein R<sup>9</sup> is H, C<sub>1-4</sub>alkyl, C<sub>1-3</sub>hydroxyalkyl or C<sub>1-4</sub>alkoxy, C<sub>1-4</sub>alkyl, V is N or CH and p is zero; [or] and pharmaceutically acceptable salts [and] or solvates thereof.

2. (Amended) A compound according to claim 1 wherein

W is H;

X is CH<sub>2</sub> or NH; n is 1;

Y is CH<sub>2</sub>; m is 0 or 1, provided that if X is CH<sub>2</sub>, n is 1 and m is 0, then R<sup>1</sup> is not CH<sub>2</sub>CH<sub>3</sub>;

Z is O; p is 0 or 1;

R<sup>1</sup> is H, CH<sub>3</sub>, CH<sub>2</sub>CH<sub>3</sub>, (CH<sub>2</sub>)<sub>2</sub>CH<sub>3</sub>, (CH<sub>2</sub>)<sub>3</sub>CH<sub>3</sub>, [CH<sub>2</sub>(CH<sub>3</sub>)<sub>2</sub>] CH(CH<sub>3</sub>)<sub>2</sub>, CH<sub>2</sub>CH(CH<sub>3</sub>)<sub>2</sub>, C(CH<sub>3</sub>)<sub>3</sub>, benzyl, 4-pyridylmethyl or 3-pyridylmethyl;

R<sup>2</sup> is phenyl, 2-fluorophenyl, 2-chlorophenyl or 2-pyridyl;

R<sup>3</sup> is Cl, Br or NO<sub>2</sub>;

R<sup>4</sup> is H, CH<sub>3</sub> or CH<sub>2</sub>CH<sub>2</sub>N(CH<sub>2</sub>CH<sub>3</sub>)<sub>2</sub>;

R<sup>5</sup> and R<sup>6</sup> together are either O or S; [or] and

pharmaceutically acceptable salts [and] or solvates thereof.

3. (Amended) A compound according to claim 1 wherein

W is H;

X is CH<sub>2</sub> or NH; n is 1;

Y is CH<sub>2</sub>; m is 1;

p is 0;

R<sup>1</sup> is H, CH<sub>3</sub>, CH<sub>2</sub>CH<sub>3</sub>, (CH<sub>2</sub>)<sub>2</sub>CH<sub>3</sub>, (CH<sub>2</sub>)<sub>3</sub>CH<sub>3</sub>, [CH<sub>2</sub>(CH<sub>3</sub>)<sub>2</sub>] CH(CH<sub>3</sub>)<sub>2</sub>, CH<sub>2</sub>CH(CH<sub>3</sub>)<sub>2</sub>, C(CH<sub>3</sub>)<sub>3</sub>, benzyl, 4-pyridylmethyl or 3-pyridylmethyl; provided that if R<sup>1</sup> is 3-pyridylmethyl or 4-pyridylmethyl, then X is CH<sub>2</sub>, n is 1, Y is CH<sub>2</sub>, m is 0 or 1, R<sup>2</sup> is 2-fluorophenyl, R<sup>3</sup> is Cl, R<sup>4</sup> is H and R<sup>5</sup> and R<sup>6</sup> together are O;

R<sup>2</sup> is phenyl, 2-fluorophenyl, 2-chlorophenyl or 2-pyridyl,

R<sup>3</sup> is Cl, Br or NO<sub>2</sub>;

R<sup>4</sup> is H, CH<sub>3</sub> or CH<sub>2</sub>CH<sub>2</sub>N(CH<sub>2</sub>CH<sub>3</sub>)<sub>2</sub>; provided that when R<sup>4</sup> is CH<sub>2</sub>CH<sub>2</sub>N(CH<sub>2</sub>CH<sub>3</sub>)<sub>2</sub>, X is CH<sub>2</sub>, n is 1, Y is CH<sub>2</sub>, m is 1, R<sup>1</sup> is CH<sub>3</sub> or benzyl, R<sup>2</sup> is 2-fluorophenyl, R<sup>3</sup> is Cl and R<sup>5</sup> and R<sup>6</sup> together is O;

R<sup>5</sup> and R<sup>6</sup> together are O or S; [or] and

pharmaceutically acceptable salts [and] or solvates thereof.

4. (Amended) A compound according to claim 1 wherein

W is H;

X is CH<sub>2</sub> or NH; n is 1;

Y is CH<sub>2</sub>; m is 0 or 1, provided that if X is CH<sub>2</sub> and m is 0, then R<sup>1</sup> is not CH<sub>2</sub>CH<sub>3</sub>;

p is 0;

R<sup>1</sup> is CH<sub>3</sub>, CH<sub>2</sub>CH<sub>3</sub>, (CH<sub>2</sub>)<sub>2</sub>CH<sub>3</sub>, (CH<sub>2</sub>)<sub>3</sub>CH<sub>3</sub>, [CH<sub>2</sub>(CH<sub>3</sub>)<sub>2</sub>] CH(CH<sub>3</sub>)<sub>2</sub>, CH<sub>2</sub>CH(CH<sub>3</sub>)<sub>2</sub>, C(CH<sub>3</sub>)<sub>3</sub>, benzyl or 4-pyridylmethyl;

R<sup>2</sup> is 2-fluorophenyl, 2-chlorophenyl or 2-pyridyl,

R<sup>3</sup> is Cl, Br or NO<sub>2</sub>;

R<sup>4</sup> is H, CH<sub>3</sub> or CH<sub>2</sub>CH<sub>2</sub>N(CH<sub>2</sub>CH<sub>3</sub>)<sub>2</sub>;

R<sup>5</sup> and R<sup>6</sup> together [is] are O or S; [or] and

pharmaceutically acceptable salts [and] or solvates thereof.

5. (Amended) A compound according to claim 1 wherein

W is H;

X is CH<sub>2</sub> or NH; n is 1;

Y is CH<sub>2</sub>; m is 0 or 1, provided that if X is CH<sub>2</sub> and m is 0, then R<sup>1</sup> is not CH<sub>2</sub>CH<sub>3</sub>;

p is 0;

R<sup>1</sup> is CH<sub>3</sub>, CH<sub>2</sub>CH<sub>3</sub>, (CH<sub>2</sub>)<sub>2</sub>CH<sub>3</sub>, (CH<sub>2</sub>)<sub>3</sub>CH<sub>3</sub>, [CH<sub>2</sub>(CH<sub>3</sub>)<sub>2</sub>] CH(CH<sub>3</sub>)<sub>2</sub>, CH<sub>2</sub>CH(CH<sub>3</sub>)<sub>2</sub>, C(CH<sub>3</sub>)<sub>3</sub>, benzyl or 4-pyridylmethyl; provided that when R<sup>1</sup> is 4-pyridylmethyl, then X is CH<sub>2</sub>, n is 1, Y is CH<sub>2</sub>, m is 1, R<sup>2</sup> is 2-fluorophenyl, R<sup>3</sup> is Cl, R<sup>4</sup> is H and R<sup>5</sup> and R<sup>6</sup> together [is] are O;

R<sup>2</sup> is 2-fluorophenyl, 2-chlorophenyl or 2-pyridyl,

$R^3$  is Cl, Br or  $NO_2$ ;

$R^4$  is H,  $CH_3$  or  $CH_2CH_2N(CH_2CH_3)_2$ ; provided that when  $R^4$  is  $CH_2CH_2N(CH_2CH_3)_2$ , X is  $CH_2$ , n is 1, Y is  $CH_2$ , m is 1,  $R^1$  is  $CH_3$  or benzyl,  $R^2$  is 2-fluorophenyl,  $R^3$  is Cl and  $R^5$  and  $R^6$  together [is] are O;

$R^5$  and  $R^6$  together are O or S; [or] and

pharmaceutically acceptable salts [and] or solvates thereof.

6. (Amended) A compound according to claim 1 wherein [in each compound] W is H and [wherein] X, n, Y, m, Z, p and  $R^{1-6}$  for each compound are as follows:

X	n	Y	M	Z	p	$R^1$	$R^2$	$R^3$	$R^4$	$R^5R^6$
$CH_2$	1	$CH_2$	1	--	0	$CH_3$	2-fluorophenyl	Cl	H	O
$CH_2$	1	--	0	--	0	$CH_3$	2-fluorophenyl	Cl	H	O
$CH_2$	1	$CH_2$	1	--	0	$CH_3$	2-fluorophenyl	Br	H	O
$CH_2$	1	$CH_2$	1	--	0	benzyl	2-fluorophenyl	Cl	H	O
$CH_2$	1	--	0	--	0	benzyl	2-fluorophenyl	Cl	H	O
$CH_2$	1	$CH_2$	1	--	0	$CH_3$	2-chlorophenyl	Cl	H	O
$CH_2$	1	$CH_2$	2	--	0	$CH_3$	2-fluorophenyl	Cl	H	O
$CH_2$	1	$CH_2$	1	--	0	benzyl	2-pyridyl	Cl	H	O
$CH_2$	1	$CH_2$	1	--	0	$CH_3$	2-pyridyl	Br	H	O
$CH_2$	1	$CH_2$	1	--	0	$CH_3$	2-pyridyl	Cl	H	O
$CH_2$	1	$CH_2$	2	--	0	$C(CH_3)_3$	2-fluorophenyl	Cl	H	O
$CH_2$	1	$CH_2$	1	--	0	$CH_3$	2-fluorophenyl	$NO_2$	H	O
$CH_2$	1	$CH_2$	1	--	0	$(CH_2)_2CH_3$	2-pyridyl	Cl	H	O
$CH_2$	1	$CH_2$	1	--	0	$CH_2CH_3$	2-pyridyl	Cl	H	O
$CH_2$	1	$CH_2$	1	--	0	4-pyridylmethyl	2-fluorophenyl	Cl	H	O
$CH_2$	1	$CH_2$	1	--	0	$(CH_2)_3CH_3$	2-fluorophenyl	Cl	H	O
$CH_2$	1	$CH_2$	1	--	0	$(CH_2)_3CH_3$	2-pyridyl	Cl	H	O
$CH_2$	1	$CH_2$	1	--	0	$CH_2CH(CH_3)_2$	2-pyridyl	Cl	H	O
$CH_2$	1	--	0	--	0	$CH_2CH_3$	2-fluorophenyl	Cl	H	O
$CH_2$	1	$CH_2$	1	--	0	$CH(CH_3)_2$	2-fluorophenyl	Cl	H	O
$CH_2$	1	$CH_2$	1	--	0	$CH_3$	2-fluorophenyl	Cl	$CH_2CH_2N(CH_2CH_3)_2$	O

CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	CH <sub>3</sub>	2-fluorophenyl	Cl	CH <sub>3</sub>	O
CH <sub>2</sub>	1	--	0	--	0	benzyl	2-fluorophenyl	Cl	CH <sub>3</sub>	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	benzyl	2-fluorophenyl	Cl	CH <sub>2</sub> CH <sub>2</sub> N(CH <sub>2</sub> CH <sub>3</sub> ) <sub>2</sub>	O
NH	1	CH <sub>2</sub>	1	--	0	CH <sub>3</sub>	2-chlorophenyl	Cl	H	O
NH	1	CH <sub>2</sub>	2	--	0	CH <sub>3</sub>	2-chlorophenyl	Cl	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	CH <sub>3</sub>	2-fluorophenyl	Cl	H	S
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	CH <sub>3</sub>	2-chlorophenyl	Cl	H	S
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	CH <sub>3</sub>	2-pyridyl	Cl	H	S
CH <sub>2</sub>	1	CH <sub>2</sub>	1	O	1	CH <sub>3</sub>	2-fluorophenyl	Cl	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	benzyl	phenyl	NO <sub>2</sub>	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	CH <sub>3</sub>	2-fluorophenyl	H	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	CH <sub>3</sub>	2-pyridyl	NO <sub>2</sub>	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	benzyl	2-pyridyl	NO <sub>2</sub>	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	benzyl	2-fluorophenyl	H	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	CH <sub>3</sub>	phenyl	NO <sub>2</sub>	H	O
NH	1	CH <sub>2</sub>	2	--	0	(CH <sub>2</sub> ) <sub>3</sub> CH <sub>3</sub>	2-fluorophenyl	Cl	H	O
CH <sub>2</sub>	1	--	0	--	0	3-pyridylmethyl	2-fluorophenyl	Cl	H	O
CH <sub>2</sub>	1	--	0	--	0	4-pyridylmethyl	2-fluorophenyl	Cl	H	O.

7. (Amended) A compound according to claim 1 wherein [in each compound] W is H and [wherein] X, n, Y, m, Z, p and R<sup>1-6</sup> for each compound are as follows:

X	n	Y	M	Z	p	R <sup>1</sup>	R <sup>2</sup>	R <sup>3</sup>	R <sup>4</sup>	R <sup>5</sup> R <sup>6</sup>
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	CH <sub>3</sub>	2-fluorophenyl	Cl	H	O
CH <sub>2</sub>	1	--	0	--	0	CH <sub>3</sub>	2-fluorophenyl	Cl	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	CH <sub>3</sub>	2-fluorophenyl	Br	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	benzyl	2-fluorophenyl	Cl	H	O
CH <sub>2</sub>	1	--	0	--	0	benzyl	2-fluorophenyl	Cl	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	CH <sub>3</sub>	2-chlorophenyl	Cl	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	2	--	0	CH <sub>3</sub>	2-fluorophenyl	Cl	H	O

CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	benzyl	2-pyridyl	Cl	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	CH <sub>3</sub>	2-pyridyl	Br	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	CH <sub>3</sub>	2-pyridyl	Cl	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	2	--	0	C(CH <sub>3</sub> ) <sub>3</sub>	2-fluorophenyl	Cl	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	CH <sub>3</sub>	2-fluorophenyl	NO <sub>2</sub>	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	(CH <sub>2</sub> ) <sub>2</sub> CH <sub>3</sub>	2-pyridyl	Cl	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	CH <sub>2</sub> CH <sub>3</sub>	2-pyridyl	Cl	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	4-pyridylmethyl	2-fluorophenyl	Cl	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	(CH <sub>2</sub> ) <sub>3</sub> CH <sub>3</sub>	2-fluorophenyl	Cl	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	(CH <sub>2</sub> ) <sub>3</sub> CH <sub>3</sub>	2-pyridyl	Cl	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	CH <sub>2</sub> CH(CH <sub>3</sub> ) <sub>2</sub>	2-pyridyl	Cl	H	O
CH <sub>2</sub>	1	--	0	--	0	CH <sub>2</sub> CH <sub>3</sub>	2-fluorophenyl	Cl	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	CH(CH <sub>3</sub> ) <sub>2</sub>	2-fluorophenyl	Cl	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	CH <sub>3</sub>	2-fluorophenyl	Cl	CH <sub>2</sub> CH <sub>2</sub> N(CH <sub>2</sub> CH <sub>3</sub> ) <sub>2</sub>	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	CH <sub>3</sub>	2-fluorophenyl	Cl	CH <sub>3</sub>	O
CH <sub>2</sub>	1	--	0	--	0	benzyl	2-fluorophenyl	Cl	CH <sub>3</sub>	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	benzyl	2-fluorophenyl	Cl	CH <sub>2</sub> CH <sub>2</sub> N(CH <sub>2</sub> CH <sub>3</sub> ) <sub>2</sub>	O
NH	1	CH <sub>2</sub>	1	--	0	CH <sub>3</sub>	2-chlorophenyl	Cl	H	O
NH	1	CH <sub>2</sub>	2	--	0	CH <sub>3</sub>	2-chlorophenyl	Cl	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	CH <sub>3</sub>	2-fluorophenyl	Cl	H	S
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	CH <sub>3</sub>	2-chlorophenyl	Cl	H	S
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	CH <sub>3</sub>	2-pyridyl	Cl	H	S
CH <sub>2</sub>	1	CH <sub>2</sub>	1	O	1	CH <sub>3</sub>	2-fluorophenyl	Cl	H	O.

8. (Amended) A compound according to claim 1 wherein [in each compound] W is H, [and] p is 0, and [wherein] X, n, Y, m, R<sup>1-5</sup> for each compound are as follows:

X	n	Y	m	R <sup>1</sup>	R <sup>2</sup>	R <sup>3</sup>	R <sup>4</sup>	R <sup>5</sup> and R <sup>6</sup>
CH <sub>2</sub>	1	CH <sub>2</sub>	1	CH <sub>3</sub>	2-fluorophenyl	Cl	H	O

CH <sub>2</sub>	1	CH <sub>2</sub>	1	CH <sub>3</sub>	2-fluorophenyl	Br	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	CH <sub>3</sub>	2-pyridyl	Cl	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	CH <sub>3</sub>	2-fluorophenyl	Cl	CH <sub>3</sub>	O.

9. (Amended) A compound according to claim 1 wherein W is H, X is CH<sub>2</sub>, n is 1, Y is CH<sub>2</sub>, m is 1, p is 0, R<sup>1</sup> is CH<sub>3</sub>, R<sup>2</sup> is 2-fluorophenyl, R<sup>3</sup> is Cl, R<sup>4</sup> is H and R<sup>5</sup> and R<sup>6</sup> together [is] are O.

12. (Amended) A compound according to claim 10, wherein [in each compound] W is H, X is CH<sub>2</sub>, n is 1, Y is CH<sub>2</sub>, m is 1, R<sup>1</sup> is CH<sub>3</sub>, and [wherein] R<sup>2</sup>, R<sup>3</sup> and R<sup>7</sup> [for each compound] are as follows:

R <sup>2</sup>	R <sup>3</sup>	R <sup>7</sup>
2-fluorophenyl	Cl	CH <sub>3</sub>
2-pyridyl	Cl	CH <sub>3</sub>
2-fluorophenyl	Cl	CH <sub>2</sub> CH <sub>3</sub>
2-fluorophenyl	Cl	benzyl
2-fluorophenyl	Cl	4-pyridylmethyl
2-fluorophenyl	Cl	4-pyridylethyl
2-fluorophenyl	Cl	CH <sub>2</sub> CH(CH <sub>3</sub> ) <sub>2</sub>
2-fluorophenyl	Cl	2-(4-imidazolyl)ethyl
2-fluorophenyl	Cl	CH <sub>2</sub> CH <sub>2</sub> OH
2-fluorophenyl	Br	CH <sub>3</sub>
2-chlorophenyl	Cl	CH <sub>3</sub> .

13. (Amended) A compound according to claim 10, wherein [in each compound] W is H, X is CH<sub>2</sub>, n is 1, Y is CH<sub>2</sub>, m is 1, R<sup>1</sup> is CH<sub>3</sub>, [R<sup>3</sup>] R<sup>2</sup> is 2-fluorophenyl, R<sup>3</sup> is chlorine or bromine and R<sup>7</sup> is methyl.

15. (Amended) A compound [of] according to claim 1 wherein p is zero and R<sup>4</sup>, R<sup>5</sup> and R<sup>6</sup> together form the group  $-C(R^8)=U-V=$ .

19. (Amended) A compound according to claim 15, wherein [in each compound] W is H, X is CH<sub>2</sub>, n is 1, Y is CH<sub>2</sub>, m is 1 and [wherein] R<sup>1</sup>, R<sup>2</sup>, R<sup>3</sup>, R<sup>8</sup>, U and V [for each compound] are as follows:

R <sup>1</sup>	R <sup>2</sup>	R <sup>3</sup>	R <sup>8</sup>	U	V
CH <sub>3</sub>	2-pyridyl	Br	CH <sub>3</sub>	CH	N
CH <sub>3</sub>	2-pyridyl	Cl	CH <sub>3</sub>	CH	N
CH <sub>3</sub>	2-fluorophenyl	Cl	CH <sub>3</sub>	N	CH
CH <sub>3</sub>	2-pyridyl	Br	H	C-CH <sub>3</sub>	N.

20. (Amended) A compound according to claim 15, wherein [in] W is H, X is CH<sub>2</sub>, n is 1, Y is CH<sub>2</sub>, m is 1, R<sup>1</sup> is CH<sub>3</sub>, R<sup>2</sup> is 2-pyridyl, R<sup>3</sup> is Br, R<sup>8</sup> is CH<sub>3</sub>, U is CH and V is N.

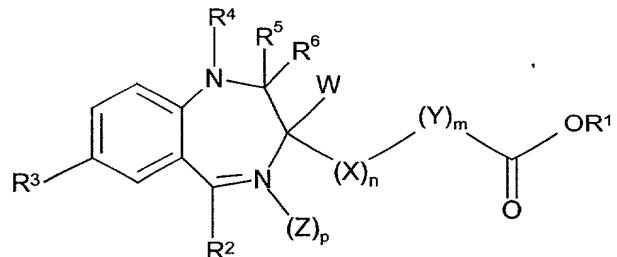
24. (Amended) A method of producing sedation or hypnosis, inducing anxiolysis, inducing muscle relaxation [in a mammal] or treating convulsions in a mammal which comprises administering to the mammal an effective amount of a compound of claim 1.

25. (Amended) A method of producing sedation or hypnosis, inducing anxiolysis, inducing muscle relaxation [in a mammal] or treating convulsions in a mammal which comprises administering to the mammal an effective amount of a compound of claim 10.

26. (Amended) A method of producing sedation or hypnosis, inducing anxiolysis, inducing muscle relaxation [in a mammal] or treating convulsions in a mammal which comprises administering to the mammal an effective amount of a compound of claim 15.

Abstract

The present invention provides a compound of formula (I):



Formula (I)

wherein all variables are as defined herein, pharmaceutical formulations containing the same, processes for preparing the same and their use therapy.

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SHORT-ACTING BENZODIAZEPINESField of the Invention

5 The present invention relates to benzodiazepine derivatives, to pharmaceutical compositions containing them and to their use in medicine. More particularly, the present invention relates to benzodiazepine derivatives suitable for therapeutic purposes, including sedative-hypnotic, anxiolytic, muscle relaxant and anticonvulsant purposes.

Background of the Invention

10 The broad neuropharmacology elicited from the benzodiazepine class of compounds is generally attributed to their binding to a site on a specific receptor/chloride ion channel complex known as the GABA<sub>A</sub> receptor.

15 Benzodiazepine-receptor binding potentiates the binding of the inhibitory neurotransmitter  $\gamma$ -aminobutyric acid (GABA) to the complex, thereby leading to inhibition of normal neuronal function. In addition to the therapeutic purposes listed above, benzodiazepines have been used widely for anesthesia, particularly as a premedication or as a component in the induction and/or maintenance of anesthesia.

20 See generally, *Goodman and Gilman's The Pharmacological Basis of Therapeutics, Eighth Edition*; Gilman, A. G.; Rall, T. W.; Nies, A. S.; Taylor, P., Eds.; Pergamon Press: New York, 1990; pp. 303-304, 346-358.

25 Shorter-acting benzodiazepines that may provide faster recovery profiles have been the subject of recent clinical investigations (W. Hering et al., *Anesthesiology* 1996, 189, 85 (Suppl.); J. Dingemanse et al., *Br. J. Anaesth.* 1997, 79, 567-574.)

Recent patent filings also describe benzodiazepines of interest. (WO 96/23790; WO 96/20941; US Patent 5,665,718). Other publications that describe benzodiazepinones include E. Manghisi and A. Salimbemi, *Boll. Chim. Farm.* 1974, 113, 642-644), W. A. Khan and P. Singh, *Org. Prep. Proc. Int.* 1978, 10, 105-111 and J. B. Hester, Jr, et al. *J. Med. Chem.* 1980, 23, 643-647. Benzodiazepines in present practice, such as diazepam, lorazepam, and midazolam all undergo metabolism by hepatic-dependent processes. Active metabolites, which are often much more slowly metabolized than the parent drug, can be generated by these hepatic mechanisms in effect prolonging

the duration of action of many benzodiazepines (T. M. Bauer *et al.*, *Lancet* 1995, 346, 145-7). Inadvertent oversedation has been associated with the use of benzodiazepines (A. Shafer, *Crit Care Med* 1998, 26, 947-956), particularly in the ICU, where benzodiazepines, such as midazolam, enjoy frequent use. However, the benzodiazepine compounds of this invention differ from benzodiazepines in present-day clinical practice.

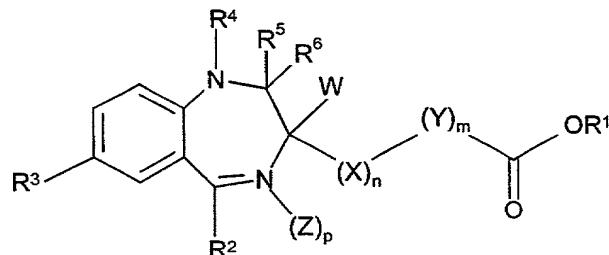
#### Summary of the Invention

It has now been found that compounds of the present invention as described in Formula (I) possess certain advantages because of their structural design. The benzodiazepines described by this invention all contain a carboxylic ester moiety and are inactivated by nonspecific tissue esterases. An organ-independent elimination mechanism is predicted to be characteristic of the benzodiazepines of this invention, providing a more predictable and reproducible pharmacodynamic profile.

The compounds of the present invention are suitable for therapeutic purposes, including sedative-hypnotic, anxiolytic, muscle relaxant and anticonvulsant purposes. The compounds of the present invention are short-acting CNS depressants that are useful to be administered intravenously in the following clinical settings: preoperative sedation, anxiolysis, and amnestic use for perioperative events; conscious sedation during short diagnostic, operative or endoscopic procedures; as a component for the induction and maintenance of general anesthesia, prior and/or concomitant to the administration of other anesthetic agents; ICU sedation.

Detailed Description of the Invention

Thus it is provided according to a first aspect of the present invention compounds of Formula (I):



Formula (I)

wherein

W is H, C<sub>1</sub>-C<sub>4</sub> branched alkyl, or a straight chained alkyl;

X is CH<sub>2</sub>, NH, or NCH<sub>3</sub>; n is 1 or 2;

Y is O or CH<sub>2</sub>; m is 0 or 1, provided that if X is CH<sub>2</sub>, n is 1 and m is 0, then R<sup>1</sup> is not CH<sub>2</sub>CH<sub>3</sub>;

Z is O; p is 0 or 1;

R<sup>1</sup> is H, a C<sub>1</sub>-C<sub>7</sub> straight chain alkyl, a C<sub>3</sub>-C<sub>7</sub> branched chain alkyl, a C<sub>1</sub>-C<sub>4</sub> haloalkyl, a C<sub>3</sub>-C<sub>7</sub> cycloalkyl, an aryl, a heteroaryl, an aralkyl, or a heteroaralkyl;

R<sup>2</sup> is phenyl, 2-halophenyl, or 2-pyridyl;

R<sup>3</sup> is H, Cl, Br, F, I, CF<sub>3</sub>, or NO<sub>2</sub>;

(1) R<sup>4</sup> is H, C<sub>1</sub>-C<sub>4</sub> alkyl, or dialkylaminoalkyl and R<sup>5</sup> and R<sup>6</sup> together represent a single oxygen or S atom which is linked to the diazepine ring by a double bond and p is zero or 1 (as depicted in formula Ia); or (2) R<sup>4</sup> and R<sup>5</sup> together form a double bond in the diazepine ring and R<sup>6</sup> represents the group NHR<sup>7</sup> wherein R<sup>7</sup> is H, C<sub>1</sub>-C<sub>4</sub> alkyl, C<sub>1</sub>-C<sub>4</sub> hydroxyalkyl, benzyl or benzyl mono or disubstituted independently with halogen substituents, C<sub>1</sub>-C<sub>4</sub>alkylpyridyl or C<sub>1</sub>-C<sub>4</sub> alkylimidazolyl and p is zero (as depicted in formula Ib); or (3) R<sup>4</sup>, and R<sup>6</sup> form the group -CR<sup>8</sup>=U-V= wherein R<sup>8</sup> is hydrogen, C<sub>1</sub>-C<sub>4</sub> alkyl or C<sub>1</sub>-C<sub>3</sub> hydroxyalkyl, U is N or CR<sup>9</sup> wherein R<sup>9</sup> is H, C<sub>1</sub>-C<sub>4</sub> alkyl, C<sub>1</sub>-C<sub>3</sub> hydroxyalkyl or C<sub>1</sub>-C<sub>4</sub>alkoxy- C<sub>1</sub>-C<sub>4</sub>alkyl, V is N or CH and p is zero (as depicted in formula Ic);

or pharmaceutically acceptable salts and or solvates thereof.

5 The term "aryl," alone or in combination, is defined herein as a monocyclic or polycyclic group, preferably a monocyclic or bicyclic group, *e.g.*, phenyl or naphthyl, which can be unsubstituted or substituted, for example, with one or more and, in particular, one to three substituents selected from halogen, C<sub>1</sub>-C<sub>4</sub> branched or straight chained alkyl, C<sub>1</sub>-C<sub>4</sub> alkoxy, C<sub>1</sub>-C<sub>4</sub> haloalkyl, hydroxy, nitro, amino, and the like. The term "heteroaryl" is defined herein as a 5-membered or 6-membered heterocyclic aromatic group which can optionally carry a fused benzene ring and wherein said 5-membered or 6-membered heterocyclic aromatic group can be unsubstituted or substituted, for example, with one or more and, in particular, one to three substituents selected from halogen, C<sub>1</sub>-C<sub>4</sub> branched or straight chained alkyl, C<sub>1</sub>-C<sub>4</sub> alkoxy, C<sub>1</sub>-C<sub>4</sub> haloalkyl, hydroxy, nitro, amino, and the like. The term "alkoxy," alone or in combination, is defined herein to include an alkyl group, as defined earlier, which is attached through an oxygen atom to the parent molecular subunit. Exemplary alkoxy groups include but are not necessarily limited to methoxy, ethoxy and isopropoxy. The term "aralkyl" is defined herein as an alkyl group, as defined earlier, in which one of the hydrogen atoms is replaced by an aryl group. The term "heteroaralkyl" is defined herein as an alkyl group, as defined earlier, in which one of the hydrogen atoms is replaced by a heteroaryl group.

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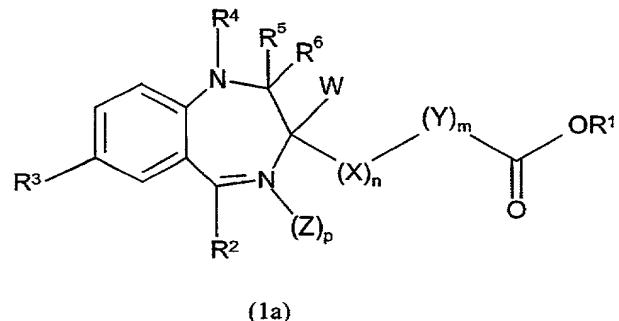
15

20 Exemplary branched or straight chained C<sub>1</sub>-C<sub>4</sub> alkyl groups include but are not necessarily limited to methyl, ethyl, propyl, isopropyl, isobutyl and n-butyl.

25 Exemplary C<sub>1</sub>-C<sub>7</sub> straight chain alkyl groups include, but are not necessarily limited to, methyl, ethyl, propyl, n-butyl, n-hexyl and n-heptyl. Exemplary C<sub>3</sub>-C<sub>7</sub> branched chain alkyl groups include, but are not necessarily limited to, isopropyl, isobutyl, *sec*-butyl, *tert*-butyl, isopentyl, neopentyl, *tert*-pentyl and isohexyl. Exemplary C<sub>3</sub>-C<sub>7</sub> cycloalkyl groups include, but are not necessarily limited to, cyclopropyl, cyclobutyl, cyclopentyl, cyclohexyl and cycloheptyl. Exemplary C<sub>1</sub>-C<sub>4</sub> haloalkyl groups include, but are not necessarily limited, to methyl, ethyl, propyl, isopropyl, isobutyl and n-butyl substituted independently with one or more halogens, *e.g.*, fluoro, chloro, bromo and iodo.

30 The compounds of formula (I) wherein R<sup>5</sup> and R<sup>6</sup> together represent an oxygen or sulphur atom linked to the diazepine ring via a double bond represent a first

embodiment of a first aspect of the present invention and are conveniently represented by the formula (1a):



wherein  $R^1$ ,  $R^2$ ,  $R^3$ ,  $W$ ,  $X$ ,  $Y$ ,  $Z$ ,  $p$ ,  $n$  and  $m$  have the meanings defined in formula (I).

In one embodiment of the compounds of formula (1a) there are provided compounds wherein

$W$  is  $H$ ;

$X$  is  $CH_2$  or  $NH$ ;  $n$  is 1;

$Y$  is  $CH_2$ ;  $m$  is 0 or 1, provided that if  $X$  is  $CH_2$ ,  $n$  is 1 and  $m$  is 0, then  $R^1$  is not  $CH_2CH_3$ ;

$Z$  is  $O$ ;  $p$  is 0 or 1;

$R^1$  is  $H$ ,  $CH_3$ ,  $CH_2CH_3$ ,  $(CH_2)_2CH_3$ ,  $(CH_2)_3CH_3$ ,  $CH_2(CH_3)_2$ ,  $CH_2CH(CH_3)_2$ ,  $C(CH_3)_3$ , benzyl, 4-pyridylmethyl or 3-pyridylmethyl;

$R^2$  is phenyl, 2-fluorophenyl, 2-chlorophenyl or 2-pyridyl;

$R^3$  is  $Cl$ ,  $Br$  or  $NO_2$ ;

$R^4$  is  $H$ ,  $CH_3$  or  $CH_2CH_2N(CH_2CH_3)_2$ ;

$R^5$  and  $R^6$  together are  $O$  or  $S$ ; or

pharmaceutically acceptable salts and solvates thereof.

A further embodiment of the compounds of formula (1a) is that wherein:

$W$  is  $H$ ;

$X$  is  $CH_2$  or  $NH$ ;  $n$  is 1;

$Y$  is  $CH_2$ ;  $m$  is 1;

$p$  is 0;

$R^1$  is  $H$ ,  $CH_3$ ,  $CH_2CH_3$ ,  $(CH_2)_2CH_3$ ,  $(CH_2)_3CH_3$ ,  $CH_2(CH_3)_2$ ,  $CH_2CH(CH_3)_2$ ,  $C(CH_3)_3$ , benzyl, 4-pyridylmethyl or 3-pyridylmethyl; provided that if  $R^1$  is 3-pyridylmethyl or

4-pyridylmethyl, then X is CH<sub>2</sub>, n is 1, Y is CH<sub>2</sub>, m is 0 or 1, R<sup>2</sup> is 2-fluorophenyl, R<sup>3</sup> is Cl, R<sup>4</sup> is H and R<sup>5</sup> and R<sup>6</sup> together is oxygen;  
R<sup>2</sup> is phenyl, 2-fluorophenyl, 2-chlorophenyl or 2-pyridyl,  
R<sup>3</sup> is Cl, Br or NO<sub>2</sub>;  
5 R<sup>4</sup> is H, CH<sub>3</sub> or CH<sub>2</sub>CH<sub>2</sub>N(CH<sub>2</sub>CH<sub>3</sub>)<sub>2</sub>; provided that when R<sup>4</sup> CH<sub>2</sub>CH<sub>2</sub>N(CH<sub>2</sub>CH<sub>3</sub>)<sub>2</sub>,  
then X is CH<sub>2</sub>, n is 1, Y is CH<sub>2</sub>, m is 1, R<sup>1</sup> is CH<sub>3</sub> or benzyl, R<sup>2</sup> is 2-fluorophenyl, R<sup>3</sup> is  
Cl and R<sup>5</sup> and R<sup>6</sup> together represent O;  
R<sup>5</sup> and R<sup>6</sup> together are O or S; or  
pharmaceutically acceptable salts and solvates thereof.

In yet a further embodiment of the present invention are the compounds of formula (1a) wherein

W is H;

X is CH<sub>2</sub> or NH; n is 1;

Y is CH<sub>2</sub>; m is 0 or 1, provided that if X is CH<sub>2</sub> and m is 0, then R<sup>1</sup> is not CH<sub>2</sub>CH<sub>3</sub>;  
p is 0;

15 R<sup>1</sup> is CH<sub>3</sub>, CH<sub>2</sub>CH<sub>3</sub>, (CH<sub>2</sub>)<sub>2</sub>CH<sub>3</sub>, (CH<sub>2</sub>)<sub>3</sub>CH<sub>3</sub>, CH<sub>2</sub>(CH<sub>3</sub>)<sub>2</sub>, CH<sub>2</sub>CH(CH<sub>3</sub>)<sub>2</sub>, C(CH<sub>3</sub>)<sub>3</sub>,  
benzyl or 4-pyridylmethyl;

R<sup>2</sup> is 2-fluorophenyl, 2-chlorophenyl or 2-pyridyl,

R<sup>3</sup> is Cl, Br or NO<sub>2</sub>;

20 R<sup>4</sup> is H, CH<sub>3</sub> or CH<sub>2</sub>CH<sub>2</sub>N(CH<sub>2</sub>CH<sub>3</sub>)<sub>2</sub>;

R<sup>5</sup> and R<sup>6</sup> together are O or S; or

pharmaceutically acceptable salts and solvates thereof.

Yet another embodiment of the present invention are compounds of formula (Ia) wherein

25 W is H;

X is CH<sub>2</sub> or NH; n is 1;

Y is CH<sub>2</sub>; m is 0 or 1, provided that if X is CH<sub>2</sub> and m is 0, then R<sup>1</sup> is not CH<sub>2</sub>CH<sub>3</sub>;  
p is 0;

30 R<sup>1</sup> is CH<sub>3</sub>, CH<sub>2</sub>CH<sub>3</sub>, (CH<sub>2</sub>)<sub>2</sub>CH<sub>3</sub>, (CH<sub>2</sub>)<sub>3</sub>CH<sub>3</sub>, CH<sub>2</sub>(CH<sub>3</sub>)<sub>2</sub>, CH<sub>2</sub>CH(CH<sub>3</sub>)<sub>2</sub>, C(CH<sub>3</sub>)<sub>3</sub>,  
benzyl or 4-pyridylmethyl; provided that when R<sup>1</sup> is 4-pyridylmethyl, then X is CH<sub>2</sub>,

Y is CH<sub>2</sub>, m is 1, R<sup>2</sup> is 2-fluorophenyl, R<sup>3</sup> is Cl, R<sup>4</sup> is H and R<sup>5</sup> and R<sup>6</sup> together represent oxygen;

R<sup>2</sup> is 2-fluorophenyl, 2-chlorophenyl or 2-pyridyl,

R<sup>3</sup> is Cl, Br or NO<sub>2</sub>;

5 R<sup>4</sup> is H, CH<sub>3</sub> or CH<sub>2</sub>CH<sub>2</sub>N(CH<sub>2</sub>CH<sub>3</sub>)<sub>2</sub>; provided that when R<sup>4</sup> is CH<sub>2</sub>CH<sub>2</sub>N(CH<sub>2</sub>CH<sub>3</sub>)<sub>2</sub>, then X is CH<sub>2</sub>, Y is CH<sub>2</sub>, m is 1, R<sup>1</sup> is CH<sub>3</sub> or benzyl, R<sup>2</sup> is 2-fluorophenyl, R<sup>3</sup> is Cl and R<sup>5</sup> and R<sup>6</sup> together represent O;

R<sup>5</sup> and R<sup>6</sup> together represent O or S; or

pharmaceutically acceptable salts and solvates thereof.

10 Yet another embodiment of the first aspect of the invention are compounds of formula (Ia) or pharmaceutically acceptable salts and solvates thereof wherein in each compound W is H and wherein X, n, Y, m, Z, p and R<sup>1-6</sup> for each compound are as follows:

X	n	Y	m	Z	p	R <sup>1</sup>	R <sup>2</sup>	R <sup>3</sup>	R <sup>4</sup>	R <sup>5</sup> and R <sup>6</sup>
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	CH <sub>3</sub>	2-fluorophenyl	Cl	H	O
CH <sub>2</sub>	1	--	0	--	0	CH <sub>3</sub>	2-fluorophenyl	Cl	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	CH <sub>3</sub>	2-fluorophenyl	Br	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	benzyl	2-fluorophenyl	Cl	H	O
CH <sub>2</sub>	1	--	0	--	0	benzyl	2-fluorophenyl	Cl	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	CH <sub>3</sub>	2-chlorophenyl	Cl	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	2	--	0	CH <sub>3</sub>	2-fluorophenyl	Cl	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	benzyl	2-pyridyl	Cl	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	CH <sub>3</sub>	2-pyridyl	Br	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	CH <sub>3</sub>	2-pyridyl	Cl	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	2	--	0	C(CH <sub>3</sub> ) <sub>3</sub>	2-fluorophenyl	Cl	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	CH <sub>3</sub>	2-fluorophenyl	NO <sub>2</sub>	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	(CH <sub>2</sub> ) <sub>2</sub> CH <sub>3</sub>	2-pyridyl	Cl	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	CH <sub>2</sub> CH <sub>3</sub>	2-pyridyl	Cl	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	4-pyridyl-methyl	2-fluorophenyl	Cl	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	(CH <sub>2</sub> ) <sub>3</sub> CH <sub>3</sub>	2-fluorophenyl	Cl	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	(CH <sub>2</sub> ) <sub>3</sub> CH <sub>3</sub>	2-pyridyl	Cl	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	CH <sub>2</sub> CH(CH <sub>3</sub> ) <sub>2</sub>	2-pyridyl	Cl	H	O
CH <sub>2</sub>	1	--	0	--	0	CH <sub>2</sub> CH <sub>3</sub>	2-fluorophenyl	Cl	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	CH(CH <sub>3</sub> ) <sub>2</sub>	2-fluorophenyl	Cl	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	CH <sub>3</sub>	2-fluorophenyl	Cl	CH <sub>2</sub> CH <sub>2</sub> N-(CH <sub>2</sub> CH <sub>3</sub> ) <sub>2</sub>	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	CH <sub>3</sub>	2-fluorophenyl	Cl	CH <sub>3</sub>	O
CH <sub>2</sub>	1	--	0	--	0	benzyl	2-fluorophenyl	Cl	CH <sub>3</sub>	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	benzyl	2-fluorophenyl	Cl	CH <sub>2</sub> CH <sub>2</sub> N-(CH <sub>2</sub> CH <sub>3</sub> ) <sub>2</sub>	O
NH	1	CH <sub>2</sub>	1	--	0	CH <sub>3</sub>	2-chlorophenyl	Cl	H	O
NH	1	CH <sub>2</sub>	2	--	0	CH <sub>3</sub>	2-chlorophenyl	Cl	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	CH <sub>3</sub>	2-fluorophenyl	Cl	H	S
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	CH <sub>3</sub>	2-chlorophenyl	Cl	H	S
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	CH <sub>3</sub>	2-pyridyl	Cl	H	S
CH <sub>2</sub>	1	CH <sub>2</sub>	1	O	1	CH <sub>3</sub>	2-fluorophenyl	Cl	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	benzyl	phenyl	NO <sub>2</sub>	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	CH <sub>3</sub>	2-fluorophenyl	H	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	CH <sub>3</sub>	2-pyridyl	NO <sub>2</sub>	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	benzyl	2-pyridyl	NO <sub>2</sub>	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	benzyl	2-fluorophenyl	H	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	CH <sub>3</sub>	phenyl	NO <sub>2</sub>	H	O
NH	1	CH <sub>2</sub>	2	--	0	(CH <sub>2</sub> ) <sub>3</sub> CH <sub>3</sub>	2-fluorophenyl	Cl	H	O
CH <sub>2</sub>	1	--	0	--	0	3-pyridyl-methyl	2-fluorophenyl	Cl	H	O
CH <sub>2</sub>	1	--	0	--	0	4-pyridyl-methyl	2-fluorophenyl	Cl	H	O

Yet another embodiment of the first aspect of the invention are compounds of formula (Ia) or pharmaceutically acceptable salts and solvates thereof wherein in each compound W is H and wherein X, n, Y, m, Z, p and R<sup>1-6</sup> for each compound are as follows:

X	n	Y	m	Z	p	R <sup>1</sup>	R <sup>2</sup>	R <sup>3</sup>	R <sup>4</sup>	R <sup>5</sup> and R <sup>6</sup>
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	CH <sub>3</sub>	2-fluorophenyl	Cl	H	O
CH <sub>2</sub>	1	--	0	--	0	CH <sub>3</sub>	2-fluorophenyl	Cl	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	CH <sub>3</sub>	2-fluorophenyl	Br	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	benzyl	2-fluorophenyl	Cl	H	O
CH <sub>2</sub>	1	--	0	--	0	benzyl	2-fluorophenyl	Cl	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	CH <sub>3</sub>	2-chlorophenyl	Cl	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	2	--	0	CH <sub>3</sub>	2-fluorophenyl	Cl	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	benzyl	2-pyridyl	Cl	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	CH <sub>3</sub>	2-pyridyl	Br	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	CH <sub>3</sub>	2-pyridyl	Cl	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	2	--	0	C(CH <sub>3</sub> ) <sub>3</sub>	2-fluorophenyl	Cl	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	CH <sub>3</sub>	2-fluorophenyl	NO <sub>2</sub>	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	(CH <sub>2</sub> ) <sub>2</sub> CH <sub>3</sub>	2-pyridyl	Cl	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	CH <sub>2</sub> CH <sub>3</sub>	2-pyridyl	Cl	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	4-pyridylmethy l	2-fluorophenyl	Cl	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	(CH <sub>2</sub> ) <sub>3</sub> CH <sub>3</sub>	2-fluorophenyl	Cl	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	(CH <sub>2</sub> ) <sub>3</sub> CH <sub>3</sub>	2-pyridyl	Cl	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	CH <sub>2</sub> CH(CH <sub>3</sub> ) <sub>2</sub>	2-pyridyl	Cl	H	O
CH <sub>2</sub>	1	--	0	--	0	CH <sub>2</sub> CH <sub>3</sub>	2-fluorophenyl	Cl	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	CH(CH <sub>3</sub> ) <sub>2</sub>	2-fluorophenyl	Cl	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	CH <sub>3</sub>	2-fluorophenyl	Cl	CH <sub>2</sub> CH <sub>2</sub> N(CH <sub>2</sub> CH <sub>3</sub> ) <sub>2</sub>	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	CH <sub>3</sub>	2-fluorophenyl	Cl	CH <sub>3</sub>	O
CH <sub>2</sub>	1	--	0	--	0	benzyl	2-fluorophenyl	Cl	CH <sub>3</sub>	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	benzyl	2-fluorophenyl	Cl	CH <sub>2</sub> CH <sub>2</sub> N(CH <sub>2</sub> CH <sub>3</sub> ) <sub>2</sub>	O
NH	1	CH <sub>2</sub>	1	--	0	CH <sub>3</sub>	2-chlorophenyl	Cl	H	O
NH	1	CH <sub>2</sub>	2	--	0	CH <sub>3</sub>	2-chlorophenyl	Cl	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	CH <sub>3</sub>	2-fluorophenyl	Cl	H	S
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	CH <sub>3</sub>	2-chlorophenyl	Cl	H	S
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	CH <sub>3</sub>	2-pyridyl	Cl	H	S
CH <sub>2</sub>	1	CH <sub>2</sub>	1	O	1	CH <sub>3</sub>	2-fluorophenyl	Cl	H	O

Yet another embodiment of the first aspect of the invention are compounds of formula (Ia) or pharmaceutically acceptable salts and solvates thereof wherein in each compound W is H, and p is 0, and wherein X, n, Y, m, R<sup>1-6</sup> for each compound are as follows:

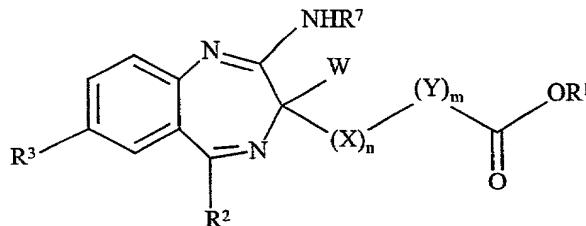
5

X	n	Y	m	R <sup>1</sup>	R <sup>2</sup>	R <sup>3</sup>	R <sup>4</sup>	R <sup>5</sup> and R <sup>6</sup>
CH <sub>2</sub>	1	CH <sub>2</sub>	1	CH <sub>3</sub>	2-fluorophenyl	Cl	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	CH <sub>3</sub>	2-fluorophenyl	Br	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	CH <sub>3</sub>	2-pyridyl	Cl	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	CH <sub>3</sub>	2-fluorophenyl	Cl	CH <sub>3</sub>	O

Yet another embodiment of the first aspect of the invention is a compound of formula (Ia) or a pharmaceutically acceptable salt and solvate thereof wherein W is H, X is CH<sub>2</sub>, n is 1, Y is CH<sub>2</sub>, m is 1, p is 0, R<sup>1</sup> is CH<sub>3</sub>, R<sup>2</sup> is 2-fluorophenyl, R<sup>3</sup> is Cl, R<sup>4</sup> is H and R<sup>5</sup> and R<sup>6</sup> together represent oxygen.

The compounds of formula (I) wherein R<sup>4</sup> and R<sup>5</sup> together form a double bond in the diazepine ring and wherein R<sup>6</sup> is the group NHR<sup>7</sup> represent a further embodiment of the first aspect of the invention and are conveniently represented by formula (1b).

15



Formula (Ib)

wherein R<sup>1</sup>, R<sup>2</sup>, R<sup>4</sup>, R<sup>7</sup>, W, X, Y, n and m have the meanings defined in formula (I).

20

In a further embodiment of the first aspect of the invention are compounds of formula (Ib) or pharmaceutically acceptable salts and solvates thereof wherein W is H, X is CH<sub>2</sub>, n is 1, Y is CH<sub>2</sub>, m is 1, R<sup>1</sup> is CH<sub>3</sub>, R<sup>2</sup> is 2-fluorophenyl, 2-chlorophenyl or 2-pyridyl, R<sup>3</sup> is Cl or Br and R<sup>7</sup> is CH<sub>3</sub>, CH<sub>2</sub>CH<sub>3</sub>, benzyl, 4-pyridylmethyl-, 4-pyridylethyl, CH(CH<sub>3</sub>)<sub>2</sub>, 4-imidazolylethyl or CH<sub>2</sub>CH<sub>2</sub>OH.

In yet another embodiment of the first aspect of the invention are compounds of formula (Ib) or pharmaceutically acceptable salts and solvates thereof wherein in each compound W is H, X is CH<sub>2</sub>, n is 1, Y is CH<sub>2</sub>, m is 1, R<sup>1</sup> is CH<sub>3</sub>, and wherein R<sup>2</sup>, R<sup>3</sup> and R<sup>7</sup> for each compound are as follows:

5

R <sup>2</sup>	R <sup>3</sup>	R <sup>7</sup>
2-fluorophenyl	Cl	CH <sub>3</sub>
2-pyridyl	Cl	CH <sub>3</sub>
2-fluorophenyl	Cl	CH <sub>2</sub> CH <sub>3</sub>
2-fluorophenyl	Cl	benzyl
2-fluorophenyl	Cl	4-pyridylmethyl
2-fluorophenyl	Cl	4-pyridylethyl
2-fluorophenyl	Cl	CH <sub>2</sub> CH(CH <sub>3</sub> ) <sub>2</sub>
2-fluorophenyl	Cl	2-(4-imidazolyl)ethyl
2-fluorophenyl	Cl	CH <sub>2</sub> CH <sub>2</sub> OH
2-fluorophenyl	Br	CH <sub>3</sub>
2-chlorophenyl	Cl	CH <sub>3</sub>

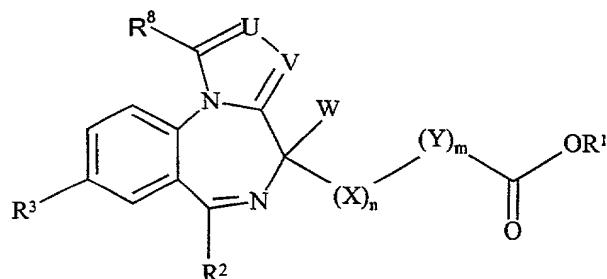
Yet another embodiment of the first aspect of the invention are compounds of formula (Ib) or pharmaceutically acceptable salts and solvates thereof wherein in each compound W is H, X is CH<sub>2</sub>, n is 1, Y is CH<sub>2</sub>, m is 1, R<sup>1</sup> is CH<sub>3</sub>, R<sup>2</sup> is 2-fluorophenyl, R<sup>3</sup> is chlorine or bromine and R<sup>7</sup> is methyl.

10

Yet another embodiment of the first aspect of the invention is a compound of formula (Ib) or a pharmaceutically acceptable salt and solvate thereof wherein W is H, X is CH<sub>2</sub>, n is 1, Y is CH<sub>2</sub>, m is 1, R<sup>1</sup> is CH<sub>3</sub>, R<sup>2</sup> is 2-fluorophenyl, R<sup>3</sup> is Cl and R<sup>4</sup> is CH<sub>3</sub>.

15

The compounds of formula (I) where the groups R<sup>4</sup> and R<sup>5</sup> and R<sup>6</sup> together form the group -CR<sup>8</sup>=U-V= represent a further embodiment of the first aspect of the invention and may be conveniently represented by the compound of formula (Ic):



Formula (Ic)

wherein  $R^1$ ,  $R^2$ ,  $R^8$ , U, V, W, X, Y, n and m have the meanings given in formula (I).

In yet another embodiment of the first aspect of the invention are compounds of formula (Ic) or pharmaceutically acceptable salts and solvates thereof wherein

W is H,

X is  $CH_2$ , n is 1;

Y is  $CH_2$ , m is 1;

$R^1$  is  $CH_3$  or  $CH_2CH(CH_3)_2$ ;

$R^2$  is 2-fluorophenyl, 2-chlorophenyl or 2-pyridyl;

$R^3$  is Cl or Br;

$R^8$  is H,  $CH_3$  or  $CH_2OH$ ;

$R^9$  is H,  $CH_3$ ,  $CH_2OH$  or  $CH_2O-t-butyl$ ;

U is  $CR^9$  or N; and

V is N or CH.

Yet another embodiment of the first aspect of the invention are compounds of formula (Ic) or pharmaceutically acceptable salts and solvates thereof wherein

W is H,

X is  $CH_2$ , n is 1;

Y is  $CH_2$ , m is 1;

$R^1$  is  $CH_3$  or  $CH_2CH(CH_3)_2$ ; provided that when  $R^1$  is  $CH_2CH(CH_3)_2$ , then  $R^2$  is 2-fluorophenyl,  $R^3$  is Cl,  $R^8$  is  $CH_3$ , U is N and V is N;

$R^2$  is 2-fluorophenyl, 2-chlorophenyl or 2-pyridyl;

$R^3$  is Cl or Br;

$R^8$  is H,  $CH_3$  or  $CH_2OH$ ;

$R^9$  is H,  $CH_3$ ,  $CH_2OH$  or  $CH_2O-t\text{-butyl}$ ;

U is  $CR^9$  or N; and

V is N or CH.

Yet another embodiment of the first aspect of the invention are compounds of formula (Ic) or pharmaceutically acceptable salts and solvates thereof wherein in each compound W is H, X is  $CH_2$ , n is 1, Y is  $CH_2$ , m is 1 and wherein  $R^1$ ,  $R^2$ ,  $R^3$ ,  $R^8$ , U and V for each compound are as follows:

$R^1$	$R^2$	$R^3$	$R^8$	U	V
$CH_3$	2-fluorophenyl	Cl	H	CH	N
$CH_3$	2-fluorophenyl	Cl	$CH_3$	CH	N
$CH_3$	2-fluorophenyl	Cl	H	$C-CH_3$	N
$CH_3$	2-fluorophenyl	Cl	H	$C-CH_2OH$	N
$CH_3$	2-fluorophenyl	Cl	$CH_2OH$	CH	N
$CH_3$	2-pyridyl	Cl	H	CH	N
$CH_3$	2-pyridyl	Cl	$CH_3$	CH	N
$CH_3$	2-pyridyl	Br	$CH_3$	CH	N
$CH_3$	2-pyridyl	Br	H	$C-CH_3$	N
$CH_3$	2-pyridyl	Cl	H	$C-CH_3$	N
$CH_3$	2-pyridyl	Cl	H	$C-CH_2OH$	N
$CH_3$	2-pyridyl	Cl	$CH_2OH$	CH	N
$CH_3$	2-pyridyl	Cl	$CH_3$	$C-CH_3$	N
$CH_3$	2-chlorophenyl	Cl	$CH_3$	N	N
$CH_3$	2-fluorophenyl	Cl	$CH_3$	N	N
$CH_2CH(CH_3)_2$	2-fluorophenyl	Cl	$CH_3$	N	N
$CH_3$	2-fluorophenyl	Cl	H	N	CH
$CH_3$	2-fluorophenyl	Cl	$CH_3$	N	CH
$CH_3$	2-fluorophenyl	Cl	H	$C-CH_2O-t\text{-butyl}$	N
$CH_3$	2-pyridyl	Cl	$CH_3$	$C-CH_2OH$	N

Yet another embodiment of the first aspect of the invention are compounds of formula (Ic) or pharmaceutically acceptable salts and solvates thereof wherein in each compound W is H, X is  $CH_2$ , n is 1, Y is  $CH_2$ , m is 1 and wherein  $R^1$ ,  $R^2$ ,  $R^3$ ,  $R^8$ , U and V for each compound are as follows:

$R^1$	$R^2$	$R^3$	$R^8$	U	V
$CH_3$	2-pyridyl	Br	$CH_3$	CH	N

CH <sub>3</sub>	2-pyridyl	Cl	CH <sub>3</sub>	CH	N
CH <sub>3</sub>	2-fluorophenyl	Cl	CH <sub>3</sub>	N	CH
CH <sub>3</sub>	2-pyridyl	Br	H	C-CH <sub>3</sub>	N

Yet another embodiment of the first aspect of the invention is a compound of formula (Ic) or a pharmaceutically acceptable salt and solvate thereof wherein in W is H, X is CH<sub>2</sub>, n is 1, Y is CH<sub>2</sub>, m is 1, R<sup>1</sup> is CH<sub>3</sub>, R<sup>2</sup> is 2-pyridyl, R<sup>3</sup> is Br, R<sup>8</sup> is CH<sub>3</sub>, U is CH and V is N.

Those skilled in the art will recognize that a stereocenter exists in compounds of Formula (I). Accordingly, the present invention includes individual enantiomers of the compounds of Formula (I) substantially free of the other enantiomer, as well as in racemic or other admixture with the other enantiomer.

#### General Procedures

As used herein the symbols and conventions used in these processes, schemes and examples are consistent with those used in the contemporary scientific literature, for example, the *Journal of the American Chemical Society* or the *Journal of Biological Chemistry*. Standard single-letter or three-letter abbreviations are generally used to designate amino acid residues which are assumed to be in the L-configuration unless otherwise noted. Unless otherwise noted, all starting materials were obtained from commercial suppliers and used without further purification. Specifically, the following abbreviations may be used in the examples and throughout the specification: g (grams); mg (milligrams); L (liters); mL (milliliters);  $\mu$ L (microliters); psi (pounds per square inch); M (molar); mM (millimolar); i. v. (intravenous); Hz (Hertz); MHz (megahertz); mol (moles); mmol (millimoles); RT (room temperature); min (minutes); h (hours); mp (melting point); TLC (thin layer chromatography); HPLC (high pressure liquid chromatography); T<sub>r</sub> (retention time); RP (reverse phase); MeOH (methanol); i-PrOH (isopropanol); TEA (triethylamine); TFA (trifluoroacetic acid); TFAA (trifluoroacetic anhydride); THF (tetrahydrofuran); DMSO (dimethylsulfoxide); EtOAc (ethyl acetate); DME (1,2-dimethoxyethane); DCM (dichloromethane); DCE (dichloroethane); DMF (*N,N*-dimethylformamide); DMPU (*N,N'*-dimethylpropyleneurea); (CDI (1,1-carbonyldiimidazole); IBCF (isobutyl chloroformate); HOAc (acetic acid); HOSu (*N*-hydroxysuccinimide); HOBT

(1-hydroxybenzotriazole); mCPBA (meta-chloroperbenzoic acid; EDC (ethylcarbodiimide hydrochloride); BOP (bis(2-oxo-3-oxazolidinyl)phosphinic chloride); BOC (*tert*-butyloxycarbonyl); FMOC (9-fluorenylmethoxycarbonyl); DCC (dicyclohexylcarbodiimide); CBZ (benzyloxycarbonyl); Ac (acetyl); atm (atmosphere); TBAF (tetra-*n*-butylammonium fluoride); TMSE (2-(trimethylsilyl)ethyl); TMS (trimethylsilyl); TIPS (triisopropylsilyl); TBS (*t*-butyldimethylsilyl); DMAP (4-dimethylaminopyridine). All references to ether are to diethyl ether; brine refers to a saturated aqueous solution of NaCl. Unless otherwise indicated, all temperatures are expressed in °C (degrees Centigrade). All reactions conducted under an inert atmosphere at room temperature unless otherwise noted.

<sup>1</sup>H NMR spectra were recorded on a Varian VXR-300, a Varian Unity-300, a Varian Unity-400 instrument, or a General Electric QE-300. Chemical shifts are expressed in parts per million (ppm,  $\delta$  units). Coupling constants are in units of hertz (Hz). Splitting patterns describe apparent multiplicities and are designated as s (singlet), d (doublet), t (triplet), q (quartet), m (multiplet), br (broad).

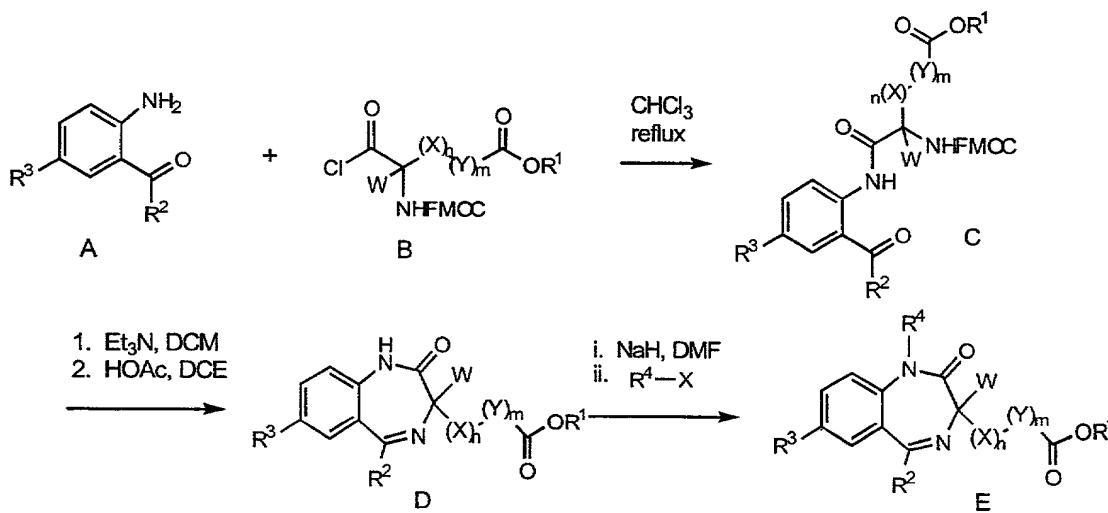
Low-resolution mass spectra (MS) were recorded on a JOEL JMS-AX505HA, JOEL SX-102, or a SCIEX-APIii spectrometer; high resolution MS were obtained using a JOEL SX-102A spectrometer. All mass spectra were taken under electrospray ionization (ESI), chemical ionization (CI), electron impact (EI) or by fast atom bombardment (FAB) methods. Infrared (IR) spectra were obtained on a Nicolet 510 FT-IR spectrometer using a 1-mm NaCl cell. Rotations were recorded on a Perkin-Elmer 241 polarimeter. All reactions were monitored by thin-layer chromatography on 0.25 mm E. Merck silica gel plates (60F-254), visualized with UV light, 5% ethanolic phosphomolybdic acid or p-anisaldehyde solution. Flash column chromatography was performed on silica gel (230-400 mesh, Merck). Optical rotations were obtained using a Perkin Elmer Model 241 Polarimeter. Melting points were determined using a Mel-Temp II apparatus and are uncorrected.

Compounds of general formula (I) may be prepared by methods known in the art of organic synthesis as set forth in part by the following synthesis schemes. In all of the schemes described below, it is well understood that protecting groups for sensitive or reactive groups are employed where necessary in accordance with general

principles of chemistry. Protecting groups are manipulated according to standard methods of organic synthesis (T. W. Green and P. G. M. Wuts (1991) Protecting Groups in Organic Synthesis, John Wiley & Sons). These groups are removed at a convenient stage of the compound synthesis using methods that are readily apparent to those skilled in the art. The selection of processes as well as the reaction conditions and order of their execution shall be consistent with the preparation of compounds of Formula I. Those skilled in the art will recognize that a stereocenter exists in compounds of Formula I. Accordingly, the present invention includes both possible stereoisomers and includes not only racemic compounds but the individual enantiomers as well. When a compound is desired as a single enantiomer, it may be obtained by stereospecific synthesis or by resolution of the final product or any convenient intermediate. Resolution of the final product, an intermediate, or a starting material may be effected by any suitable method known in the art. See, for example, Stereochemistry of Organic Compounds by E. L. Eliel, S. H. Wilen, and L. N. Mander (Wiley-Interscience, 1994).

Compounds of Formula (Ia, wherein  $X = \text{CH}_2$ ,  $R^4 = \text{H}$ ,  $R^5$  and  $R^6 = \text{O}$ ,  $p = 0$ ) can be prepared according to the synthetic sequence shown in Scheme 1a and further detailed in the Examples section (*vide infra*). An appropriate aminobenzophenone (A) is coupled to a suitably protected (e.g., FMOC) amino acid chloride (B) in a suitable solvent, e.g., chloroform, to provide amide (C) (*J. Org. Chem.* 1986, 51, 3732-3734). Carbodiimide-mediated coupling (such as with DCC or EDC) can also be used for this condensation. Base-mediated removal of the amine protecting group (e.g., triethylamine, DCM) and subsequent cyclization (acetic acid, DCE) provides (D), which are compounds of formula Ia wherein  $R^5$  and  $R^6$  together represent O for compounds wherein  $R^4$  is a substituent other than hydrogen, the anilide nitrogen can be alkylated by deprotonation with a base such as NaH in a suitable solvent (e.g. DMF), followed by addition of an alkylating agent such as  $R^4\text{I}$ , thereby providing the N-alkylated compounds (E), which are also represented by formula (Ia).

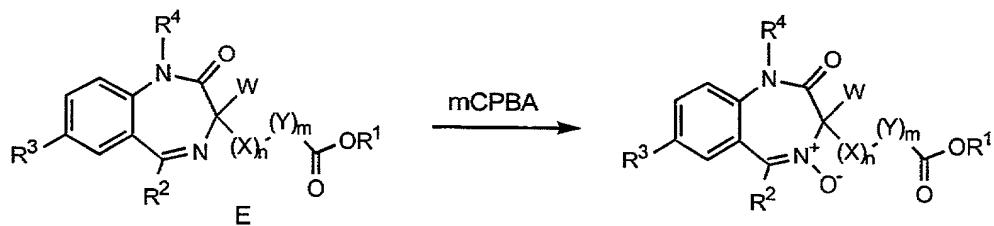
SCHEME 1a



5 N4-oxide derivatives of compounds of Formula (I) ( $Z = O$ ,  $p = 1$ ) can be prepared from compounds of formula (1a) wherein  $p$  is zero according to the synthetic sequence shown in Scheme 1b. It is readily apparent to one skilled in the art that benzodiazepinones represented by structure (E) can be oxidized by treatment with mCPBA or other oxidant in a suitable solvent (e.g., DCM).

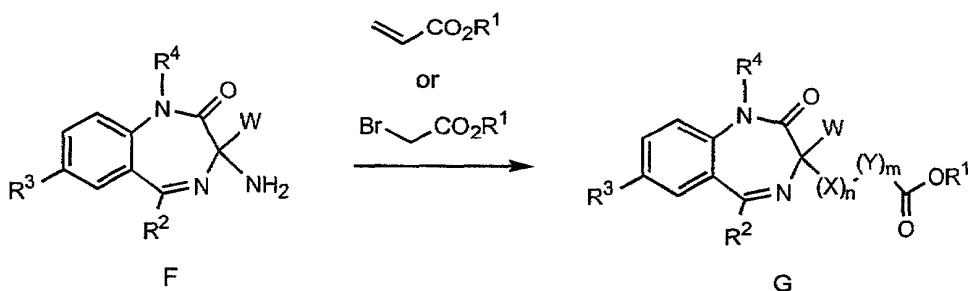
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SCHEME 1b



Compounds of Formula Ia wherein X is NH and p = 0 may be prepared from the appropriate 3-aminobenzodiazepine F, which can be readily prepared by methods previously described (R. G. Sherrill *et al.*, *J. Org. Chem.* 1995, 60, 730). The 3-amino-1,4-benzodiazepine thus obtained can be manipulated according to the sequence set forth in Scheme 2 and further detailed in the Examples section (*vide infra*). Alkylation of the 3-amino can be achieved by treatment with a 2-haloacetate (e.g. 2-bromoacetate) or conjugate addition to an appropriate unsaturated ester (e.g. methyl acrylate), providing derivatives G.

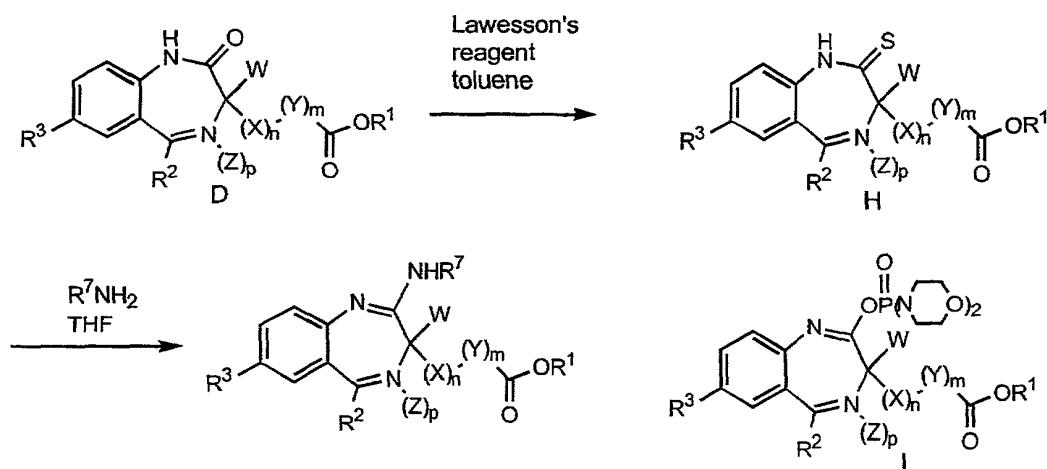
## SCHEME 2



Compounds of Formula Ia (wherein  $\text{R}^5\text{R}^6 = \text{O}$ ) can be converted to their corresponding wherein  $\text{R}^5\text{R}^6 = \text{S}$  with Lawesson's reagent in toluene or other suitable solvent (*J. Org. Chem.* 1964, 29, 231-233).

Compounds of formula Ib may be synthesized as shown in Scheme 3 and further detailed in the Examples section (*vide infra*). Thus reaction of a compound of formula (1a) wherein  $\text{R}^4$  is hydrogen,  $\text{R}^5\text{R}^6 = \text{O}$  and p is zero (D) with Lawesson's reagent as described above gives the thiolactam (H).

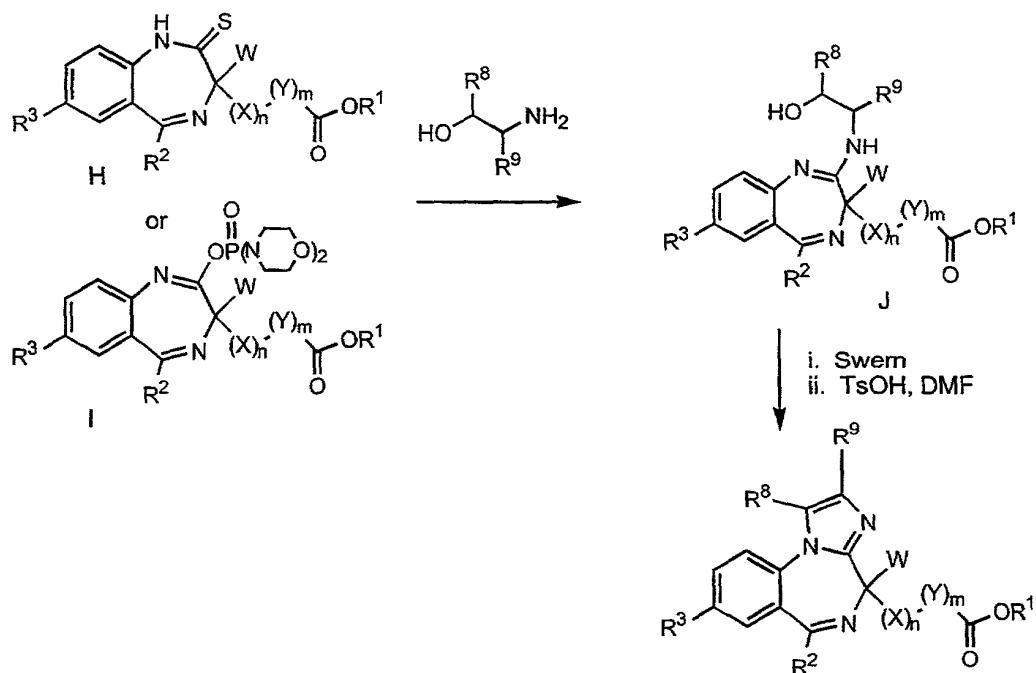
### SCHEME 3



Condensation of the thiolactam (**H**) with an amine  $R^7NH_2$  in tetrahydrofuran affords the corresponding compounds of Formula (1b). Alternatively, compounds of Formula (1b) can be prepared by addition of an amine  $R^7NH_2$  to the iminophosphate (**I**) in THF, which is prepared by reaction of compound (**D**) with an appropriate phosphoryl chloride reagent, preferably the bis-morpholinophosphoryl chloride (Ning *et al.*, *J. Org. Chem.* **1976**, *41*, 2720-2724; Ning *et al.*, *J. Org. Chem.* **1976**, *41*, 2724-2727).

A method of preparation of compounds of formula (1c; U = CR<sup>9</sup>; V = N), is set forth in Scheme 4 and further detailed in the Examples section (*vide infra*).

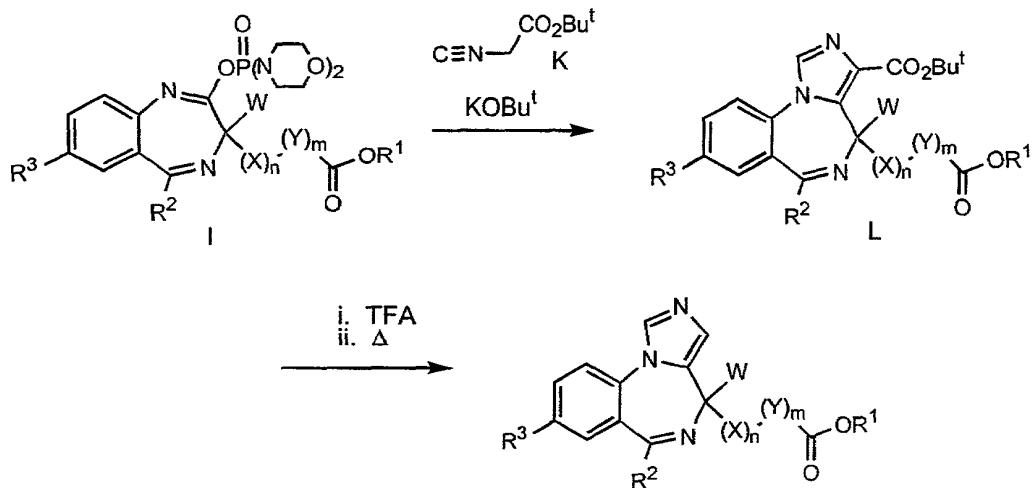
SCHEME 4



These methods are analogous to those described (WO 96/20941, WO 96/23790). Reaction between either the thiolactam (**H**) or iminophosphate (**I**) and an appropriate an amino alcohol  $\text{HOCH}(\text{R}^8)\text{-CH}(\text{R}^9)\text{NH}_2$  provides adduct (**J**). Swern oxidation (i. DMSO, TFAA or  $(\text{COCl})_2$ ; TEA) of the hydroxyl group provides an intermediate ketone or aldehyde that undergoes cyclodehydration, spontaneously or under appropriate acidic conditions (e.g. p-toluenesulfonic acid, DMF), to provide compounds of formula (1c;  $\text{U} = \text{CR}^9$ ;  $\text{V} = \text{N}$ ).

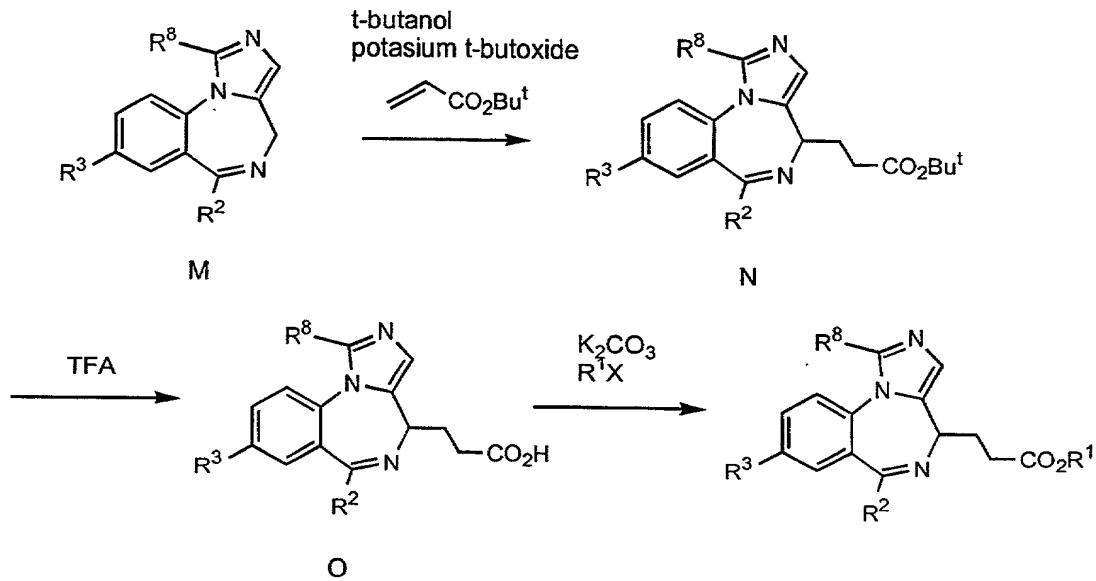
As set forth in Scheme 5, reaction of (**I**) (*J. Med. Chem.* 1993, 36, 479-490; *J. Med. Chem.* 1993, 36, 1001-1006) with the anion of isonitrile ester (**K**) delivers imidazole (**L**) as the product; subsequent removal of the ester functionality by methods set forth in the examples (*vide infra*) provides compounds of formula 1c;  $\text{U} = \text{N}$ ;  $\text{V} = \text{CH}$ .

SCHEME 5



An alternative method for the preparation of compounds of formula (1c; wherein X is CH<sub>2</sub>, n is Z, m = 0, U = N; V = CH), is set forth in Scheme 6 and further detailed in the Examples section (*vide infra*). C4-unsubstituted imidazobenzodiazepine (**M**) is treated with a strong base (preferably potassium t-butoxide) and the anion is treated with a suitable Michael acceptor, such as t-butyl acrylate. The resultant ester adduct (**N**) is treated with a strong acid (e.g., TFA) to remove the t-butyl group and the carboxylic acid (**O**) is esterified to provide compounds of Formula (1c) by base-mediated alkylation with an alkyl halide.

### SCHEME 6

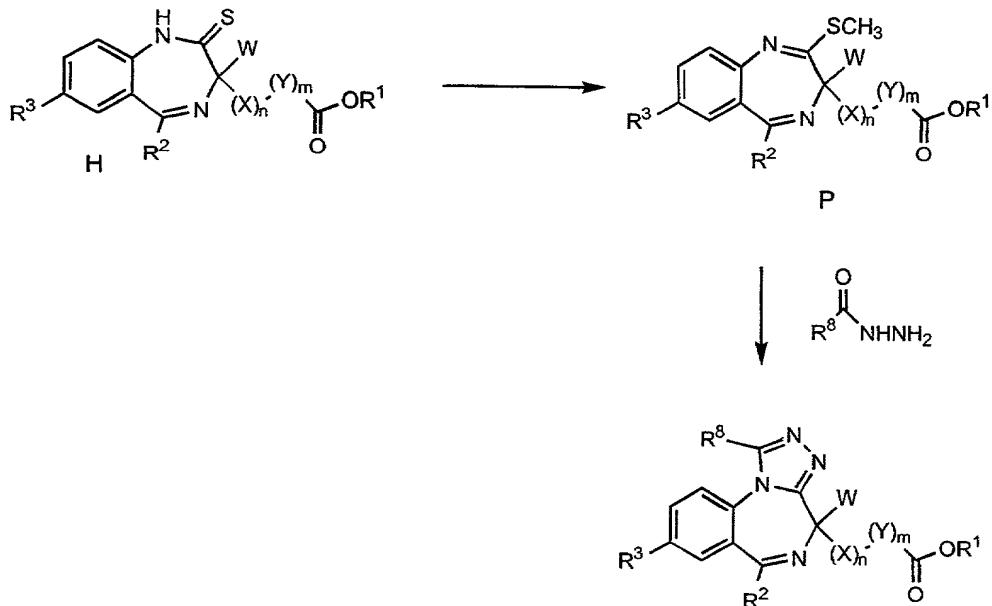


Alternative methods to prepare these compounds have also been described (e.g., *J. Org. Chem.* **1978**, *43*, 936-944).

Compounds of formula 1c ( $U = N$ ;  $V = N$ ), may be prepared as set forth in Scheme 7 and further detailed in the Examples section (*vide infra*). Thiolactam (**H**) is converted to its corresponding methylthioimidate (**P**), which then undergoes condensation and cyclodehydration to provide the desired triazolobenzodiazepine.

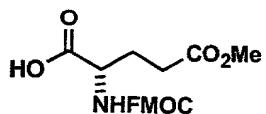
10

SCHEME 7



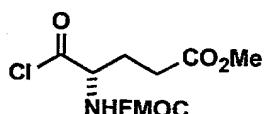
Synthesis of Key Intermediates

The following section describes the preparation of intermediates that may be used in the synthesis of compounds of Formula I. There may be examples wherein the starting material can be prepared according to the methods set forth in the synthesis of an intermediate. It should be readily apparent to one skilled in the art how these methods can be applied to include all compounds of Formula I.



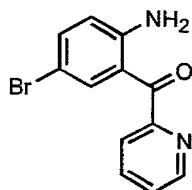
The synthesis of the FMOC-Glu(OMe)-OH was carried out as described in *Int. J. Peptide Protein Res.* 1989, 33, 353.

Intermediate-1 (Int-1)

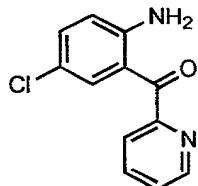


5 FMOC-Glu(OMe)-OH (80 g, 0.21 mol) was dissolved in CH<sub>2</sub>Cl<sub>2</sub> (523 mL). DMF (1 mL) was added followed by dropwise addition of oxalyl chloride (19 mL, 0.22 mol). The solution was stirred at room temperature for 4 h, and concentrated *in vacuo* to a volume of ca. 200 mL. To this stirring concentrate was added hexanes by rapid dropwise addition. The resulting slurry was stirred for 30 min and filtered to provide the required acid chloride as a white solid (83 g, 98%).

10 **Int-2**



15 To a -40°C solution of 2.5 M n-butyllithium in hexane (400 mL, 1000 mmol, 4 eq) and diethyl ether (1 L) was added 2-bromopyridine (173.93 g, 1101 mmol, 4.4 eq) over approximately 30 min. The reaction was stirred for 1 h at -40°C, and then treated with 5-bromoanthranilic acid (54.14 g, 250.6 mmol, 1 eq) in THF (1 L). The reaction was warmed to 0°C and stirred 2 h at 0°C, then quenched with chlorotrimethylsilane (625 mL, 4924 mmol, 20 eq). The reaction was stirred 30 min at ambient temperature, then cooled to 0°C and quenched with 3N HCl (625 mL). The aqueous layer was separated, and the organic layer was extracted once with 3N HCl. The combined aqueous layers were neutralized with solid sodium hydroxide pellets, with cooling via ice bath. The resulting mixture was extracted with diethyl ether (3 × 1 L). The combined ether layers were dried over sodium sulfate, filtered and concentrated to a black oil, which was subsequently purified by flash chromatography (1 L silica gel, 20-30% ethyl acetate/hexane) to give the required compound as a brown solid (62 g, 224 mmol, 89.3%).

Int-3

5            *tert*-Butyllithium (43.4 mL of a 1.7 M solution in pentane, 73.8 mmol) was added to a solution of N-BOC-4-chloroaniline (7.00 g, 30.8 mmol) in THF (154 mL) at -78 °C. The reaction mixture was stirred for 15 min then warmed to -20 °C and stirred an additional 2 h. The reaction mixture was cooled to -78 °C, treated with 2-pyridinecarboxaldehyde (2.92 mL, 30.8 mmol), stirred for 2 h, treated with saturated aqueous NaHCO<sub>3</sub> (ca. 50 mL), and warmed to room temperature. The mixture was concentrated under reduced pressure and the residue was extracted with EtOAc (1 x 500 mL). The organic phase was washed with saturated aqueous NaHCO<sub>3</sub> (1 x 100 mL), H<sub>2</sub>O (1 x 100 mL), saturated aqueous NaCl (1 x 100 mL), dried (Na<sub>2</sub>SO<sub>4</sub>), and concentrated under reduced pressure. The residue was dissolved in CHCl<sub>3</sub> (150 mL), treated with activated MnO<sub>2</sub> (58% by weight, 30.0 g, 200 mmol), and stirred for 18 h. The reaction mixture was filtered through a pad of Celite using additional CHCl<sub>3</sub> (ca. 100 mL) and the filtrate was concentrated under reduced pressure. Purification by flash chromatography, elution with 9:1 hexane-EtOAc, gave 6.02 g (59%) of the intermediate BOC-protected aminobenzophenone as a foam.

20            A solution of the BOC-protected aminobenzophenone described above (5.93 g, 17.8 mmol) and HCl (18.0 mL of a 4M solution in 1,4-dioxane, 71.3 mmol) in CH<sub>2</sub>Cl<sub>2</sub> was stirred for 4 h at room temperature then concentrated under reduced pressure. The residue was diluted with EtOAc (ca. 200 mL) and treated with saturated aqueous NaHCO<sub>3</sub> until CO<sub>2</sub> evolution ceased. The layers were separated and the organic phase was washed with saturated aqueous NaHCO<sub>3</sub> (1 x 50 mL), H<sub>2</sub>O (1 x 50 mL), saturated aqueous NaCl (1 x 50 mL), dried (Na<sub>2</sub>SO<sub>4</sub>), and concentrated under reduced pressure to give 3.83 g (92%) of the title compound as a yellow amorphous solid; <sup>1</sup>H NMR (400 MHz, DMSO-*d*<sub>6</sub>) δ 8.68 (d, *J* = 4.6 Hz, 1H), 8.02 (ddd, *J* = 7.8, 7.8, 1.6 Hz, 1H), 7.78 (d, *J* = 7.8 Hz, 1H), 7.59 (m, 1 H), 7.52 (d, *J* = 2.6

Hz, 1H), 7.42 (br s, 2H), 7.31 (dd,  $J$  = 9.0, 2.6 Hz, 1 H), 6.89 (d,  $J$  = 9.0 Hz, 1 H);  
ESIMS 233 (M+H), 107 (base).

**Int-4**

5



A solution of 2-(2-aminobenzoyl)pyridine (1.29 g, 6.32 mmol, *Syn. Comm.* 1996, 26, 721-727) and trifluoroacetic anhydride (1.10 mL, 7.79 mmol) in  $\text{CHCl}_3$  (35 mL) was heated at 42 °C for 5 h. The reaction mixture was concentrated under reduced pressure and the residue was dissolved in EtOAc (ca. 250 mL), washed with saturated aqueous  $\text{NaHCO}_3$  (2 x 50 mL),  $\text{H}_2\text{O}$  (1 x 50 mL), brine (1 x 50 mL), dried ( $\text{Na}_2\text{SO}_4$ ) and concentrated under reduced pressure to give 1.91 g (99%) of the trifluoracetanilide.

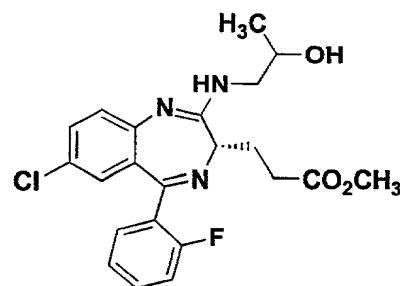
15 A mixture of  $\text{KNO}_3$  (905 mg, 8.96 mmol) in concentrated  $\text{H}_2\text{SO}_4$  (12 mL) was added to a mixture of amide (1.76 g, 5.97 mmol) in concentrated  $\text{H}_2\text{SO}_4$  (18 mL) maintaining the reaction temperature at  $\leq 16$  °C with an ice bath. The reaction mixture was allowed to warm to room temperature, stirred 4 h, and poured onto ice (ca. 150 g). The mixture was neutralized by slow addition of 25% aqueous NaOH (ca. 175 mL) maintaining the temperature at  $\leq 18$  °C with an ice bath. The aqueous layer was extracted with EtOAc (2 x 200 mL). The combined organic extracts were washed with  $\text{H}_2\text{O}$  (1 x 100 mL), brine (1 x 100 mL), dried ( $\text{Na}_2\text{SO}_4$ ) and concentrated under reduced pressure to give a solid. Purification by flash chromatography, elution with 20% EtOAc-hexane, to provide 1.19 g (59%) of the 4-nitro-trifluoracetanilide compound as a yellow solid.

20 25 A mixture of the nitro compound prepared as above (1.14 g, 3.36 mmol), MeOH (33 mL), and  $\text{H}_2\text{O}$  (13 mL) was treated with  $\text{K}_2\text{CO}_3$  (2.32 g, 16.8 mmol) and heated at reflux for 2 h. The reaction mixture was cooled to room temperature and MeOH was removed under reduced pressure. The aqueous residue was extracted with

EtOAc (2 x 200 mL). The combined organic extracts were washed with H<sub>2</sub>O (1 x 100 mL), brine (1 x 100 mL), dried (Na<sub>2</sub>SO<sub>4</sub>) and concentrated under reduced pressure to give **Int-4**, in quantitative yield, as a yellow solid.

5

Int-5

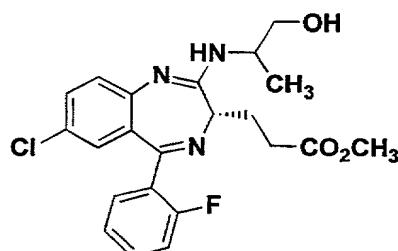


A mixture of thiolactam (example **I-28**, 400 mg, 1.03 mmol), DL-1-amino-2-propanol (0.64 mL, 620 mg, 8.24 mmol) and THF (5 mL) were used according to the general procedure set forth for example 1b-1 in the Examples section. The product was purified by flash chromatography, elution with 3:7 hexane-EtOAc, to provide 300 mg (68%) of the title compound as a mixture of diastereomers:  $^1\text{H}$  NMR (400 MHz,  $\text{CDCl}_3$ )  $\delta$  7.40 (m, 2H), 7.34 (d, 1H,  $J$ = 8.8 Hz), 7.17 (m, 2H), 7.07 (m, 2H), 5.62 (m, 1H), 4.02 (m, 1H), 3.68 (s, 3H), 3.27 (m, 3H), 2.79 (m, 1H), 2.44 (m, 3H), 1.20 (m, 3H).

The following intermediates were prepared according to the method set forth above:

20

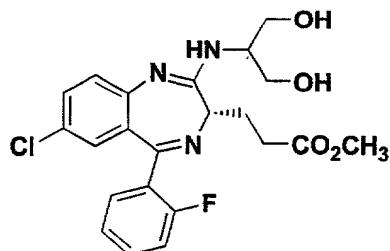
Int-6



76%;  $^1\text{H}$  NMR (400 MHz,  $\text{CDCl}_3$ )  $\delta$  7.36 (m, 3H), 7.15 (m, 4H), 5.21 (s, 1H), 3.65 (m, 6H), 3.23 (m, 1H), 2.80 (m, 1H), 2.41 (m, 3H), 1.24 (m, 3H).

Int-7

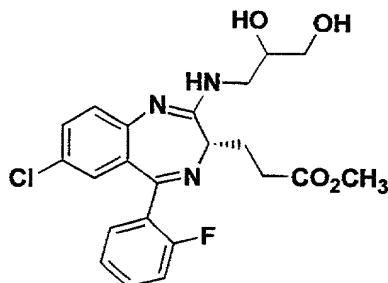
5



58%;  $^1\text{H}$  NMR (400 MHz,  $\text{CDCl}_3$ )  $\delta$  7.42 (m, 2H), 7.35 (dd, 1H,  $J$  = 2.4, 8.8 Hz), 7.13 (m, 4H), 5.94 (d, 1H,  $J$  = 5.6 Hz), 4.23 (br s, 1H), 3.97 (m, 1H), 3.83 (d, 2H,  $J$  = 4.8 Hz), 3.68 (m, 5H), 3.28 (dd, 1H,  $J$  = 3.6, 10.4 Hz), 3.08 (br s, 1H), 2.76 (m, 1H), 2.47 (m, 3H); MS (ES)  $m/z$  448 ( $\text{M}^+$ ).

Int-8

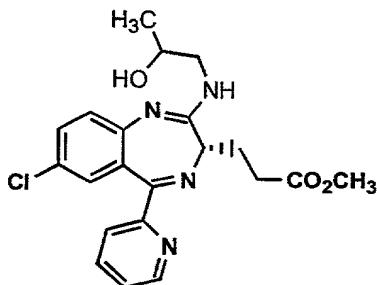
15



66%;  $^1\text{H}$  NMR (300 MHz,  $\text{CDCl}_3$ )  $\delta$  7.47 (m, 3H), 7.24 (m, 2H), 7.14 (m, 2H), 5.99 (br s, 1H), 3.81 (m, 1H), 3.72 (s, 3H), 3.62 (m, 2H), 3.53 (m, 2H), 3.30 (m, 1H), 2.80 (m, 1H), 2.50 (m, 3H); MS (CI)  $m/z$  448 ( $\text{M}+\text{H}$ ) $^+$ .

20

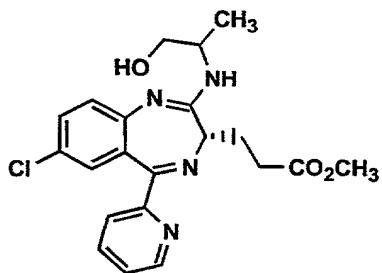
Int-9



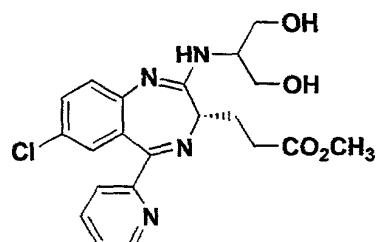
A solution of thione (**Ex. I-30**, 255 mg, 0.68 mmol) and DL-1-amino-2-propanol (0.53 mL, 6.80 mmol) in THF (6 mL) was heated at reflux for 18 h, cooled to room temperature, and concentrated under reduced pressure. The residue was diluted with EtOAc (ca. 50 mL), washed with saturated aqueous NaHCO<sub>3</sub> (1 x 10 mL), H<sub>2</sub>O (3 x 10 mL), saturated aqueous NaCl (1 x 10 mL), dried (Na<sub>2</sub>SO<sub>4</sub>), and concentrated under reduced pressure. Purification by flash chromatography, elution with 3:1 hexane-acetone, gave 198 mg (70%) of the amidine as a foam; ESIMS 415 (M+H, base).

The following intermediates were prepared according to the method set forth above:

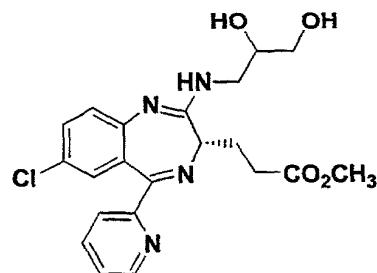
Int-10



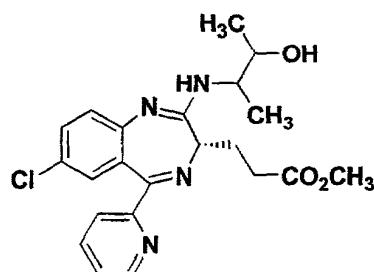
36%; ESIMS 415 ( $M+H$ , base).

Int-11

38%; MS (ESI)  $m/z$  430 ( $M^+$ ).

Int-12

35%; MS (ESI)  $m/z$  430 ( $M^+$ ).

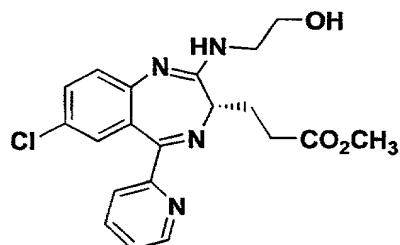
Int-13

Condensed with 3-amino-2-butanol (*J. Org. Chem.* **1977**, *42*, 3541) 56%

(mixture of diastereomers);  $^1H$  NMR (300 MHz,  $CDCl_3$ )  $\delta$  8.65 (d, 1H,  $J$  = 4.5 Hz), 7.81 (m, 2H), 7.34 (m, 2H), 7.18 (m, 2H), 5.30 (m, 1H), 3.90 (m, 1H), 3.76 (m, 1H),

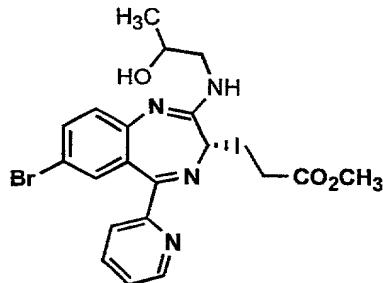
3.70 (m, 3H), 3.32 (m, 1H), 2.77 (m, 1H), 2.50 (m, 3H), 1.24 (m, 3H), 1.24 (m, 3H), 1.11 (m, 3H); MS (ES)  $m/z$  428 ( $M^+$ ).

Int-14



27%;  $^1\text{H}$  NMR (400 MHz, DMSO- $\text{d}_6$ )  $\delta$  8.52 (d, 1H,  $J$  = 4.8 Hz), 7.91 (m, 2H), 7.45 (t, 1H,  $J$  = 6 Hz), 7.36 (dd, 1H,  $J$  = 2.4, 8.8 Hz), 7.08 (m, 3H), 4.73 (t, 1H,  $J$  = 5.6 Hz), 3.57 (s, 3H), 3.48 (m, 2H), 3.18 (m, 2H), 2.5 (m, 2H), 2.24 (m, 2H); MS (ESI)  $m/z$  401 ( $\text{M}^+$ ).

Int-15



A solution of the lactam (Ex. I-10, 7.31 g, 18.2 mmol) in THF (21 mL) was added to a suspension of NaH (870 mg of 60% oil dispersion, 21.8 mmol) in THF (70 mL) at 0 °C. The reaction mixture was stirred at 0 °C for 30 min, warmed to room temperature and stirred for 30 min, then cooled to 0 °C.

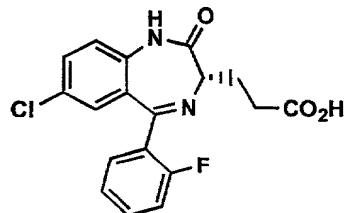
(Dimorpholino)phosphorochloridate (6.48 g, 25.5 mmol) was added, the mixture was allowed to warm to room temperature over 4.5 h, and the mixture was filtered with additional THF (ca. 10 mL). A mixture of the filtrate and DL-1-amino-2-propanol (2.80 mL, 36.4 mmol) was stirred at room temperature for 18 h and concentrated under reduced pressure. The residue was diluted with EtOAc (ca. 250 mL), washed

with saturated aqueous  $\text{NaHCO}_3$  (1 x 75 mL),  $\text{H}_2\text{O}$  (2 x 75 mL), saturated aqueous  $\text{NaCl}$  (1 x 75 mL), dried ( $\text{Na}_2\text{SO}_4$ ), and concentrated under reduced pressure.

Purification by flash chromatography, elution with 19:1  $\text{EtOAc-MeOH}$ , gave 3.06 g (37%) of **Int-15** as a foam; ESIMS 459 ( $\text{M}+\text{H}$ , base).

5

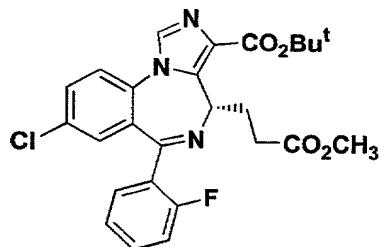
### Int-16



Benzodiazepinone I-1 (510 mg) was dissolved in dioxane (6 mL) and cooled to 0 °C. To this was added 4 mL 1M aqueous  $\text{LiOH}$ . The mixture was stirred at 0 °C until TLC indicated complete reaction. The mixture was acidified with 1M  $\text{H}_3\text{PO}_4$  and extracted with ethyl acetate (3X). The combined organic layers were washed with brine, dried over magnesium sulfate, filtered, and concentrated under reduced pressure to afford **Int-16** as a tan powder (400 mg).

10

### Int-17

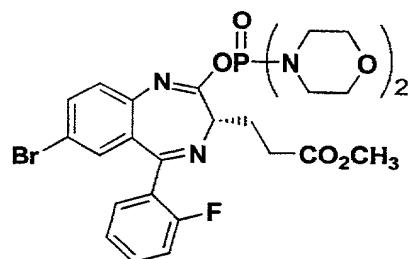


In a dry flask under nitrogen atmosphere was placed DMF (60 mL), *tert*-butylisocyanacetate (0.69 mL, 4.5 mmol) and iminophosphate (**Int-19**, 1.44 g, 2.82 mmol). The contents were cooled to 0 °C and then treated with potassium *tert*-butoxide (0.532 g, 4.50 mmol). The resulting purple solution was stirred at 0 °C for thirty minutes then poured into a flask containing 100mL of a 5% acetic acid solution. The aqueous layer was extracted with ethyl acetate and the extracts were washed three

15

times with water. The organics were dried over magnesium sulfate, filtered and concentrated. The residue was purified by flash chromatography through silica gel to yield Int-17 (1.35g, 2.70mmol) in 95% yield.  $^1\text{H}$  NMR ( $\text{CDCl}_3$ ): 7.90(s, 1H), 7.50-7.60(m, 3H), 7.44(m, 1H), 7.20-7.26(m, 2H), 7.03(t, 1H,  $J=9.3$  Hz), 6.50(dd, 1H,  $J=6.7, 9.3$  Hz), 3.55(s, 3H), 2.32-2.46(m, 2H), 1.85-2.00(m, 2H), 1.60(s, 9H).  
 5 MS(ES+)=498(10%, M+), 520(80%, M+22).

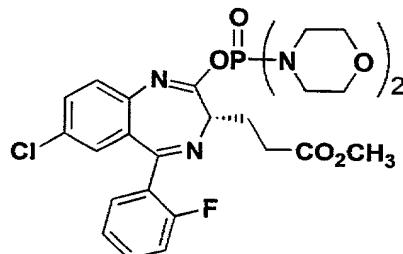
### Int-18



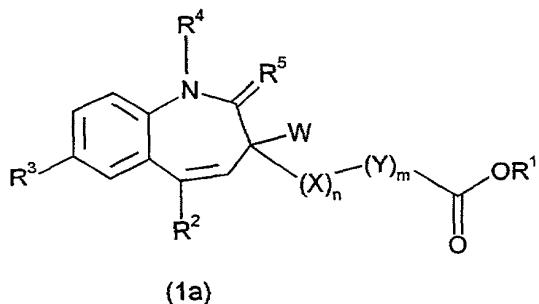
Benzodiazepinone I-3 (1.25 g, 3.0 mmol) was added to a suspension of NaH (3.3 mmol) in THF (10 mL). The resulting solution was stirred for 10 min and *bis*-morpholinophosphorochloride (762 mg, 3.0 mmol; Ning *et al.*, *J. Org. Chem.* 1976, 41, 2720-2724) was added. After 1 h an additional 100 mg of the phosphoryl chloride was added. The mixture was stirred for 1 h and filtered. The filtrate was concentrated and the residue was chromatographed on silica gel (graded elution with 4:1  $\text{CH}_2\text{Cl}_2$ :ether and 8:1:1  $\text{CH}_2\text{Cl}_2$ :ethyl acetate:methanol) to afford 1.3 g of the iminophosphate Int-18 as a white foam.  
 15

### Int-19

The following intermediate was prepared according to the method set forth above in Int-18, using Example I-1 as the starting benzodiazepinone:

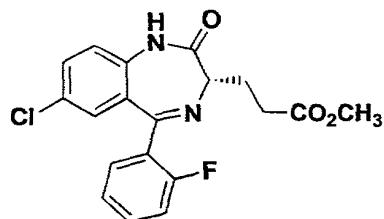


**Synthesis of Compounds of Formula Ia**



**Example I-1**

Methyl 3-[(3S)-7-chloro-5-(2-fluorophenyl)-2-oxo-2,3-dihydro-1H-1,4-benzodiazepin-3-yl]propanoate



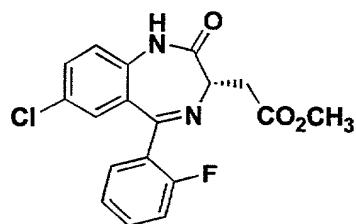
A mixture of 2-amino-5-chloro-2'-fluorobenzophenone (24.9 g, 99.7 mmol), the acid chloride (Int-1, 41.7 g, 104 mmol), and  $\text{CHCl}_3$  (100 mL) were heated at reflux for 30 min and then allowed to cool to RT. Ether (600 mL) was added causing a precipitate to form. The reaction mixture was cooled to 0 °C for 15 min, and the solid was collected and washed with additional portions of ether. The solid was dried *in vacuo* to provide 55.4 g (90%) of amide.  $^1\text{H}$  NMR ( $\text{CDCl}_3$ , 300 MHz)  $\delta$  8.70 (d, 2H,  $J$ = 9.2 Hz), 7.74 (d, 2H,  $J$ = 11.2 Hz), 7.62 (m, 4H), 7.46 (s, 1H), 7.37 (m, 2H), 7.26 (m, 3H), 7.17 (m, 1H), 5.80 (d, 1H,  $J$ = 6 Hz), 4.48 (m, 2H), 4.34 (m, 1H), 4.24 (m, 1H), 3.68 (s, 3H), 2.55 (m, 3H), 2.14 (m, 1H).

A mixture of the amide (42 g, 68 mmol) and  $\text{Et}_3\text{N}$  (170 mL) in  $\text{CH}_2\text{Cl}_2$  (170 mL) was stirred at 40 °C overnight. The reaction mixture was concentrated under reduced pressure and the residue was dried *in vacuo* for 5 min to provide an oil. To this oil were added  $\text{HOAc}$  (35 mL) and 1,2-dichloroethane (665 mL) and the mixture

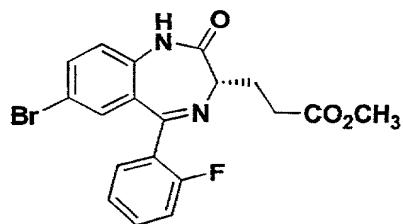
was stirred at 40 °C overnight. The reaction mixture was concentrated under reduced pressure and the residue was dissolved in CH<sub>2</sub>Cl<sub>2</sub>, slurried onto silica gel and dried to a free-flowing powder. The silica gel was washed with several portions of hexane, which were discarded, and then with several portions of 9:1 CH<sub>2</sub>Cl<sub>2</sub>:CH<sub>3</sub>OH. The CH<sub>2</sub>Cl<sub>2</sub>/CH<sub>3</sub>OH washings were combined and concentrated under reduced pressure to provide an oil. Ether was added to the oil to give a white solid which was filtered, washed with several additional portions of ether and dried *in vacuo* to provide 14 g (55%) of the title compound. <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>) δ 8.94 (br s, 1H), 7.54 (m, 1H), 7.45 (m, 1H), 7.23 (m, 1H), 7.20 (d, 1H, J= 2.4 Hz), 7.12 (d, 1H, J= 8.8 Hz), 7.06 (m, 1H), 3.67 (m, 4H), 2.68 (m, 2H), 2.60 (m, 1H), 2.51 (m, 1H).

The following compounds were prepared according to the general procedure set forth above in Example I-1. Any modifications in starting materials or conditions that are required for the synthesis of a particular example will be readily apparent to one skilled in the art of organic synthesis. For example, in the synthesis of the compound of example I-2, it should be readily apparent that the amino acid chloride required for the synthesis derives from L-aspartic acid.

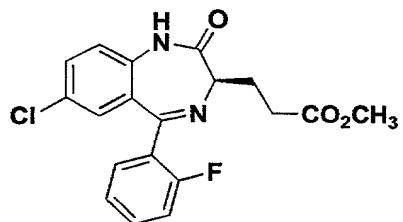
#### Example I-2



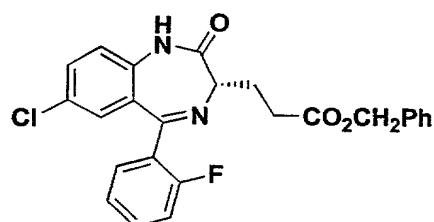
<sup>1</sup>H NMR (300 MHz, DMSO) δ 11.0 (bs, 1H), 4.05 (t, 1H), 3.7 (s, 3H). MS (ES+): 361 (M+1)<sup>+</sup>.

**Example I-3**

5  $^1\text{H}$  NMR (400 MHz,  $\text{CDCl}_3$ )  $\delta$  8.74 (bs, 1H), 7.61-7.43 (m, 3H), 3.67 (s, 3H), 2.70-2.49 (m, 4H).

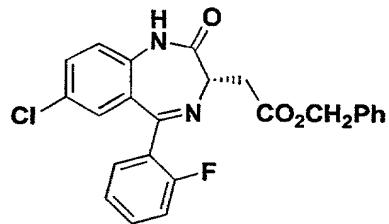
**Example I-4**

10  $^1\text{H}$  NMR (400 MHz,  $\text{CDCl}_3$ )  $\delta$  9.08 (bs, 1H), 7.58-7.03 (m, 7H), 3.67 (s, 3H), 2.74-2.44 (m, 4H).

**Example I-5**

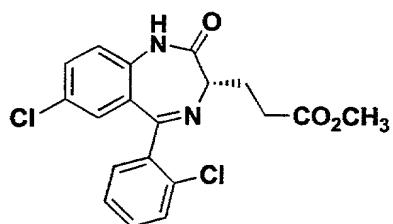
15  $^1\text{H}$  NMR (400 MHz,  $\text{DMSO-d}_6$ )  $\delta$  10.78 (bs, 1H), 5.04 (s, 2H), 3.55 (m, 1H)

### Example I-6



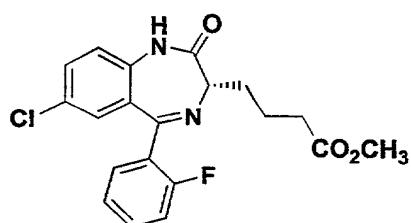
<sup>1</sup>H NMR (400 MHz, DMSO) δ 10.9 (bs, 1H), 5.1 (s, 2H), 4.05 (t, 1H). MS (ES+): 437 (M+1)<sup>+</sup>.

### Example I-7

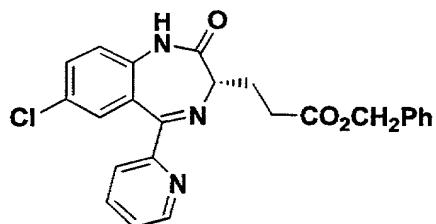


<sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>) δ 9.04 (bs, 1H), 3.72 (m, 1H), 3.66 (s, 3H). MS (ES): 391 (M+1)<sup>+</sup>.

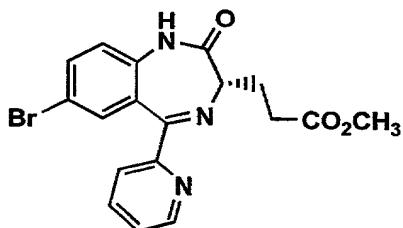
### Example I-8



<sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>) δ 8.5 (bs, 1H), 3.7 (s, 3H), 3.6 (m, 1H). MS (ES): 389 (M+1)<sup>+</sup>.

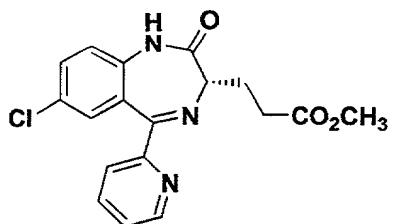
Example I-9

5 <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>) δ 8.59 (d, J = 4.6 Hz, 1H), 8.07 (d, J = 7.9 Hz, 1H), 7.80 (comp, 2H), 7.46 (dd, J = 8.6, 2.4 Hz, 1H), 7.35 (m, 2H), 7.30 (comp, 5H), 7.01 (d, J = 8.6 Hz, 1H), 5.10 (s, 2H), 3.75 (dd, J = 5.8, 4.0 Hz, 1H), 2.73 (dd, J = 7.1 Hz, 2H), 2.56 (m, 2H); ESIMS 456 (M+Na), 434 (M+H, base); Anal. Calcd. for C<sub>24</sub>H<sub>20</sub>ClN<sub>3</sub>O<sub>3</sub> - 0.25H<sub>2</sub>O: C, 65.75; H, 4.71; N, 9.59. Found: C, 65.65; H, 4.96; N, 9.19.

Example I-10

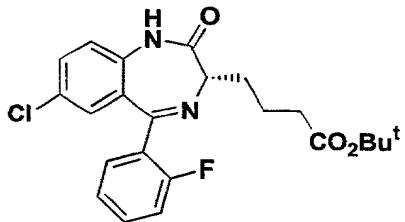
15 <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>) δ 8.60 (d, J = 4.6 Hz, 1H), 8.09 (comp, 2H), 7.82 (ddd, J = 7.8, 7.8, 1.3 Hz, 1H), 7.60 (dd, J = 8.6, 2.2 Hz, 1H), 7.53 (d, J = 2.2 Hz, 1H), 7.37 (dd, J = 7.2, 5.0 Hz, 1H), 6.98 (d, J = 8.6 Hz, 1H), 3.76 (dd, J = 7.5, 5.9 Hz, 1H), 3.67 (s, 3H), 2.67 (m, 2H), 2.56 (m, 2H); ESIMS 424 (M + Na), 402 (M+H, base).

### Example I-11



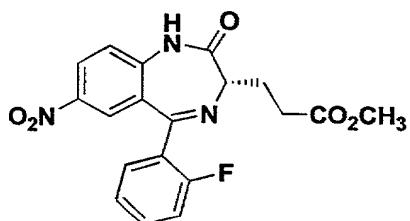
38%;  $^1\text{H}$  NMR (400 MHz,  $\text{CDCl}_3$ )  $\delta$  8.58 (d,  $J$  = 4.6 Hz, 1H), 8.07 (d,  $J$  = 7.8 Hz, 1H), 7.94 (s, 1H), 7.80 (ddd,  $J$  = 7.8, 7.8, 1.6 Hz, 1 H), 7.52 (dd,  $J$  = 8.6, 2.4 Hz, 1H), 7.37 (m, 2 H), 7.02 (d,  $J$  = 8.6 Hz, 1H), 3.75 (dd,  $J$  = 7.6, 5.6 Hz, 1H), 3.65 (s, 3H), 2.66 (m, 2), 2.53 (m, 2H).

### Example I-12



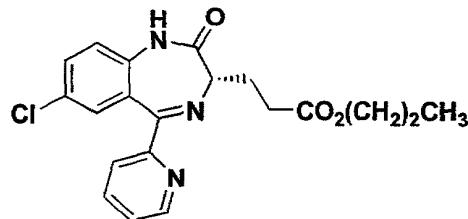
<sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>) δ 9.0 (bs, 1H), 3.6 (m, 1H), 1.4 (s, 9H). MS (ES): 431 (M+1)<sup>+</sup>.

### Example I-13



Nitronium tetrafluoroborate (4.85 mL of a 0.5 M solution in sulfolane, 2.43 mmol) was added to a solution of the A-ring unsubstituted benzodiazepine (501 mg, 1.47 mmol) in CH<sub>3</sub>CN (7.4 mL) at 0 °C. The reaction mixture was allowed to warm to RT overnight and quenched by addition of H<sub>2</sub>O. The reaction mixture was diluted with EtOAc, washed with saturated aqueous NaHCO<sub>3</sub>, H<sub>2</sub>O, brine, dried (Na<sub>2</sub>SO<sub>4</sub>), and concentrated under reduced pressure to give an oil. Purification by flash chromatography, elution with 55:45 hexane-EtOAc, provided a the product in sulfolane. This material was partitioned between ether and H<sub>2</sub>O, the layers were separated, and the aqueous layer was extracted with ether. The combined ether layers were washed with H<sub>2</sub>O (3x), brine, dried (MgSO<sub>4</sub>) and concentrated to provide the title compound as a yellow solid. <sup>1</sup>H NMR (400 MHz, DMSO-d<sub>6</sub>) δ 11.31 (s, 1H), 7.92 (d, 1H), 7.65-7.55 (m, 2H), 3.57 (s, 3H), 2.63-2.17 (m, 4). MS (AP+) calcd. MH<sup>+</sup> 386, found 386; (AP-) calcd. [M-H]<sup>-</sup> 384, found 384.

**Example I-14**



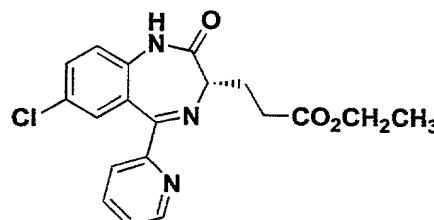
Example I-14 was prepared from the corresponding methyl ester (example I-11) using the following transesterification procedure (Otera, J. *et al.* *J. Org. Chem.* 1991, 56, 5307):

A mixture of the methyl ester (1 eq.), propyl alcohol (4-5 eq.), and bis(dibutylchlorotin) oxide (0.1 eq.) in PhCH<sub>3</sub> (0.1 M) was heated at reflux until the reaction was judged complete by TLC. The reaction mixture was allowed to cool to room temperature and was concentrated under reduced pressure. Purification by flash chromatography, elution with hexane-EtOAc, delivered the desired propyl ester (90%). ESIMS 408 (M+Na, base), 386 (M+H); Anal. Calcd. for C<sub>20</sub>H<sub>20</sub>ClN<sub>3</sub>O<sub>3</sub>: C, 62.26; H, 5.22; N, 10.89. Found: C, 62.00; H, 5.32; N, 10.69.

The following compounds were prepared according to the general procedure set forth above in example I-14, using the appropriate methyl ester as the starting material.

**Example I-15**

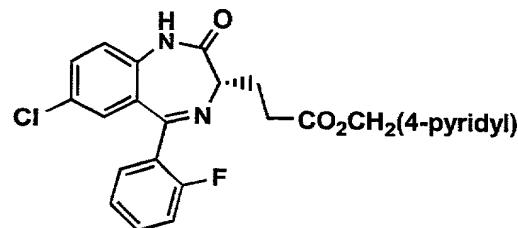
5



66%; ESIMS 394 (M+Na, base), 372 (M+H); Anal. Calcd. for  $C_{21}H_{22}ClN_3O_3$  - 0.25H<sub>2</sub>O: C, 60.64; H, 4.96; N, 11.17. Found: C, 60.54; H, 5.01; N, 10.96.

10

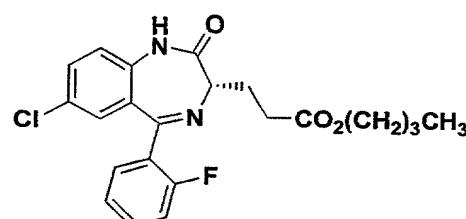
**Example I-16**



15 <sup>1</sup>H NMR (CDCl<sub>3</sub>, 400 MHz) δ 8.85 (bs, 1H), 8.57 (d, 2H), 5.13 (s, 2H).

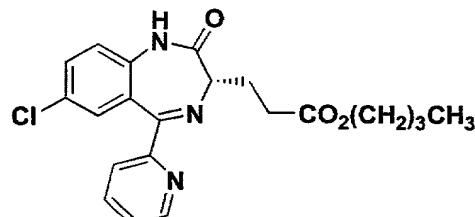
**Example I-17**

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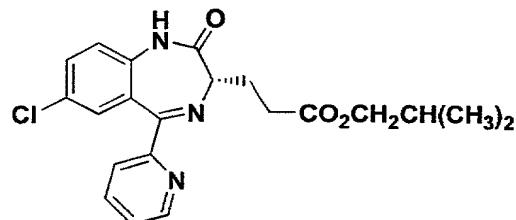
<sup>1</sup>H NMR (CDCl<sub>3</sub>, 400 MHz) δ 8.38 (bs, 1H), 4.05 (t, 2H), 1.37-1.32 (m, 2H), 0.89 (t, 3H). ESIMS 439 (M+Na), 417 (M+H, base).

**Example I-18**



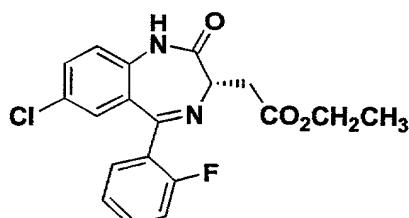
91%; ESIMS 422 (M+Na, base), 400 (M+H); Anal. Calcd. for C<sub>21</sub>H<sub>22</sub>ClN<sub>3</sub>O<sub>3</sub>: C, 63.08; H, 5.55; N, 10.51. Found: C, 62.83; H, 5.59; N, 10.44.

**Example I-19**



88%; ESIMS 422 (M+Na, base), 400 (M+H); Anal. Calcd. for C<sub>21</sub>H<sub>22</sub>ClN<sub>3</sub>O<sub>3</sub>: C, 63.08; H, 5.55; N, 10.51. Found: C, 62.82; H, 5.65; N, 10.36.

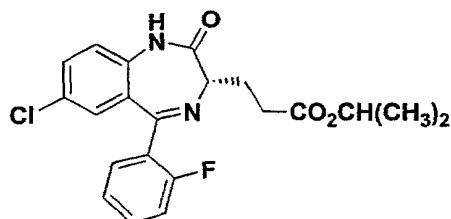
**Example I-20**



Prepared by Fisher esterification (ethanol, TFA) of the corresponding carboxylic acid (**Int-16**).  $^1\text{H}$  NMR (400 MHz,  $\text{CDCl}_3$ )  $\delta$  8.54 (bs, 1H), 4.2 (m, 3H), 1.3 (t, 3H).

5

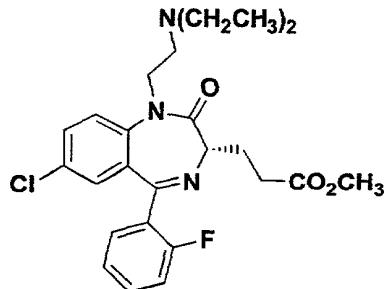
**Example I-21**



Prepared by Fisher esterification (2-propanol, trace conc.  $\text{H}_2\text{SO}_4$ ) of the corresponding carboxylic acid (**Int-16**). ESIMS 403 ( $\text{M}+\text{H}$ , base).

10

**Example I-22**



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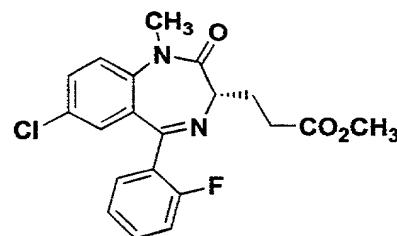
Benzodiazepine **I-1** (308 mg, 0.82 mmol) was added to a stirring suspension of NaH (39 mg, 0.98 mmol) in DMF (8 mL). The resulting mixture was heated to 70  $^{\circ}\text{C}$  for 15 min at which time a homogeneous solution formed. To this solution was added 2-chloroethyl-diethylamine (270 mg, 1.6 mmol). The resulting solution was stirred for 30 min and partitioned between ethyl acetate and  $\text{H}_2\text{O}$ . The organic phase was washed with saturated  $\text{H}_2\text{O}$  and saturated aq NaCl, dried ( $\text{Na}_2\text{SO}_4$ ), and concentrated under reduced pressure. Silica gel chromatography (95:5, chloroform:methanol) provided the desired compound as a yellow oil (88%).  $^1\text{H}$

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NMR (CDCl<sub>3</sub>, 400 MHz) δ 4.39-4.31 (m, 1H), 3.64 (s, 3H), 0.99 (t, 6H). ESIMS 474 (M+H, base).

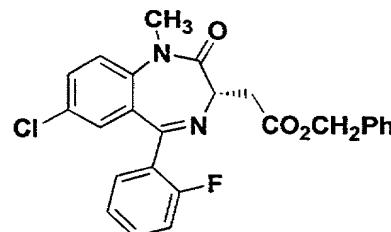
The following compounds were prepared according to the general procedure set forth above in Example I-22. Any modifications in starting materials or conditions that are required for the synthesis of a particular example will be readily apparent to one skilled in the art of organic synthesis.

**5 Example I-23**

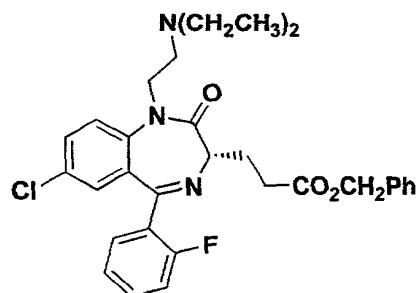


10 <sup>1</sup>H NMR (CDCl<sub>3</sub>, 400 MHz) δ 3.65 (s, 3H), 3.43 (s, 3H).

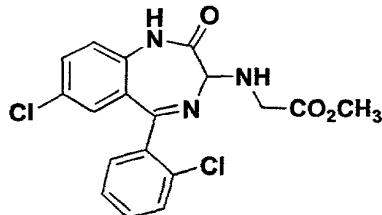
**15 Example I-24**



15 <sup>1</sup>H NMR (CDCl<sub>3</sub>, 400 MHz) δ 5.21-5.11 (dd, 2H), 4.14 (t, 1H), 3.41 (s, 3H).

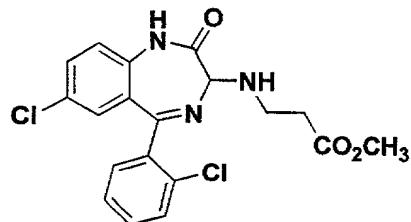
Example I-25

<sup>1</sup>H NMR (CDCl<sub>3</sub>, 400 MHz) δ 5.07 (s, 2H), 4.37-4.30 (m, 1H), 3.77 (m, 1H), 3.64 (m, 1H). ESIMS 550 (M+H, base).

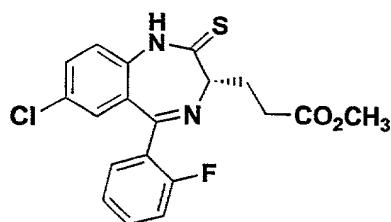
Example I-26

10

The 3-amino-benzodiazepine (*J. Med. Chem.* 1968, 11, 457; 0.15 g, 0.47 mmol) and DMF (4 mL) were added to a round-bottom flask and cooled to 0 °C. Triethylamine (0.07 mL, 0.05 g, 0.52 mmol) was added to the reaction mixture followed by dropwise addition of methyl bromoacetate (0.04 mL, 0.07 g, 0.47 mmol). The reaction was allowed to warm to RT over 4 h. When the reaction was judged to be complete, the mixture was poured into a separatory funnel containing ethyl acetate and water. The organic layer was collected and was washed with water, saturated aqueous brine, dried over Na<sub>2</sub>SO<sub>4</sub>, filtered and concentrated under reduced pressure. The product was isolated by flash chromatography using 4:1 ethyl acetate/hexanes as eluent to provide compound I-26 as white solid (57%). <sup>1</sup>H NMR (300 MHz, DMSO-d<sub>6</sub>) δ 11.0 (s, 1H), 7.59 (m, 5H), 7.29 (d, 1H, J= 9 Hz), 6.98 (d, 1H, J= 2.4 Hz), 4.41 (s, 1H), 3.65 (m, 2H), 3.63 (s, 3H), 3.22 (bs, 1H). MS (ES): 392 (M<sup>+</sup>).

Example I-27

The 3-amino-benzodiazepine (*J. Med. Chem.* 1968, 11, 457; 0.15 g, 0.47 mmol) and ethanol (3 mL) were combined in a round bottom flask. Methyl acrylate (0.05 mL, 0.05 g, 0.52 mmol) was added and the reaction mixture stirred for 5 d at 20 °C. The reaction mixture was poured into a separatory funnel containing ethyl acetate and water. The organic layer was collected and was washed with water, saturated aqueous brine, dried over  $\text{Na}_2\text{SO}_4$ , filtered and concentrated under reduced pressure. The product was isolated by flash chromatography using 4:1 ethyl acetate/hexanes as eluant to provide I-27 as white solid (12%).  $^1\text{H}$  NMR (400 MHz,  $\text{DMSO-d}_6$ )  $\delta$  10.88 (s, 1H), 7.58 (dd, 1H,  $J$ = 2.4, 8.8 Hz), 7.49 (m, 4H), 7.22 (d, 1H,  $J$ = 8.8 Hz), 6.93 (d, 1H,  $J$ = 2.4 Hz), 4.23 (s, 1H), 3.54 (s, 3H), 3.09 (bs, 1H), 2.86 (bs, 2H), 2.60 (m, 1H).  
MS (ES): 406 ( $\text{M}^+$ ).

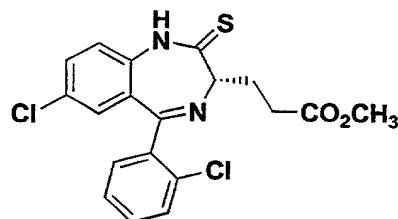
Example I-28

A suspension of the benzodiazepinone (Example I-1, 16.95 g, 45.32 mmol), Lawesson's reagent (21.97 g, 54.32 mmol) and  $\text{PhCH}_3$  (200 mL) was heated at 100 °C. After 30 min, the reaction became homogeneous, was judged to be complete and was allowed to cool to RT causing a precipitate to form. Ether (400 mL) was added,

causing additional precipitate to form, and the mixture was filtered. Silica gel was added to the filtrate and this mixture was concentrated under reduced pressure to give a free-flowing powder. The silica gel was slurried in  $\text{CH}_2\text{Cl}_2$ , filtered, and the filtrate was concentrated under reduced pressure to give a yellow viscous oil. Ether was added to the oil to precipitate a pale yellow solid that was filtered, washed with several additional portions of ether, and dried *in vacuo* to provide 11.47 g (65%) of I-28 as a yellow solid.  $^1\text{H}$  NMR ( $\text{CDCl}_3$ , 300 MHz)  $\delta$  10.1 (br s, 1H), 7.60 (t, 1H,  $J$  = 7.4 Hz), 7.51 (m, 2H), 7.21 (m, 2H), 7.19 (d, 1H,  $J$  = 8.4 Hz), 7.15 (t, 1H,  $J$  = 9.3 Hz), 3.95 (m, 1H), 3.69 (s, 3H), 2.86 (m, 1H), 2.68 (m, 3H).

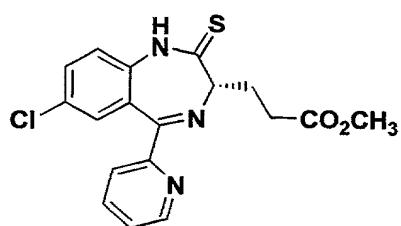
The following example was prepared according to the procedure set forth above in example I-28:

**Example I-29**



MS (ES): 408 ( $\text{M}+1$ )<sup>+</sup>.

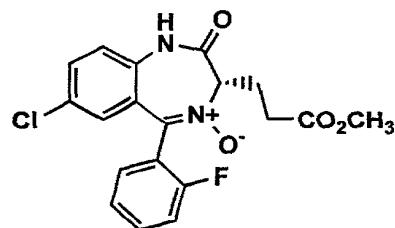
**Example I-30**



A mixture of benzodiazepinone Ex I-11 (1.00 g, 2.80 mmol) and Lawesson's reagent (1.13 g, 2.80 mmol) in  $\text{PhCH}_3$  (19 ml) was heated at reflux for 2 h, cooled to room temperature, and concentrated under reduced pressure. The residue was

purified immediately by flash chromatography, elution with 50:1 CH<sub>2</sub>Cl<sub>2</sub>:MeOH, to give 260 mg (25%) of thione as a foam; <sup>1</sup>H NMR (400 MHz, DMSO-*d*<sub>6</sub>) δ 12.58 (s, 1H), 8.55 (d, *J* = 4.4 Hz, 1H), 8.03 (d, *J* = 7.9 Hz, 1H), 7.95 (m, 1H), 7.65 (dd, *J* = 8.8, 2.4 Hz, 1H), 7.51 (dd, *J* = 6.4, 5.0 Hz, 1H), 7.37 (comp, 2H), 3.83 (m, 1H), 3.58 (s, 3H), 2.52 (m, 4H).

**Example I-31**



Benzodiazepinone Ex I-1 (374 mg, 1 mmol) was dissolved in CH<sub>2</sub>Cl<sub>2</sub> (7 mL).

To this was added mCPBA (393 mg, 2.3 mmol) in one portion. The mixture was stirred for 18 h, diluted with CH<sub>2</sub>Cl<sub>2</sub> (50 mL), washed with sat NaHCO<sub>3</sub> and brine. The organic layer was dried over magnesium sulfate, filtered, and concentrated. The residue was purified immediately by flash chromatography, elution with 95:5 CH<sub>2</sub>Cl<sub>2</sub>:MeOH, to give 289 mg of the N-oxide as a white solid (74%). <sup>1</sup>H NMR NMR (CDCl<sub>3</sub>, 400 MHz) δ 9.02 (br s, 1H), 4.50 (m, 1H). ESIMS 413 (M+Na, base).

**Synthesis of Compounds of Formula Ib**

Compounds of formula (1b) may be prepared from the corresponding thiolactam of formula (1a) wherein R<sup>4</sup> is hydrogen and R<sup>5</sup>, R<sup>6</sup> = S) by the following general methods.

**General Procedure I: The addition of amines to thiolactam to produce amidines.**

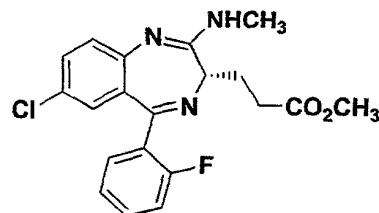
The Thiolactam, the appropriate amine (5-20 mmol/mmol of thiolactam), and either tetrahydrofuran (THF, 2-10 mL/mmol of thiolactam) or 1,4-dioxane (dioxane, 2-10 mL/mmol of thiolactam) were combined and heated to 50 °C (THF) or 95 °C (dioxane) for 2-72 h. When the reaction was judged by TLC to be complete, the

reaction mixture was allowed to cool to RT. The solvents were removed *in vacuo* and, in some cases, the residue was directly chromatographed on silica gel to provide the desired amidine. In other cases, the remaining residue was dissolved in an appropriate solvent (EtOAc, for example) and the product was washed with H<sub>2</sub>O, brine, dried (MgSO<sub>4</sub> or CaSO<sub>4</sub>), filtered and the solvents were again removed under reduced pressure and the residue was chromatographed on silica gel to provide the desired amidine of Formula Ib.

The following compounds were prepared according to the general procedure above. Any modifications in starting materials or conditions that are required for the synthesis of a particular example will be readily apparent to one skilled in the art of organic synthesis.

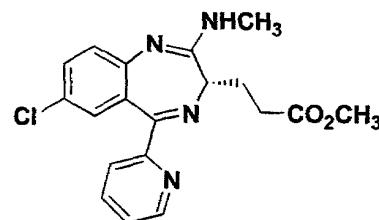
#### Example Ib-1

Methyl 3-[(3S)-7-chloro-5-(2-fluorophenyl)-2-(methylamino)-3H-1,4-benzodiazepin-3-yl]propanoate



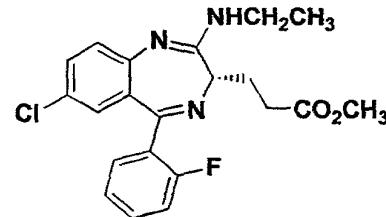
<sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>) δ 7.43-7.05 (m, 7H), 5.20 (bs, 1H), 3.68 (s, 3H), 2.87 (d, 3H), 2.51-2.30 (m, 3H). MS (ESI) *m/z* 388 (M+H)<sup>+</sup>, base.

#### Example Ib-2



<sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>) δ 8.82 (d, 1H), 8.43 (t, 1H), 8.14 (d, 1H), 7.97 (m, 1H), 7.80 (dd, 1H), 7.64 (m, 2H), 4.17 (dd, 1H), 3.65 (s, 3H), 3.14 (s, 3H), 2.84-2.36 (m, 4H). MS (AP+) *m/z* 371 (M+H)<sup>+</sup>.

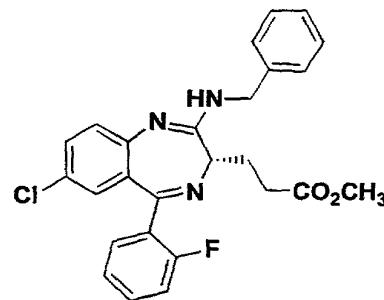
5 Example Ib-3



23%; <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>) δ 7.52-7.06 (m, 7H), 5.00 (bs, 1H), 3.70 (s, 3H), 3.52-3.22 (m, 3H), 2.80 (m, 1H), 2.57-2.33 (m, 3H), 1.21 (t, 3H).

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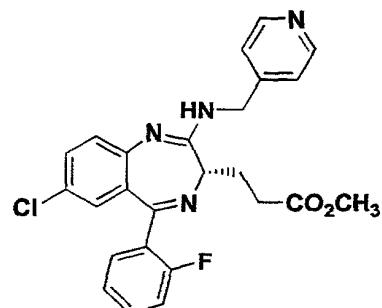
Example Ib-4



15

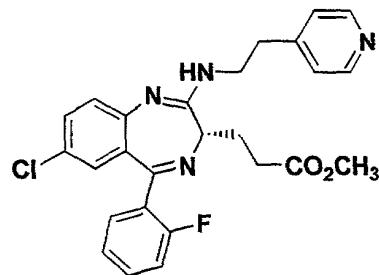
32%; <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>) δ 7.46-7.08 (m, 12H), 5.26 (bs, 1H), 4.68 (dd, 1H), 4.47 (dd, 1H), 3.67 (s, 3H), 3.29 (m, 1H), 2.80 (m, 1H), 2.52-2.31 (m, 3H). MS (ESI) *m/z* 464 (M+H)<sup>+</sup>, base.

**Example Ib-5**



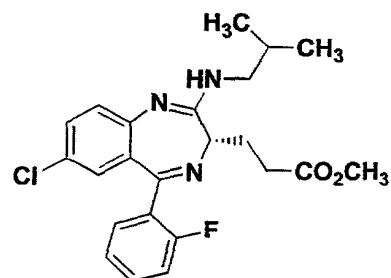
66%;  $^1\text{H}$  NMR ( $\text{CDCl}_3$ , 400 MHz)  $\delta$  8.50 (d, 2H,  $J$  = 6.0 Hz), 7.42 (m, 2H), 7.34 (dd, 1H,  $J$  = 8.7, 2.4 Hz), 7.25 (d, 1H,  $J$  = 9.3 Hz), 7.14 (m, 2H), 7.11 (m, 3H), 5.60 (br s, 1H), 4.60 (d, 2H,  $J$  = 4.8 Hz), 3.68 (s, 3H), 3.30 (dd, 1H,  $J$  = 10.3, 3.1 Hz), 2.83 (m, 1H), 2.50 (m, 3H). MS (CI): 465 ( $\text{M}+\text{H}$ ) $^+$ .

**Example Ib-6**



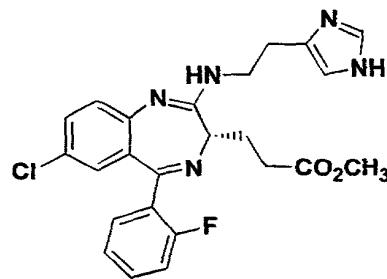
15 70%;  $^1\text{H}$  NMR ( $\text{CDCl}_3$ , 300 MHz)  $\delta$  8.52 (d, 2H,  $J$ = 6.0 Hz), 7.46 (m, 3H), 7.27-7.10 (m, 6H), 5.28 (br s, 1H), 3.72 (br m, 5H), 3.28 (dd, 1H,  $J$ = 9.9, 3.9 Hz), 2.98 (t, 2H,  $J$ = 7.05 Hz), 2.70 (m, 1H), 2.48 (m, 2H), 2.32 (m, 1H). MS (CI): 479 ( $\text{M}+\text{H}$ ) $^+$ .

**Example Ib-7**

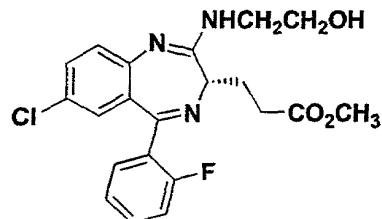


84%;  $^1\text{H}$  NMR ( $\text{CDCl}_3$ , 300 MHz)  $\delta$  7.45 (t, 2H,  $J$ = 6.9 Hz), 7.38 (dd, 1H,  $J$ = 8.8, 2.2 Hz), 7.23 (m, 2H), 7.12 (m, 2H), 5.16 (br s, 1H), 3.74 (s, 3H), 3.30 (m, 3H), 2.85 (m, 1H), 2.50 (m, 3H), 1.92 (m, 1H), 0.98 (t, 6H,  $J$ = 7.0 Hz). MS (ES): 429 ( $\text{M}^+$ ).

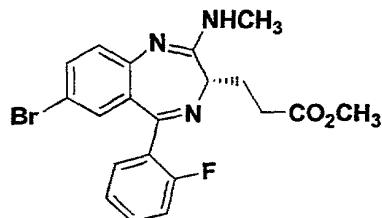
### Example Ib-8



68%;  $^1\text{H}$  NMR ( $\text{CDCl}_3$ , 300 MHz)  $\delta$  7.57 (s, 1H), 7.45 (m, 3H), 7.24 (m, 2H), 7.14 (m, 2H), 6.82

**Example Ib-9**

66%;  $^1\text{H}$  NMR ( $\text{CDCl}_3$ , 400 MHz)  $\delta$  7.47 (m, 3H), 7.37 (m, 1H), 7.25 (t, 1H,  $J$  = 7.2 Hz), 7.17 (m, 2H), 6.03 (br s, 1H), 3.85 (m, 3H), 3.74 (s, 3H), 3.51 (m, 1H), 3.39 (m, 1H), 2.83 (m, 1H), 2.48 (m, 3H). MS (CI): 418 ( $\text{M}+\text{H}$ ) $^+$ .

**Example Ib-10**

1.3 g of the iminophosphate **Int-18** was dissolved in THF (10 mL) and treated with  $\text{CH}_3\text{NH}_2$  (6 mL of a 2M THF solution, 12 mmol). After 3 hours the mixture was filtered and concentrated to an oil. Trituration with diisopropyl ether:hexanes provided **Ib-10** as a white solid.  $^1\text{H}$  NMR (400 MHz,  $\text{CDCl}_3$ )  $\delta$  7.50-7.06 (m, 7H), 5.15 (d, 1H), 3.70 (s, 3H), 2.95 (d, 3H), 2.79 (m, 1H), 2.53-2.31 (m, 3H).

**Synthesis of Compounds of Formula Ic****General Procedure I: Swern oxidation of Alcohols using oxalyl chloride as activating agent.**

5 A round-bottom flask was equipped with a stir bar and flushed with N<sub>2</sub>. To the flask were added CH<sub>2</sub>Cl<sub>2</sub> (5-15 mL/mmol of alcohol), dry dimethyl sulfoxide (DMSO, 3-4 mmol/mmol of alcohol) and the solution was cooled to -78 °C by means of a dry ice/acetone bath. Oxalyl chloride (2-3 mmol/mmol of alcohol) was added dropwise to the DMSO solution, taking care to maintain the reaction temperature below -50 °C. When the addition was complete, the resulting solution was allowed to stir at -78 °C for 30 min. The Alcohol was dissolved in CH<sub>2</sub>Cl<sub>2</sub> (2-3 mL/mmol of alcohol) and was carefully added to the DMSO solution at -78 °C. The resulting mixture was allowed to stir at -78 °C for 2 h. Triethylamine (5-11 mmol/mmol of alcohol) was added and the mixture was allowed to warm to RT. When the reaction was judged to be complete, the mixture was poured into a separatory funnel containing water and CH<sub>2</sub>Cl<sub>2</sub>. The organic layer was collected and was washed with water, saturated aqueous brine, dried over Na<sub>2</sub>SO<sub>4</sub>, filtered and the solvents were removed under reduced pressure to provide the desired product which, in most cases, was used without further purification. If deemed necessary, the product was further purified by flash chromatography on silica gel.

**General Procedure II: Swern oxidation of Alcohols using trifluoroacetic anhydride as activating agent.**

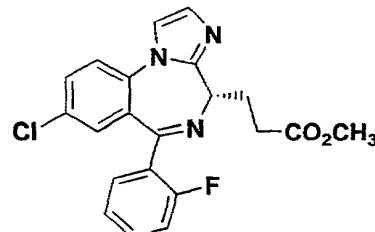
25 Anhydrous DMSO (3-4 mmol/mmol of alcohol) was added to CH<sub>2</sub>Cl<sub>2</sub> (5-15 mL/mmol of alcohol) and the solution was cooled to -78 °C. Trifluoroacetic anhydride (2-3 mmol/mmol of alcohol) was added dropwise to the DMSO solution, taking care to maintain the reaction temperature below -50 °C. When the addition was complete, the resulting solution was stirred at -78 °C for 30 min. A solution of the Alcohol in CH<sub>2</sub>Cl<sub>2</sub> (2-3 mL/mmol of alcohol) was added carefully to the DMSO solution at -78 °C. The reaction mixture was allowed to stir at -78 °C for 2 h, after which time it was allowed to warm to -35 °C for 5 min and was again cooled to -78 °C. Et<sub>3</sub>N (5-10 mmol/mmol of alcohol) was added and the stirring was continued at -

78 °C for 30 min, after which time the reaction mixture was allowed to warm to RT.

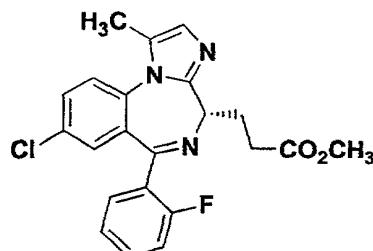
When the reaction was judged to be complete, the mixture was poured into a separatory funnel containing H<sub>2</sub>O and CH<sub>2</sub>Cl<sub>2</sub> and the layers were separated. The organic layer was washed with H<sub>2</sub>O, brine, dried (MgSO<sub>4</sub>), filtered and the solvents were removed under reduced pressure to provide the desired product which, in most cases, was used without further purification. If deemed necessary, the product was purified by flash chromatography on silica gel.

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**Example Ic-1**



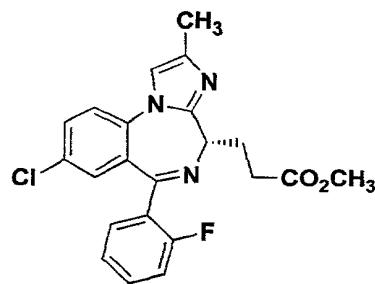
A mixture of alcohol (Example Ib-9, 200 mg, 0.48 mmol), DMSO (0.12 mL, 130 mg, 1.70 mmol), trifluoroacetic anhydride (0.12 mL, 180 mg, 0.84 mmol), CH<sub>2</sub>Cl<sub>2</sub> (5 mL) and Et<sub>3</sub>N (0.77 mL, 560 mg, 5.52 mmol) were used according to general procedure II. The product was purified by flash chromatography, elution with 1:1 hexane-EtOAc, to provide 70 mg (35%) of Ic-1 as a white solid: <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>) δ 7.63 (m, 2H), 7.49 (m, 3H), 7.27 (m, 3H), 7.06 (t, 1H, J= 9.3 Hz), 4.13 (m, 1H), 3.70 (s, 3H), 2.86 (m, 4H); MS (ES) m/z 398 (M+H)<sup>+</sup>.

Example Ic-2

A mixture of alcohol (**Int-5**, 160 mg, 0.37 mmol), DMSO (90  $\mu$ L, 0.10 g, 1.30 mmol), trifluoroacetic anhydride (90  $\mu$ L, 0.14 g, 0.65 mmol),  $\text{CH}_2\text{Cl}_2$  (5 mL) and  $\text{Et}_3\text{N}$  (0.60 mL, 0.43 g, 4.26 mmol) were used according to general procedure II. The resulting ketone closed to the imidazole during purification by flash chromatography, elution with 3:7 hexane-EtOAc, provide 50 mg (36%) of Ic-2 as a white solid:  $^1\text{H}$  NMR (400 MHz, DMSO- $d_6$ )  $\delta$  7.64 (t, 1H,  $J$ = 7.4 Hz), 7.54 (dd, 1H,  $J$ = 2.4, 8.8 Hz), 7.31 (m, 4H), 6.99 (t, 1H,  $J$ = 9.3 Hz), 6.88 (s, 1H), 3.98 (m, 1H), 3.64 (s, 3H), 2.79 (m, 4H), 2.33 (s, 3H); MS (ESI)  $m/z$  412 ( $\text{M}+\text{H}$ )<sup>+</sup>.

Example Ic-3

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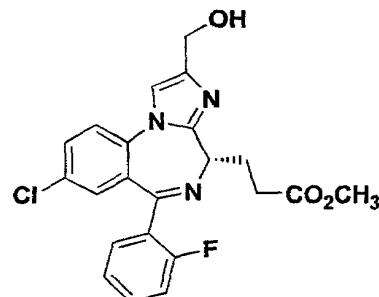


A mixture of alcohol (**Int-6**, 250 mg, 0.57 mmol), DMSO (0.14 mL, 160 mg, 2.00 mmol), trifluoroacetic anhydride (0.14 mL, 210 mg, 1.00 mmol),  $\text{CH}_2\text{Cl}_2$  (5 mL) and  $\text{Et}_3\text{N}$  (0.92 mL, 670 mg, 6.60 mmol) were used according to general procedure II. The product was purified by flash chromatography, elution with 3:7 hexane-EtOAc, to provide 50 mg (22%) of Ic-3 as a pale yellow solid:  $^1\text{H}$  NMR (400 MHz,  $\text{CDCl}_3$ )  $\delta$

7.59 (t, 1H,  $J$ = 7.4 Hz), 7.52 (dd, 1H,  $J$ = 2.2, 8.6 Hz), 7.41 (q, 2H,  $J$ = 5.2, 13.6 Hz), 7.27 (d, 1H,  $J$ = 2.0 Hz), 7.21 (m, 1H), 7.07 (s, 1H), 6.99 (m, 1H), 3.99 (m, 1H), 3.64 (s, 3H), 2.79 (m, 4H), 2.27 (s, 3H); MS (ESI)  $m/z$  412 ( $M+H$ )<sup>+</sup>.

5

**Example Ic-4**



A mixture of diol (**Int-7**, 2.01 g, 4.49 mmol), TIPSCl (1.15 mL, 1.04 g, 5.39 mmol) Et<sub>3</sub>N (0.69 mL, 500 mg, 4.94 mmol), and DMAP (60 mg, 0.45 mmol) in CH<sub>2</sub>Cl<sub>2</sub> (20 mL) was stirred for 4-6 h. When the reaction was judged to be complete, the reaction mixture was poured into EtOAc, washed with H<sub>2</sub>O (2x), brine, dried (Na<sub>2</sub>SO<sub>4</sub>), filtered and concentrated under reduced pressure. The product was isolated by flash chromatography, elution with CH<sub>2</sub>Cl<sub>2</sub>:MeOH (gradient 100:0-98:2), to provide 1.25 g (46%) of the silylether as an orange oil: <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  7.42 (m, 2H), 7.34 (dd, 1H,  $J$ = 2.4, 8.8 Hz), 7.13 (m, 4H), 6.03 (bs, 1H), 4.02 (m, 2H), 3.84 (s, 3H), 3.67 (s, 3H), 3.26 (m, 1H), 2.77 (m, 1H), 2.47 (m, 3H), 1.02 (m, 21H); MS (ESI)  $m/z$  604 ( $M^+$ ).

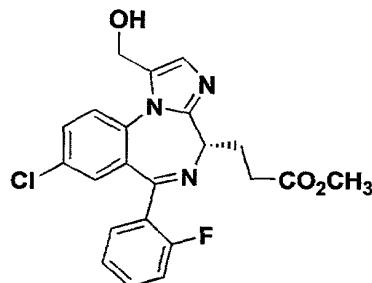
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A mixture of the silyl ether (1.25 g, 2.07 mmol), DMSO (0.59 mL, 650 mg, 8.28 mmol), (COCl)<sub>2</sub> (0.36 mL, 530 mg, 4.14 mmol), CH<sub>2</sub>Cl<sub>2</sub> (15 mL) and Et<sub>3</sub>N (3.20 mL, 2.30 g, 22.77 mmol) were used according to general procedure I. The product was purified by flash chromatography, elution with using CH<sub>2</sub>Cl<sub>2</sub>:MeOH (gradient, 100:0-95:5), to provide 1.05 g (87%) of the imidazole-silyl ether as an orange oil: MS (ESI)  $m/z$  584 ( $M^+$ ). A mixture of this silyl ether (1.05 g, 1.79 mmol) and TBAF (2.05 mL of a 1.0 M solution in THF, 2.05 mmol) in THF (20 mL) was stirred for 1 h. When the reaction was judged to be complete, the mixture was poured into EtOAc,

washed with  $H_2O$ , brine, dried ( $Na_2SO_4$ ), filtered and concentrated under reduced pressure. The resulting yellow oil was treated with pentane to provide 500 mg (72%) of Ic-4 as a yellow solid:  $^1H$  NMR (400 MHz,  $DMSO-d_6$ )  $\delta$  7.76 (s, 2H), 7.66 (s, 1H), 7.54 (m, 2H), 7.29 (m, 2H), 7.17 (t, 1H,  $J$ = 9.6 Hz), 5.02 (m, 1H), 4.36 (m, 2H), 4.03 (m, 1H), 3.56 (s, 3H), 2.61 (m, 4H); MS (ESI)  $m/z$  427 ( $M^+$ ).

5

### Example Ic-5



A mixture of diol (Int-8, 360 mg, 0.80 mmol), DMF (4 mL),  $CH_2Cl_2$  (2 mL),  $Et_3N$  (0.2 mL, 150 mg, 1.43 mmol), and DMAP (10 mg, 0.12 mmol) was cooled to 0 °C and TBS-Cl chloride (190 mg, 1.27 mmol) was added as a solid in one portion. The reaction mixture was stirred at 0 °C for 2 h. The reaction mixture was poured into EtOAc, washed with  $H_2O$ , brine, dried ( $MgSO_4$ ), filtered, and concentrated under reduced pressure to provide 340 mg (75%) of the monosilyl ether (primary hydroxyl) as a yellow foam: MS (CI)  $m/z$  562 ( $M+H$ ) $^+$ . The silyl ether (340 mg, 0.60 mmol), DMSO (0.17 mL, 190 mg, 2.39 mmol), trifluoroacetic anhydride (0.17 mL, 250 mg, 1.20 mmol),  $CH_2Cl_2$  (8 mL) and  $Et_3N$  (0.95 mL, 690 mg, 6.82 mmol) were used according to general procedure II. The product was purified by flash chromatography, elution with 1:1 hexane-EtOAc, to provide 140 mg (41%) of the ketone as a white solid: MS (CI)  $m/z$  560 ( $M+H$ ) $^+$ . A mixture of this ketone (130 mg, 0.232 mmol), DMF (4 mL) and *p*-toluenesulfonic acid monohydrate (30 mg, 0.14 mmol) was heated to 80 °C for 4 h, after which time the reaction was judged to be complete and was allowed to cool to RT. The mixture was poured into EtOAc, washed with  $H_2O$ , brine, dried ( $MgSO_4$ ), filtered and concentrated under reduced pressure. The product was purified by flash chromatography, elution with 95:5

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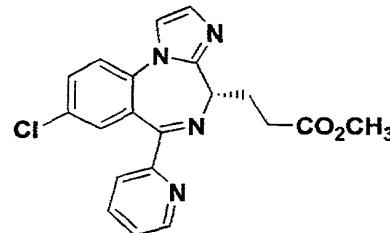
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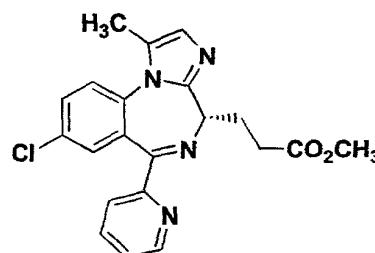
CH<sub>2</sub>Cl<sub>2</sub>:CH<sub>3</sub>OH, to provide 70 mg (74%) of Ic-5 as a white solid: <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>) δ 8.10 (d, 1H, J= 9.0 Hz), 7.65 (m, 2H), 7.46 (m, 1H), 7.34 (m, 2H), 7.20 (s, 1H), 7.04 (t, 1H, J= 9.6 Hz), 4.90 (dd, 1H, J= 13.5, 3.6 Hz), 4.49 (dd, 1H, J= 13.3, 7.7 Hz), 4.10 (m, 1H), 3.70 (s, 3H), 2.83 (m, 4H), 1.81 (m, 1H). Anal. Calcd for C<sub>22</sub>H<sub>19</sub>ClFN<sub>3</sub>O<sub>3</sub>: C, 61.76; H, 4.48; N, 9.82. Found: C, 61.85; H, 4.56; N, 9.73.

5 **Example Ic-6**



10 A mixture of alcohol (Int-14, 402 mg, 1.06 mmol), DMSO (0.30 mL, 330 mg, 4.24 mmol), (COCl)<sub>2</sub> (0.18 mL, 270 mg, 2.12 mmol), CH<sub>2</sub>Cl<sub>2</sub> (7 mL) and Et<sub>3</sub>N (1.60 mL, 1.20 g, 11.66 mmol) were used according to general procedure I. The product was isolated by flash chromatography, elution with using 2:1 hexane-acetone, to provide 140 mg (35%) of Ic-6 as a pale yellow solid: <sup>1</sup>H NMR (300 MHz, DMSO-d<sub>6</sub>) δ 8.52 (d, 1H, J= 4.8 Hz), 8.10 (d, 1H, J= 7.8 Hz), 7.97 (t, 1H, J= 7.8 Hz), 7.82 (m, 3H), 7.52 (m, 2H), 7.11 (s, 1H), 4.18 (t, 1H, J= 6.6 Hz), 3.64 (s, 3H), 2.71 (m, 4H).

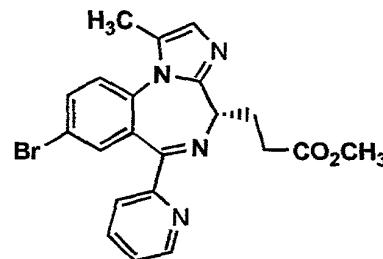
15 The following examples were prepared according to General Procedure II set forth  
20 above in example Ic-6:

**Example Ic-7**

49%;  $^1\text{H}$  NMR (400 MHz,  $\text{CDCl}_3$ )  $\delta$  8.56 (d,  $J = 4.6$  Hz, 1H), 8.17 (d,  $J = 7.7$  Hz, 1H), 7.79 (dd,  $J = 7.7, 1.6$  Hz, 1H), 7.77 (d,  $J = 1.6$  Hz, 1H), 7.56 (dd,  $J = 8.8, 2.4$  Hz, 1H), 7.50 (d,  $J = 2.4$  Hz, 1H), 7.34 (comp, 2H), 6.86 (s, 1H), 4.04 (m, 1H), 3.67 (s, 3H), 2.79 (m, 4H), 2.34 (s, 3H); ESIMS 395 ( $\text{M}+\text{H}$ , base); Anal. Calcd. for  $\text{C}_{21}\text{H}_{19}\text{ClN}_4\text{O}_2$  • 0.5 MeOH: C, 62.85; H, 5.15; N, 13.64. Found: C, 62.99; H, 4.98; N, 13.54.

**Example Ic-8**

Methyl 3-[(4S)-8-bromo-1-methyl-6-(2-pyridinyl)-4H-imidazo[1,2-a][1,4]benzodiazepin-4-yl]propanoate



15 A solution of the C7-bromo-benzodiazepine Ex I-10 (7.31 g, 18.2 mmol) in THF (21 mL) was added to a suspension of NaH (870 mg of 60% oil dispersion, 21.8 mmol) in THF (70 mL) at 0 °C. The reaction mixture was stirred at 0 °C for 30 min, warmed to room temperature and stirred for 30 min, then cooled to 0 °C. Bis-20 morpholinophosphorochloridate (6.48 g, 25.5 mmol) was added, the mixture was allowed to warm to room temperature over 4.5 h, and the mixture was filtered with additional THF (ca. 10 mL). A mixture of the filtrate and DL-1-amino-2-propanol

(2.80 mL, 36.4 mmol) was stirred at room temperature for 18 h and concentrated under reduced pressure. The residue was diluted with EtOAc (ca. 250 mL), washed with saturated aqueous NaHCO<sub>3</sub> (1 x 75 mL), H<sub>2</sub>O (2 x 75 mL), saturated aqueous NaCl (1 x 75 mL), dried (Na<sub>2</sub>SO<sub>4</sub>), and concentrated under reduced pressure.

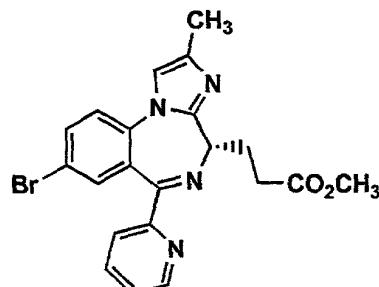
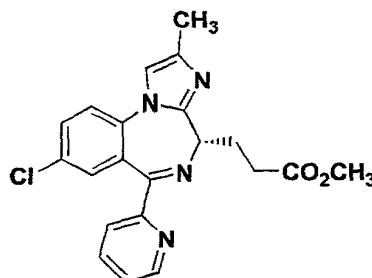
5 Purification by flash chromatography, elution with 19:1 EtOAc-MeOH, gave 3.06 g (37%) of the adduct as a foam; ESIMS 459 (M+H, base).

A mixture of DMSO (1.88 mL, 26.6 mmol) and oxalyl chloride (1.16 mL, 13.3 mmol) in CH<sub>2</sub>Cl<sub>2</sub> (40 mL) was stirred at -78 °C for 30 min. A solution of the alcohol prepared above (3.05 g, 6.64 mmol) in CH<sub>2</sub>Cl<sub>2</sub> (26 mL) was added. The reaction mixture was warmed to -15 °C and stirred 1 h, cooled to -78 °C, treated with Et<sub>3</sub>N (5.55 mL, 39.9 mmol), and allowed to warm to room temperature over 3 h. The mixture was diluted with EtOAc (ca. 500 mL), washed with saturated aqueous NaHCO<sub>3</sub> (1 x 100 mL), H<sub>2</sub>O (1 x 100 mL), saturated aqueous NaCl (1 x 100 mL), dried (Na<sub>2</sub>SO<sub>4</sub>), and concentrated under reduced pressure to give a foam. A mixture of this foam and a catalytic amount of *p*-toluenesulfonic acid was stirred at room temperature for 18h, neutralized by the addition of saturated aqueous NaHCO<sub>3</sub> and diluted with EtOAc (ca. 500 mL). The layers were separated and the organic phase was washed with saturated aqueous NaHCO<sub>3</sub> (1 x 100 mL), H<sub>2</sub>O (2 x 100 mL), saturated aqueous NaCl (1 x 100 mL), dried (Na<sub>2</sub>SO<sub>4</sub>), and concentrated under reduced pressure. Purification by flash chromatography, elution with 19:1 EtOAc-MeOH, gave 2.56 g (88%) of Ic-8 as a foam; <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>) δ 8.57 (d, J = 4.6 Hz, 1H), 8.17 (d J = 7.8 Hz, 1H), 7.79 (dd, J = 7.7, 6.2 Hz, 1H), 7.71 (dd, J = 8.6, 2.2 Hz, 1H), 7.64 (d, J = 2.2 Hz, 1H), 7.34 (dd, J = 7.5, 5.0 Hz, 1H), 7.30 (d, J = 8.6 Hz, 1H), 6.86 (s, 1H), 4.05 (m, 1 H), 3.67 (s, 3H), 2.80 (comp, 4H), 2.34 (s, 3H); ESIMS 461 (M+Na, base), 439 (M+H); Anal. calcd. for C<sub>21</sub>H<sub>19</sub>BrN<sub>4</sub>O<sub>2</sub>-0.25 H<sub>2</sub>O: C, 58.63; H, 4.43; N, 12.62. Found: C, 56.88; H, 4.43; N, 12.23.

Example 1c-8 was formulated in an aqueous vehicle at a concentration of 10 mg/ml. Accordingly, 10 mg of compound (and 9 mg NaCl) was dissolved in 0.63 ml of 0.1 N HCl. Slowly and while stirring, 0.37 ml of 0.1 N NaOH was added.

30 Adjustments are made to the dose volume depending on the dose being administered.

The following example was prepared according to General Procedure I set forth above in example Ic-8:

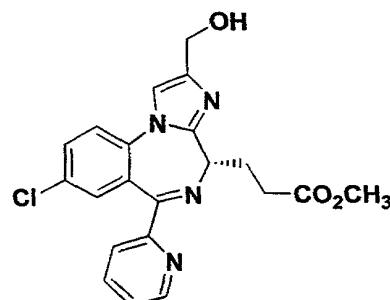
Example Ic-9Example Ic-10

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49%,  $^1\text{H}$  NMR (400 MHz,  $\text{CDCl}_3$ )  $\delta$  8.54 (d,  $J = 4.8$  Hz, 1H), 8.13 (d,  $J = 7.8$  Hz, 1H), 7.79 (dd,  $J = 7.8, 1.7$  Hz, 1H), 7.55 (dd,  $J = 8.6, 2.4$  Hz, 1H), 7.43 (comp, 2 H), 7.33 (dd,  $J = 6.8, 4.8$  Hz, 1H), 7.03 (s, 1H), 4.08 (m, 1H), 3.67 (s, 3H), 2.80 (m, 4H), 2.26 (s, 3H); ESIMS 395 ( $\text{M}+\text{H}$ , base); Anal. Cald. for  $\text{C}_{21}\text{H}_{19}\text{ClN}_4\text{O}_2 \cdot 0.5 \text{ MeOH}$ : C, 62.85; H, 5.15; N, 13.64. Found: C, 62.96; H, 5.13; N, 13.33.

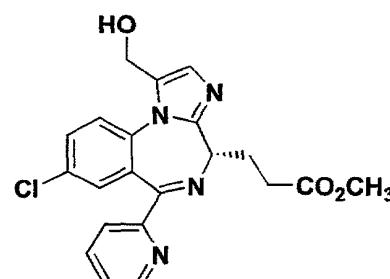
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The following example was prepared according to the procedure set forth in example Ic-4:

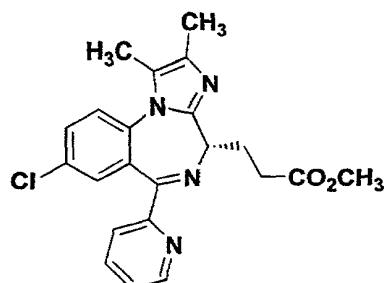
**Example Ic-11**

51%;  $^1\text{H}$  NMR (300 MHz, DMSO- $\text{d}_6$ )  $\delta$  8.52 (d, 1H,  $J$ = 4.8 Hz), 8.09 (d, 1H,  $J$ = 7.8 Hz), 7.97 (t, 1H,  $J$ = 7.6 Hz), 7.78 (m, 2H), 7.67 (s, 1H), 7.50 (m, 2H), 5.05 (bs, 1H), 4.40 (s, 2H), 4.16 (m, 1H), 3.64 (s, 3H), 2.70 (m, 4H); MS (ESI)  $m/z$  410 ( $\text{M}^+$ ).

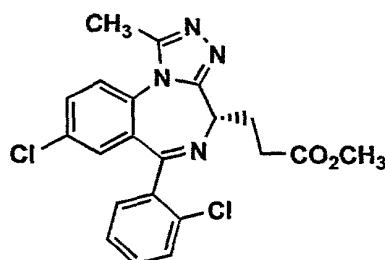
The following example was prepared according to the procedure set forth in Example Ic-5:

**Example Ic-12**

15 45%;  $^1\text{H}$  NMR (300 MHz,  $\text{CDCl}_3$ )  $\delta$  8.54 (d, 1H,  $J$ = 4.5 Hz), 8.14 (d, 1H,  $J$ = 7.8 Hz), 8.05 (d, 1H,  $J$ = 8.7 Hz), 7.80 (t, 1H,  $J$ = 7.8 Hz), 7.60 (dd, 1H,  $J$ = 9, 2.4 Hz), 7.45 (d, 1H,  $J$ = 2.4 Hz), 7.34 (m, 1H), 7.12 (s, 1H), 4.79 (d, 1H,  $J$ = 12.9 Hz), 4.45 (d, 1H,  $J$ = 12.9 Hz), 4.10 (m, 1H), 3.67 (s, 3H), 2.80 (m, 4H). MS (ES)  $m/z$  410 ( $\text{M}^+$ ).

Example Ic-13

A mixture of alcohol (**Int-13**, 620 mg, 1.43 mmol), DMSO (0.40 mL, 5.64 mmol), trifluoroacetic anhydride (0.40 mL, 2.83 mmol), Et<sub>3</sub>N (2.00 mL, 14.4 mmol) and CH<sub>2</sub>Cl<sub>2</sub> were used according to general procedure II. The intermediate ketone was used without purification. A mixture of the ketone and *p*-toluenesulfonic acid (80 mg, 0.42 mmol) in DMF (5 mL) was heated at 80 °C for 30 min. After the reaction was judged to be complete, it was allowed to cool to RT and was poured into EtOAc, washed with H<sub>2</sub>O, brine, dried (MgSO<sub>4</sub>), filtered and concentrated under reduced pressure. The product was purified by flash chromatography, elution with 2:1 hexane-acetone, to provide 210 mg (41%) of Ic-13 as a tan solid: <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>) δ 8.56 (d, 1H, J= 4.8 Hz), 8.17 (d, 1H, J= 8.1 Hz), 7.78 (m, 1H), 7.55 (dd, 1H, J= 8.7, 2.4 Hz), 7.49 (m, 1H), 7.31 (m, 2H), 4.0 (m, 1H), 3.66 (s, 3H), 2.80 (m, 4H), 2.25 (s, 3H), 2.19 (s, 3H); MS (ESI): *m/z* 408 (M<sup>+</sup>).

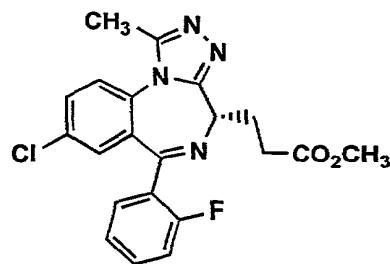
Example Ic-14

To a solution of thiolactam Ex I-29 (203 mg, 0.5 mmol) in  $\text{CH}_2\text{Cl}_2$  (1 mL) was added trimethyloxonium tetrafluoroborate (74 mg, 0.8 mmol). The solution was stirred for 1 hr and diluted with  $\text{CH}_2\text{Cl}_2$  (50 mL). The solution was washed with sat  $\text{NaHCO}_3$  and brine, dried over  $\text{MgSO}_4$ , filtered, and concentrated. Flash chromatography (4:1 hexanes:ethyl acetate) provided 112 mg of the methylthioimidate as a white foam.  $^1\text{H}$  NMR (400 MHz,  $\text{CDCl}_3$ )  $\delta$  2.46 (s, 3H). MS (ESI):  $m/z$  421 ( $\text{M}+\text{H}^+$ , base).

A solution of the methylthioimidate (174 mg, 0.4 mmol) and acetic hydrazide (31 mg, 0.4 mmol) in DCE (1 mL) was heated at 100 C for 16 hr. The dark brown mixture was evaporated and the residue was chromatographed (graded elution with 3:2  $\text{CH}_2\text{Cl}_2$ :ether to 3:2  $\text{CH}_2\text{Cl}_2$ :ether with 2% methanol) to yield, upon trituration with diisopropyl ether, 49 mg of Ic-14 as a tan powder.  $^1\text{H}$  NMR (400 MHz,  $\text{CDCl}_3$ )  $\delta$  4.25 (m, 1H), 3.65 (s, 3H), 2.61 (s, 3H). MS (ESI):  $m/z$  429 ( $\text{M}+\text{H}^+$ , base).

The following example was prepared according to the procedure set forth above in Example Ic-14:

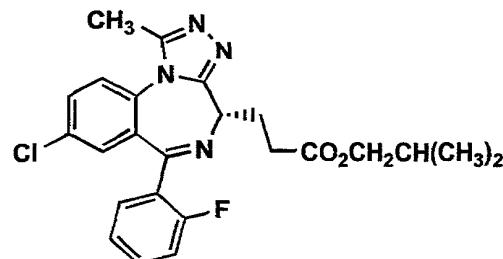
#### Example Ic-15



$^1\text{H}$  NMR (400 MHz,  $\text{CDCl}_3$ )  $\delta$  4.21 (m, 1H), 3.66 (s, 3H), 2.66 (s, 3H). MS (AP+):  $m/z$  429 ( $\text{M}+\text{Na}^+$ , base).

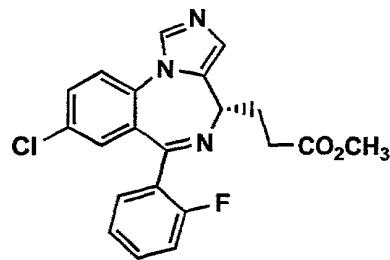
#### Example Ic-16

Example Ic-16 was prepared using Otera's catalyst, sec-butyl alcohol, and methyl ester Ic-15 according to the procedure set forth in Example I-14.



96%;  $^1\text{H}$  NMR (400 MHz,  $\text{CDCl}_3$ )  $\delta$  4.22 (m, 1H), 3.83 (d, 2H), 2.66 (s, 3H), 1.88 (m, 1H), 0.88 (d, 6H).

**Example Ic-17**

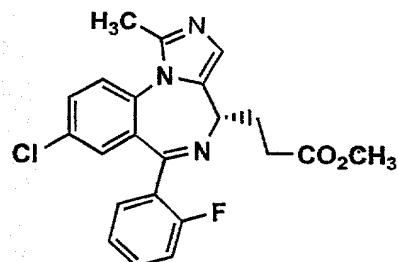


In a round-bottomed flask was dissolved **Int-17** (0.84 g, 1.69 mmol) in 10 methylene chloride (10 mL). To this was added trifluoroacetic acid (8.00 mL) and the resulting solution was stirred overnight at room temperature. The reaction was concentrated to dryness and the residue was taken up in a 0.5 M sodium carbonate solution. The aqueous layer was washed twice with chloroform and once with diethyl ether (filtration through Celite was used to break up any emulsions). The aqueous 15 layer was then adjusted to a pH of 4.5 using 1M phosphoric acid. The water layer was then extracted with ethyl acetate and chloroform. The combined organic extracts were dried over magnesium sulfate, filtered and concentrated to give the carboxylic acid (0.47 g, 1.06 mmol) which was dissolved in 1,2,4-trichlorobenzene and heated to reflux (214 °C) for 30 min. The reaction was cooled to room temperature and loaded 20 onto a silica gel pad. The pad was eluted with chloroform then the product was eluted with 3% methanol in chloroform to provide **Ic-17** (0.35 g, 0.88 mmol).  $^1\text{H}$  NMR ( $\text{CDCl}_3$ ): 8.05 (br s, 1H), 7.52-7.6 (m, 3H), 7.4-7.46 (m, 1H), 7.27 (d, 1H,  $J=1.6$  Hz),

7.22 (t, 1H,  $J=7.8$  Hz), 7.0-7.19 (m, 2H), 4.15 (dd, 1H,  $J=3.6, 5.2$  Hz), 3.69 (s, 3H), 2.74-2.84 (m, 1H), 2.62-2.73 (m, 2H), 2.50-2.60 (m, 1H). MS (ES+) = 398(100%, M+).

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**Example Ic-18**



t-Butyl acrylate (45 mL) was added to a stirred solution of 3.25 g (10 mmol) midazolam in 20 ml THF. The solution was cooled to  $-15$  °C, and 20 ml 1.0M solution of potassium t-butoxyde in t-butanol was added over 10 min. The mixture was stirred for 1 h at  $-10$  °C, then diluted with 500 ml ether. The solution was washed with brine 3 times, dried with anhydrous magnesium sulfate and concentrated under reduced pressure. The residue was purified using silica gel chromatography (hexane-ethyl acetate (1:1)), providing 2.55 g of the ester. (56 %)  $^1$ H-NMR ( $CDCl_3$ )  $\delta$  7.7-6.9 m (8H), 3.95 dd ( $J=4.7, 9.0, 1$ H), 2.7-2.4 m (4H), 2.51 s (3H), 1.39 s (9H). MS: 454 (M+1, ES+).

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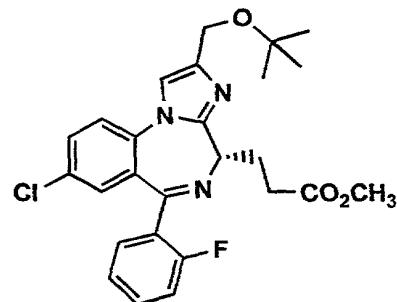
TFA (100 mL) was added to a stirred solution of 2.42 g (5.3 mmol) tBu ester in methylene chloride (100 mL). The mixture was stirred at 20 °C for 2 h, then concentrated under reduced pressure. The residue was dissolved in chloroform (300 mL) then the solvent was evaporated. 50 mL DMF, 10 g potassium carbonate and 1.5 g methyl iodide was added to the residue, then the reaction was stirred at 20 °C for 90 min. The mixture was diluted with 300 mL ether, extracted with 200 mL water five times, and the organic phase was dried with anhydrous magnesium sulfate and concentrated under reduced pressure. 10 mL ether was added to the residue, and the solution was stirred at 0 °C for 30 min. The white powder was filtered and dried under vacuum to obtain 1.07 g of *rac*-Ic-18. (49%)  $^1$ H-NMR ( $CDCl_3$ ):  $\delta$  7.60 m (2H), 7.40 m (2H), 7.22 m (2H), 7.00 t ( $J=10, 1$ H), 6.90 s (1H), 4.03 dd ( $J=4.8, 9.0, 1$ H), 3.68 s (3H), 2.8-2.4 m (4H), 2.54 s (3H). MS: 412 (M+1, ES+).

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Separation of the enantiomers of *rac*-Ic-18 can be performed by preparative chiral chromatography (Daicel AD column 5x50 cm, 20 micron; 20% IPA/Hexane, 50-80 ml/min, UV 270 nM). The two enantiomers have the following optical properties: (+)-Ic-18  $t_{\text{ret}}$ : 13.5 min.,  $[\alpha]_D=+13.3$  (THF,  $c=25$  mg/ml); (-)-Ic-18:  $t_{\text{ret}}$ : 21.8 min,  $[\alpha]_D=-13.2$  (THF,  $c=29$  mg/ml).

5 **Example Ic-19**



10 To the iminophosphate **Int-19** (15 g) was added a 50 mL of 1M THF solution of DL-serinol, t-butyl ether (prepared according to Meyers *et al.* *J. Org. Chem.* 1993, 58, 3568). Stirred at rt for 16 h, added an additional 2 equiv. of amino alcohol. Heated to reflux for 1.5 h then standard aqueous extractive workup (DCM). Flash 15 chromatography (3:1, hexanes:acetone) provided 7.7 g (62%) of the intermediate amidine. The amidine was converted to example Ic-19 using general procedure I, followed by a TsOH/DMF cyclodehydration step as described in example Ic-5.  $^1\text{H}$  NMR (400 MHz,  $\text{CDCl}_3$ )  $\delta$  4.50 (dd, 2H), 4.03 (m, 1H), 3.66 (s, 3H), 1.29 (s, 9H). MS (ES): 484 ( $M+1^+$ ).

20 When used in medicine, the salts of a compound of the invention should be pharmaceutically acceptable, but pharmaceutically unacceptable salts may conveniently be used to prepare the corresponding free base or pharmaceutically acceptable salts thereof. Such pharmaceutically acceptable salts include, but are not limited to, those prepared from the following acids: hydrochloric, hydrobromic, 25 sulfuric, nitric, phosphoric, salicylic, p-toluenesulfonic, tartaric, citric,

methanesulfonic, maleic, formic, malonic, succinic, isethionic, lactobionic, naphthalene-2-sulfonic, sulfamic, ethanesulfonic and benzenesulfonic.

Moreover, while it is possible for the compounds of the invention to be administered as the bulk active chemicals, it is preferably presented with an acceptable carrier in the form a pharmaceutical formulation. The carrier must, of course, be acceptable in the sense of being compatible with the other ingredients of the formulation and must not be deleterious to the recipient. Accordingly, the present invention provides a pharmaceutical formulation which comprises a compound of Formula (I) as hereinbefore defined and a pharmaceutically acceptable carrier in the form a pharmaceutical formulation. The formulations include those suitable for oral, rectal, topical, buccal (e.g. sub-lingual) and parenteral (e.g., subcutaneous, intramuscular, intradermal or intravenous) administration. It is preferred to present compounds of the present invention in the form of a pharmaceutical formulation for parenteral administration, e.g., by intravenous or intramuscular injection of a solution. Where the pharmaceutical formulation is for parenteral administration, the formulation may be an aqueous or non-aqueous solution or mixture of liquids, which may contain bacteriostatic agents, antioxidants, buffers or other pharmaceutically acceptable additives. The preferred formulations of compounds of Formula (I) of the present invention is by either an aqueous acidic medium of pH 2-4 or by use of an aqueous solution of a cyclodextrin. Cyclodextrins that can be used for these formulations are either the anionically charged sulfobutylether (SBE) derivatives of  $\beta$ -CD, specifically SBE7- $\beta$ -CD, marketed under the tradename Captisol by CyDex, Inc. (*Critical Reviews in Therapeutic Drug Carrier Systems*, 14 (1), 1-104 (1997)), or the hydroxypropyl CD's. The preferred method of formulation (i.e., acid buffer or CD-based) may depend on the physicochemical properties (e.g., aqueous solubility, pKa, etc.) of a particular compound. Alternatively the compounds may be presented as lyophilized solids for reconstitution with water (for injection) or dextrose or saline solutions. Such formulations are normally presented in unit dosage forms such as ampoules or disposable injection devices. They may also be presented in multi-dose forms such as a bottle from which the appropriate dose may be withdrawn. All such formulations should be sterile.

Accordingly, the present invention also provides a method for producing sedation or hypnosis in a mammal, which comprises administering to the mammal an effective sedative or hypnotic amount of a compound of the present invention as hereinbefore defined. The present invention also provides a method for inducing anxiolysis in a mammal, which comprises administering to the mammal an effective anxiolytic amount of a compound of the present invention as hereinbefore defined.

5 The present invention also provides a method for inducing muscle relaxation in a mammal, which comprises administering to the mammal an effective muscle relaxant amount of a compound of the present invention as hereinbefore defined. The present invention also provides a method for treating convulsions in a mammal, which comprises administering to the mammal an effective anticonvulsant amount of a compound of the present invention as hereinbefore defined.

10 The present invention also provides the use of a sedative or hypnotic amount of a compound of the present invention as hereinbefore defined in the manufacture of a medicament for producing sedation or hypnosis in a mammal, including in a human. The present invention also provides the use of an anxiolytic amount of a compound of the present invention as hereinbefore defined in the manufacture of a medicament for producing anxiolysis in a mammal, including in a human. The present invention also provides the use of a muscle relaxant amount of a compound of the present invention as hereinbefore defined in the manufacture of a medicament for producing muscle relaxation in a mammal, including in a human. The present invention also provides the use of an anticonvulsant amount of a compound of the present invention as hereinbefore defined in the manufacture of a medicament for treating convulsions in a mammal, including in a human.

15 20 25 30 Intravenous administration can take the form of bolus injection or, more appropriately, continuous infusion. The dosage for each subject may vary, however, a suitable intravenous amount or dosage of the compounds of the present invention to obtain sedation or hypnosis in mammals would be 0.01 to 5.0 mg/kg of body weight, and more particularly, 0.02 to 0.5 mg/kg of body weight, the above being based on the weight of the compound which is the active ingredient. A suitable intravenous amount or dosage of the compounds of the present invention to obtain anxiolysis in mammals

would be 0.01 to 5.0 mg/kg of body weight, and more particularly, 0.02 to 0.5 mg/kg of body weight, the above being based on the weight of the compound which is the active ingredient. A suitable intravenous amount or dosage of the compounds of the present invention to obtain muscle relaxation in mammals would be 0.01 to 5.0 mg/kg of body weight, and more particularly, 0.02 to 0.5 mg/kg of body weight, the above being based on the weight of the compound which is the active ingredient. A suitable intravenous amount or dosage of the compounds of the present invention to treat convulsions in mammals would be 0.01 to 5.0 mg/kg of body weight, and more particularly, 0.02 to 0.5 mg/kg of body weight, the above being based on the weight of the compound which is the active ingredient.

Thus a suitable pharmaceutical parenteral preparation for administration to humans will preferably contain 0.1 to 20 mg/ml of a compound of the present invention in solution or multiples thereof for multi-dose vials.

The compounds of the present invention elicit important and measurable pharmacological responses. The compounds described by this invention bind with high affinity to the benzodiazepine site on the GABA<sub>A</sub> receptor complex ("benzodiazepine receptor"). The binding affinity was measured by use of the following benzodiazepine radioligand binding assay.

Tissues: Membrane homogenates were prepared from male Sprague Dawley rat brain (whole brain less cerebellum), female Yucatan micropig brain cortex and female human brain cortex according to the methods described by Marangos & Martinos (Molecular Pharmacology 20:16-21, 1981). The human donor was a 72 year old female Caucasian who died of an acute cardiopulmonary aneurysm. All tissues were obtained from, and membrane homogenates were prepared by Analytical Biological Services (ABI, Wilmington, DE). Homogenates were frozen, stored at minus 80°C and thawed immediately before use in radioligand binding assays.

Materials: <sup>3</sup>H-flunitrazepam (NET-567) was obtained from New England Nuclear, Boston, MA. 2'-chlorodiazepam was prepared at Glaxo Wellcome, RTP, USA. Tris HCl was obtained from GibcoBRL and sodium chloride was obtained from J.T. Baker. Microscint-20 liquid scintillant and Unifilter 96 well plates were

5 purchased from Packard Instruments. Midazolam and chlordiazepoxide were purchased from Sigma Chemicals. Flumazenil was a gift from Hoffman LaRoche.

10 Assay conditions: Test compounds were prepared in 100% DMSO at a concentration of 25-50 mM. Compounds were diluted in assay buffer such that the first well contained 100  $\mu$ M (final concentration). Eleven 3-fold serial dilutions were prepared in buffer to complete a 12-point concentration-response curve for each test compound. Each concentration was tested in triplicate and compounds of interest were tested on at least 3 separate occasions. The final concentration of DMSO in each well did not exceed 0.4%. Nonspecific binding was defined in the presence of 10  $\mu$ M 2'-chlorodiazepam ( $K_i = 0.5$  nM). The final concentrations of  $^3$ H-flunitrazepam were 2 nM, 2 nM and 2.5 nM for rat, micropig and human assays, respectively. The concentrations differed slightly among the tissues so that the signal-to-noise ratio was optimized and the lowest possible concentration was used. Concentration-response curves for midazolam, chlordiazepoxide or flumazenil were conducted as controls with each assay run. Radioligand, compounds and membrane homogenates were incubated for 90 minutes at 4°C in buffer consisting of 50 mM Tris HCl, pH 7.4, containing 150 mM NaCl. All assays were conducted in 96 well plates in a total assay volume of 200  $\mu$ L. Protein concentrations were 12, 9 and 15 micrograms/well for rat, pig and human preparations, respectively. The reaction was terminated by rapid

15 filtration (Packard Filtermate-196) through 96-well GF/B filter plates (Packard # 6005177). The filters were washed 8 times with 200  $\mu$ L/well with ice-cold Tris 50 mM, pH7.4 (~1.6 ml total). After drying, 20  $\mu$ L of Microscint was added to each well and the plates were sealed. Plates were counted using a Packard TopCount microtiter plate scintillation counter.

20 Data analysis: Data were analyzed, fitted to a single site equation, and  $IC_{50}$  values were calculated using the Excel Addin Robosage (Glaxo Wellcome Research Information Resources).  $K_i$  values were calculated using the equation of Cheng and Prusoff (Biochem. Pharmacol. 22:3099-3108, 1973).  $K_d$  values of  $^3$ H-flunitrazepam used in  $K_i$  calculations were determined for each tissue in saturation binding experiments.

Table 1. Benzodiazepine receptor binding (Ki measured in nM; 1-50nM = +++; 51-100nM = ++; 101-1000nM = +; >1,000 = +)

Example No.	Ki (nM)
1-1	+++
1-2	++
1-3	+++
1-4	++
1-5	+++
1-6	++
1-7	+++
1-8	+++
1-9	++
1-10	++
1-11	++
1-12	++
1-13	+++
1-14	++
1-15	++
1-16	+++
1-17	++
1-18	++
1-19	++
1-20	+++
1-21	+++
1-22	++
1-23	+++
1-24	++
1-25	++
1-26	++
1-27	+++

Example No.	Ki (nM)
1-31	+++
1b-1	+++
1b-2	+
1b-3	++
1b-4	++
1b-5	+
1b-6	++
1b-7	+
1b-8	++
1b-9	++
1b-10	++++
1c-1	++++
1c-2	++++
1c-3	++++
1c-4	++++
1c-5	++++
1c-6	+++
1c-7	+++
1c-8	++++
1c-9	++++
1c-10	+++
1c-11	++
1c-12	++
1c-13	++
1c-14	++++
1c-15	++++
1c-16	++++
1c-17	++
1c-18	++++

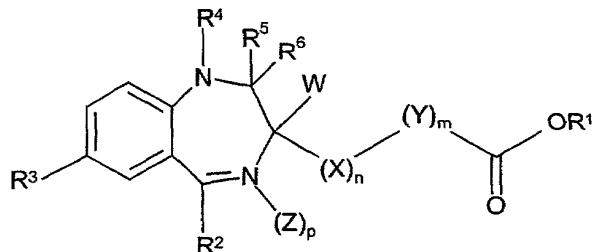
Example No.	Ki (nM)
1c-19	++++

High affinity binding of a ligand to the benzodiazepine receptor does not characterize the intrinsic efficacy (full agonist, inverse agonist, antagonist) of a benzodiazepine receptor ligand. The intrinsic efficacy of a compound was assessed by its ability to cause loss of the righting reflex (LRR) in rats, an effect associated with benzodiazepine full agonism.

Method: Subjects for these studies were male Wistar rats, weighing approximately 250 – 350 grams. To assess loss of righting reflex (LRR), animals were placed in plastic restrainers and test compounds were administered i.v. via the tail vein. Subjects were immediately removed from the restrainer and the time to onset of loss of righting reflex was recorded. LRR was defined as the loss of an animal's ability to right itself when placed in a supine position. A compound was identified as inactive in this model if LRR was not observed within 5 minutes following injection. Compounds producing LRR were evaluated by three measures: 1) Time to onset of LRR (as described above) 2) Time to recover from LRR. An animal met this criteria when able to right itself three consecutive times after losing its righting reflex 3) Total recovery time. Total recovery was measured by the animal's ability to walk without ataxia as well as its ability to pull itself up three consecutive times when suspended from a horizontal wire. Compounds producing loss-of-righting at a dose in the range of 10-100 mg/kg include the following: examples 1, 3, 4, 5, 6, 7, 9, 11, 17, 20 23 of compounds of Formula Ia, examples 1 and 10 of compounds of Formula Ib and examples 1, 2, 3, 6, 7, 8, 10, 14, 15, 17, 18 of compounds of Formula Ic. In the rat loss-of-righting model at a dose range of 10-100 mg/kg, the compounds of this invention displayed overt pharmacological responses similar to those of 25 therapeutically useful benzodiazepines described in the prior art. The therapeutically useful dosage range for administration to mammals is 0.01 to 5.0 mg/kg of body weight.

What is claimed is:

1. A compound of formula (I):



Formula (I)

wherein

W is H, a C<sub>1</sub>-C<sub>4</sub> branched alkyl, or a straight chained alkyl;

X is CH<sub>2</sub>, NH, or NCH<sub>3</sub>; n is 1 or 2;

Y is O or CH<sub>2</sub>; m is 0 or 1, provided that if X is CH<sub>2</sub>, n is 1 and m is 0, then R<sup>1</sup> is not CH<sub>2</sub>CH<sub>3</sub>;

Z is O; p is 0 or 1;

R<sup>1</sup> is H, a C<sub>1</sub>-C<sub>7</sub> straight chain alkyl, a C<sub>3</sub>-C<sub>7</sub> branched chain alkyl, a C<sub>1</sub>-C<sub>4</sub> haloalkyl, a C<sub>3</sub>-C<sub>7</sub> cycloalkyl, an aryl, a heteroaryl, an aralkyl, or a heteroaralkyl;

R<sup>2</sup> is phenyl, 2-halophenyl or 2-pyridyl,

R<sup>3</sup> is H, Cl, Br, F, I, CF<sub>3</sub>, or NO<sub>2</sub>;

15 (1) R<sup>4</sup> is H, a C<sub>1</sub>-C<sub>4</sub> alkyl, or a dialkylaminoalkyl and R<sup>5</sup> and R<sup>6</sup> together represent a single oxygen or S atom which is linked to the diazepine ring by a double bond and p is zero or 1; or (2) R<sup>4</sup> and R<sup>5</sup> together is a double bond in the diazepine ring and R<sup>6</sup> represents the group NHR<sup>7</sup> wherein R<sup>7</sup> is H, C<sub>1-4</sub> alkyl, C<sub>1-4</sub> hydroxyalkyl, benzyl or benzyl mono or disubstituted independently with halogen substituents, C<sub>1-</sub>

20 alkylpyridyl or C<sub>1-4</sub> alkylmidazolyl and p is zero;

or (3) R<sup>4</sup>, R<sup>5</sup> and R<sup>6</sup> form the group -CR<sup>8</sup>=U-V= wherein R<sup>8</sup> is hydrogen, C<sub>1-4</sub> alkyl or C<sub>1-3</sub> hydroxyalkyl, U is N or CR<sup>9</sup> wherein R<sup>9</sup> is H, C<sub>1-4</sub>alkyl, C<sub>1-3</sub>hydroxyalkyl or C<sub>1-</sub>alkoxy, C<sub>1-4</sub>alkyl, V is N or CH and p is zero; or pharmaceutically acceptable salts and or solvates thereof.

## 2. A compound according to claim 1 wherein

W is H;

X is CH<sub>2</sub> or NH; n is 1;Y is CH<sub>2</sub>; m is 0 or 1, provided that if X is CH<sub>2</sub>, n is 1 and m is 0, then R<sup>1</sup> is not CH<sub>2</sub>CH<sub>3</sub>;

Z is O; p is 0 or 1;

R<sup>1</sup> is H, CH<sub>3</sub>, CH<sub>2</sub>CH<sub>3</sub>, (CH<sub>2</sub>)<sub>2</sub>CH<sub>3</sub>, (CH<sub>2</sub>)<sub>3</sub>CH<sub>3</sub>, CH<sub>2</sub>(CH<sub>3</sub>)<sub>2</sub>, CH<sub>2</sub>CH(CH<sub>3</sub>)<sub>2</sub>, C(CH<sub>3</sub>)<sub>3</sub>, benzyl, 4-pyridylmethyl or 3-pyridylmethyl;R<sup>2</sup> is phenyl, 2-fluorophenyl, 2-chlorophenyl, or 2-pyridyl;R<sup>3</sup> is Cl, Br or NO<sub>2</sub>;R<sup>4</sup> is H, CH<sub>3</sub> or CH<sub>2</sub>CH<sub>2</sub>N(CH<sub>2</sub>CH<sub>3</sub>)<sub>2</sub>;R<sup>5</sup> and R<sup>6</sup> together are either O or S; or

pharmaceutically acceptable salts and solvates thereof.

## 3. A compound according to claim 1 wherein

W is H;

X is CH<sub>2</sub> or NH; n is 1;Y is CH<sub>2</sub>; m is 1;

p is 0;

R<sup>1</sup> is H, CH<sub>3</sub>, CH<sub>2</sub>CH<sub>3</sub>, (CH<sub>2</sub>)<sub>2</sub>CH<sub>3</sub>, (CH<sub>2</sub>)<sub>3</sub>CH<sub>3</sub>, CH<sub>2</sub>(CH<sub>3</sub>)<sub>2</sub>, CH<sub>2</sub>CH(CH<sub>3</sub>)<sub>2</sub>, C(CH<sub>3</sub>)<sub>3</sub>, benzyl, 4-pyridylmethyl or 3-pyridylmethyl; provided that if R<sup>1</sup> is 3-pyridylmethyl or 4-pyridylmethyl, then X is CH<sub>2</sub>, n is 1, Y is CH<sub>2</sub>, m is 0 or 1, R<sup>2</sup> is 2-fluorophenyl, R<sup>3</sup> is Cl, R<sup>4</sup> is H and R<sup>5</sup> and R<sup>6</sup> together are O;R<sup>2</sup> is phenyl, 2-fluorophenyl, 2-chlorophenyl or 2-pyridyl,R<sup>3</sup> is Cl, Br or NO<sub>2</sub>;R<sup>4</sup> is H, CH<sub>3</sub> or CH<sub>2</sub>CH<sub>2</sub>N(CH<sub>2</sub>CH<sub>3</sub>)<sub>2</sub>; provided that when R<sup>4</sup> is CH<sub>2</sub>CH<sub>2</sub>N(CH<sub>2</sub>CH<sub>3</sub>)<sub>2</sub>, then X is CH<sub>2</sub>, n is 1, Y is CH<sub>2</sub>, m is 1, R<sup>1</sup> is CH<sub>3</sub> or benzyl, R<sup>2</sup> is 2-fluorophenyl, R<sup>3</sup> is Cl and R<sup>5</sup> and R<sup>6</sup> together is O;R<sup>5</sup> and R<sup>6</sup> together are O or S; or

pharmaceutically acceptable salts and solvates thereof.

4. A compound according to claim 1 wherein

W is H;

X is CH<sub>2</sub> or NH; n is 1;

Y is CH<sub>2</sub>; m is 0 or 1, provided that if X is CH<sub>2</sub> and m is 0, then R<sup>1</sup> is not CH<sub>2</sub>CH<sub>3</sub>;

5 p is 0;

R<sup>1</sup> is CH<sub>3</sub>, CH<sub>2</sub>CH<sub>3</sub>, (CH<sub>2</sub>)<sub>2</sub>CH<sub>3</sub>, (CH<sub>2</sub>)<sub>3</sub>CH<sub>3</sub>, CH<sub>2</sub>(CH<sub>3</sub>)<sub>2</sub>, CH<sub>2</sub>CH(CH<sub>3</sub>)<sub>2</sub>, C(CH<sub>3</sub>)<sub>3</sub>, benzyl or 4-pyridylmethyl;

R<sup>2</sup> is 2-fluorophenyl, 2-chlorophenyl or 2-pyridyl,

R<sup>3</sup> is Cl, Br, or NO<sub>2</sub>;

10 R<sup>4</sup> is H, CH<sub>3</sub> or CH<sub>2</sub>CH<sub>2</sub>N(CH<sub>2</sub>CH<sub>3</sub>)<sub>2</sub>;

R<sup>5</sup> and R<sup>6</sup> together is O or S; or

pharmaceutically acceptable salts and solvates thereof.

5. A compound according to claim 1 wherein

W is H;

X is CH<sub>2</sub> or NH; n is 1;

Y is CH<sub>2</sub>; m is 0 or 1, provided that if X is CH<sub>2</sub> and m is 0, then R<sup>1</sup> is not CH<sub>2</sub>CH<sub>3</sub>;

15 p is 0;

R<sup>1</sup> is CH<sub>3</sub>, CH<sub>2</sub>CH<sub>3</sub>, (CH<sub>2</sub>)<sub>2</sub>CH<sub>3</sub>, (CH<sub>2</sub>)<sub>3</sub>CH<sub>3</sub>, CH<sub>2</sub>(CH<sub>3</sub>)<sub>2</sub>, CH<sub>2</sub>CH(CH<sub>3</sub>)<sub>2</sub>, C(CH<sub>3</sub>)<sub>3</sub>,

20 benzyl or 4-pyridylmethyl; provided that when R<sup>1</sup> is 4-pyridylmethyl, then X is CH<sub>2</sub>, n is 1, Y is CH<sub>2</sub>, m is 1, R<sup>2</sup> is 2-fluorophenyl, R<sup>3</sup> is Cl, R<sup>4</sup> is H and R<sup>5</sup> and R<sup>6</sup> together is O;

R<sup>2</sup> is 2-fluorophenyl, 2-chlorophenyl or 2-pyridyl,

R<sup>3</sup> is Cl, Br or NO<sub>2</sub>;

25 R<sup>4</sup> is H, CH<sub>3</sub> or CH<sub>2</sub>CH<sub>2</sub>N(CH<sub>2</sub>CH<sub>3</sub>)<sub>2</sub>; provided that when R<sup>4</sup> is CH<sub>2</sub>CH<sub>2</sub>N(CH<sub>2</sub>CH<sub>3</sub>)<sub>2</sub>, then X is CH<sub>2</sub>, n is 1, Y is CH<sub>2</sub>, m is 1, R<sup>1</sup> is CH<sub>3</sub> or benzyl, R<sup>2</sup> is 2-fluorophenyl, R<sup>3</sup> is Cl and R<sup>5</sup> and R<sup>6</sup> together is O;

R<sup>5</sup> and R<sup>6</sup> together are O or S; or

pharmaceutically acceptable salts and solvates thereof.

6. A compound according to claim 1 wherein in each compound W is H and wherein X, n, Y, Z, p and R<sup>1-6</sup> for each compound are as follows:

X	n	Y	m	Z	p	R <sup>1</sup>	R <sup>2</sup>	R <sup>3</sup>	R <sup>4</sup>	R <sup>5</sup> R <sup>6</sup>
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	CH <sub>3</sub>	2-fluorophenyl	Cl	H	O
CH <sub>2</sub>	1	--	0	--	0	CH <sub>3</sub>	2-fluorophenyl	Cl	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	CH <sub>3</sub>	2-fluorophenyl	Br	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	benzyl	2-fluorophenyl	Cl	H	O
CH <sub>2</sub>	1	--	0	--	0	benzyl	2-fluorophenyl	Cl	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	CH <sub>3</sub>	2-chlorophenyl	Cl	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	2	--	0	CH <sub>3</sub>	2-fluorophenyl	Cl	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	benzyl	2-pyridyl	Cl	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	CH <sub>3</sub>	2-pyridyl	Br	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	CH <sub>3</sub>	2-pyridyl	Cl	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	2	--	0	C(CH <sub>3</sub> ) <sub>3</sub>	2-fluorophenyl	Cl	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	CH <sub>3</sub>	2-fluorophenyl	NO <sub>2</sub>	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	(CH <sub>2</sub> ) <sub>2</sub> CH <sub>3</sub>	2-pyridyl	Cl	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	CH <sub>2</sub> CH <sub>3</sub>	2-pyridyl	Cl	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	4-pyridyl-methyl	2-fluorophenyl	Cl	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	(CH <sub>2</sub> ) <sub>3</sub> CH <sub>3</sub>	2-fluorophenyl	Cl	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	(CH <sub>2</sub> ) <sub>3</sub> CH <sub>3</sub>	2-pyridyl	Cl	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	CH <sub>2</sub> CH-(CH <sub>3</sub> ) <sub>2</sub>	2-pyridyl	Cl	H	O
CH <sub>2</sub>	1	--	0	--	0	CH <sub>2</sub> CH <sub>3</sub>	2-fluorophenyl	Cl	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	CH(CH <sub>3</sub> ) <sub>2</sub>	2-fluorophenyl	Cl	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	CH <sub>3</sub>	2-fluorophenyl	Cl	CH <sub>2</sub> CH <sub>2</sub> N-(CH <sub>2</sub> CH <sub>3</sub> ) <sub>2</sub>	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	CH <sub>3</sub>	2-fluorophenyl	Cl	CH <sub>3</sub>	O
CH <sub>2</sub>	1	--	0	--	0	benzyl	2-fluorophenyl	Cl	CH <sub>3</sub>	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	benzyl	2-fluorophenyl	Cl	CH <sub>2</sub> CH <sub>2</sub> N-(CH <sub>2</sub> CH <sub>3</sub> ) <sub>2</sub>	O

X	n	Y	m	Z	p	R <sup>1</sup>	R <sup>2</sup>	R <sup>3</sup>	R <sup>4</sup>	R <sup>5</sup> R <sup>6</sup>
NH	1	CH <sub>2</sub>	1	--	0	CH <sub>3</sub>	2-chlorophenyl	Cl	H	O
NH	1	CH <sub>2</sub>	2	--	0	CH <sub>3</sub>	2-chlorophenyl	Cl	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	CH <sub>3</sub>	2-fluorophenyl	Cl	H	S
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	CH <sub>3</sub>	2-chlorophenyl	Cl	H	S
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	CH <sub>3</sub>	2-pyridyl	Cl	H	S
CH <sub>2</sub>	1	CH <sub>2</sub>	1	O	1	CH <sub>3</sub>	2-fluorophenyl	Cl	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	benzyl	phenyl	NO <sub>2</sub>	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	CH <sub>3</sub>	2-fluorophenyl	H	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	CH <sub>3</sub>	2-pyridyl	NO <sub>2</sub>	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	benzyl	2-pyridyl	NO <sub>2</sub>	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	benzyl	2-fluorophenyl	H	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	CH <sub>3</sub>	phenyl	NO <sub>2</sub>	H	O
NH	1	CH <sub>2</sub>	2	--	0	(CH <sub>2</sub> ) <sub>3</sub> CH <sub>3</sub>	2-fluorophenyl	Cl	H	O
CH <sub>2</sub>	1	--	0	--	0	3-pyridyl-methyl	2-fluorophenyl	Cl	H	O
CH <sub>2</sub>	1	--	0	--	0	4-pyridyl-methyl	2-fluorophenyl	Cl	H	O

7. A compound according to claim 1 wherein in each compound W is H and wherein X, n, Y, m, Z, p and R<sup>1-6</sup> for each compound are as follows:

5

X	n	Y	m	Z	p	R <sup>1</sup>	R <sup>2</sup>	R <sup>3</sup>	R <sup>4</sup>	R <sup>5</sup> R <sup>6</sup>
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	CH <sub>3</sub>	2-fluorophenyl	Cl	H	O
CH <sub>2</sub>	1	--	0	--	0	CH <sub>3</sub>	2-fluorophenyl	Cl	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	CH <sub>3</sub>	2-fluorophenyl	Br	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	benzyl	2-fluorophenyl	Cl	H	O
CH <sub>2</sub>	1	--	0	--	0	benzyl	2-fluorophenyl	Cl	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	CH <sub>3</sub>	2-chlorophenyl	Cl	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	2	--	0	CH <sub>3</sub>	2-fluorophenyl	Cl	H	O

X	n	Y	m	Z	p	R <sup>1</sup>	R <sup>2</sup>	R <sup>3</sup>	R <sup>4</sup>	R <sup>5</sup> R <sup>6</sup>
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	benzyl	2-pyridyl	Cl	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	CH <sub>3</sub>	2-pyridyl	Br	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	CH <sub>3</sub>	2-pyridyl	Cl	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	2	--	0	C(CH <sub>3</sub> ) <sub>3</sub>	2-fluorophenyl	Cl	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	CH <sub>3</sub>	2-fluorophenyl	NO <sub>2</sub>	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	(CH <sub>2</sub> ) <sub>2</sub> CH <sub>3</sub>	2-pyridyl	Cl	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	CH <sub>2</sub> CH <sub>3</sub>	2-pyridyl	Cl	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	4-pyridyl-methyl	2-fluorophenyl	Cl	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	(CH <sub>2</sub> ) <sub>3</sub> CH <sub>3</sub>	2-fluorophenyl	Cl	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	(CH <sub>2</sub> ) <sub>3</sub> CH <sub>3</sub>	2-pyridyl	Cl	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	CH <sub>2</sub> CH-(CH <sub>3</sub> ) <sub>2</sub>	2-pyridyl	Cl	H	O
CH <sub>2</sub>	1	--	0	--	0	CH <sub>2</sub> CH <sub>3</sub>	2-fluorophenyl	Cl	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	CH(CH <sub>3</sub> ) <sub>2</sub>	2-fluorophenyl	Cl	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	CH <sub>3</sub>	2-fluorophenyl	Cl	CH <sub>2</sub> CH <sub>2</sub> N(CH <sub>2</sub> CH <sub>3</sub> ) <sub>2</sub>	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	CH <sub>3</sub>	2-fluorophenyl	Cl	CH <sub>3</sub>	O
CH <sub>2</sub>	1	--	0	--	0	benzyl	2-fluorophenyl	Cl	CH <sub>3</sub>	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	benzyl	2-fluorophenyl	Cl	CH <sub>2</sub> CH <sub>2</sub> N(CH <sub>2</sub> CH <sub>3</sub> ) <sub>2</sub>	O
NH	1	CH <sub>2</sub>	1	--	0	CH <sub>3</sub>	2-chlorophenyl	Cl	H	O
NH	1	CH <sub>2</sub>	2	--	0	CH <sub>3</sub>	2-chlorophenyl	Cl	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	CH <sub>3</sub>	2-fluorophenyl	Cl	H	S
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	CH <sub>3</sub>	2-chlorophenyl	Cl	H	S
CH <sub>2</sub>	1	CH <sub>2</sub>	1	--	0	CH <sub>3</sub>	2-pyridyl	Cl	H	S
CH <sub>2</sub>	1	CH <sub>2</sub>	1	O	1	CH <sub>3</sub>	2-fluorophenyl	Cl	H	O

8. A compound according to claim 1 wherein in each compound W is H and p is 0, and wherein X, n, Y, m, R<sup>1-5</sup> for each compound are as follows:

X	n	Y	m	R <sup>1</sup>	R <sup>2</sup>	R <sup>3</sup>	R <sup>4</sup>	R <sup>5</sup> and R <sup>6</sup>
CH <sub>2</sub>	1	CH <sub>2</sub>	1	CH <sub>3</sub>	2-fluorophenyl	Cl	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	CH <sub>3</sub>	2-fluorophenyl	Br	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	CH <sub>3</sub>	2-pyridyl	Cl	H	O
CH <sub>2</sub>	1	CH <sub>2</sub>	1	CH <sub>3</sub>	2-fluorophenyl	Cl	CH <sub>3</sub>	O

9. A compound according to claim 1 wherein W is H, X is CH<sub>2</sub>, n is 1, Y is CH<sub>2</sub>, m is 1, p is 0, R<sup>1</sup> is CH<sub>3</sub>, R<sup>2</sup> is 2-fluorophenyl, R<sup>3</sup> is Br or Cl, R<sup>4</sup> is H and R<sup>5</sup> and R<sup>6</sup> together is O.

10. A compound according to claim 1 wherein R<sup>4</sup> and R<sup>5</sup> together form a double bond in the diazepine ring, R<sup>6</sup> is the group NHR<sup>7</sup> and p is zero.

11. A compound according to claim 10, wherein W is H, X is CH<sub>2</sub>, n is 1, Y is CH<sub>2</sub>, m is 1, R<sup>1</sup> is CH<sub>3</sub>, R<sup>2</sup> is 2-fluorophenyl, 2-chlorophenyl or 2-pyridyl, R<sup>3</sup> is Cl or Br and R<sup>7</sup> is CH<sub>3</sub>, CH<sub>2</sub>CH<sub>3</sub>, benzyl, 4-pyridylmethyl-, 4-pyridylethyl, CH(CH<sub>3</sub>)<sub>2</sub>, 4-imidazolylethyl or CH<sub>2</sub>CH<sub>2</sub>OH.

12. A compound according to claim 10, wherein in each compound W is H, X is CH<sub>2</sub>, n is 1, Y is CH<sub>2</sub>, m is 1, R<sup>1</sup> is CH<sub>3</sub>, and wherein R<sup>2</sup>, R<sup>3</sup> and R<sup>7</sup> for each compound are as follows:

R <sup>2</sup>	R <sup>3</sup>	R <sup>7</sup>
2-fluorophenyl	Cl	CH <sub>3</sub>
2-pyridyl	Cl	CH <sub>3</sub>
2-fluorophenyl	Cl	CH <sub>2</sub> CH <sub>3</sub>
2-fluorophenyl	Cl	benzyl
2-fluorophenyl	Cl	4-pyridylmethyl

$R^2$	$R^3$	$R^7$
2-fluorophenyl	Cl	4-pyridylethyl
2-fluorophenyl	Cl	$CH_2CH(CH_3)_2$
2-fluorophenyl	Cl	2-(4-imidazolyl)ethyl
2-fluorophenyl	Cl	$CH_2CH_2OH$
2-fluorophenyl	Br	$CH_3$
2-chlorophenyl	Cl	$CH_3$

13. A compound according to claim 10, wherein W is H, X is  $CH_2$ , n is 1, Y is  $CH_2$ , m is 1,  $R^1$  is  $CH_3$ ,  $R^3$  is 2-fluorophenyl,  $R^3$  is chlorine or bromine and  $R^7$  is methyl.

14. A compound according to claim 10, wherein W is H, X is  $CH_2$ , n is 1, Y is  $CH_2$ , m is 1,  $R^1$  is  $CH_3$ ,  $R^2$  is 2-fluorophenyl,  $R^3$  is Br or Cl and  $R^7$  is  $CH_3$ .

10 15. A compound of according to claim 1 wherein p is zero and  $R^4$ ,  $R^5$  and  $R^6$  together form the group  $-C(R^8)=U-V=$ .

16. A compound according to claim 15 wherein

W is H;

15 X is  $CH_2$ , n is 1;

Y is  $CH_2$ , m is 1;

$R^1$  is  $CH_3$  or  $CH_2CH(CH_3)_2$ ;  $R^2$  is 2-fluorophenyl, 2-chlorophenyl or 2-pyridyl;

$R^3$  is Cl or Br;

$R^8$  is H,  $CH_3$  or  $CH_2OH$ ;

20  $R^9$  is H,  $CH_3$ ,  $CH_2OH$  or  $CH_2O-t-butyl$ ;

U is  $CR^9$  or N; and

V is N or CH.

25 17. A compound according to claim 15 wherein

W is H;

X is CH<sub>2</sub>, n is 1;

Y is CH<sub>2</sub>, m is 1;

R<sup>1</sup> is CH<sub>3</sub> or CH<sub>2</sub>CH(CH<sub>3</sub>)<sub>2</sub>; R<sup>2</sup> is 2-fluorophenyl, 2-chlorophenyl or 2-pyridyl; R<sup>3</sup> is Cl or Br;

5 R<sup>8</sup> is H, CH<sub>3</sub> or CH<sub>2</sub>OH;

R<sup>9</sup> is H, CH<sub>3</sub>, CH<sub>2</sub>OH or CH<sub>2</sub>O-t-butyl;

U is CR<sup>9</sup> or N; and

V is N or CH; provided that when R<sup>1</sup> is CH<sub>2</sub>CH(CH<sub>3</sub>)<sub>2</sub>, then X is CH<sub>2</sub>, n is 1, R<sup>2</sup> is 2-fluorophenyl, R<sup>3</sup> is Cl, R<sup>8</sup> is CH<sub>3</sub>, U is N and V is N.

10

18. A compound according to claim 15, wherein in each compound W is H, X is CH<sub>2</sub>, n is 1, Y is CH<sub>2</sub>, m is 1 and wherein R<sup>1</sup>, R<sup>2</sup>, R<sup>3</sup>, R<sup>8</sup>, U and V for each compound are as follows:

R <sup>1</sup>	R <sup>2</sup>	R <sup>3</sup>	R <sup>8</sup>	U	V
CH <sub>3</sub>	2-fluorophenyl	Cl	H	CH	N
CH <sub>3</sub>	2-fluorophenyl	Cl	CH <sub>3</sub>	CH	N
CH <sub>3</sub>	2-fluorophenyl	Cl	H	C-CH <sub>3</sub>	N
CH <sub>3</sub>	2-fluorophenyl	Cl	H	C-CH <sub>2</sub> OH	N
CH <sub>3</sub>	2-fluorophenyl	Cl	CH <sub>2</sub> OH	CH	N
CH <sub>3</sub>	2-pyridyl	Cl	H	CH	N
CH <sub>3</sub>	2-pyridyl	Cl	CH <sub>3</sub>	CH	N
CH <sub>3</sub>	2-pyridyl	Br	CH <sub>3</sub>	CH	N
CH <sub>3</sub>	2-pyridyl	Br	H	C-CH <sub>3</sub>	N
CH <sub>3</sub>	2-pyridyl	Cl	H	C-CH <sub>3</sub>	N
CH <sub>3</sub>	2-pyridyl	Cl	H	CH <sub>2</sub> OH	N
CH <sub>3</sub>	2-pyridyl	Cl	CH <sub>2</sub> OH	CH	N
CH <sub>3</sub>	2-pyridyl	Cl	CH <sub>3</sub>	C-CH <sub>3</sub>	N
CH <sub>3</sub>	2-chlorophenyl	Cl	CH <sub>3</sub>	N	N
CH <sub>3</sub>	2-fluorophenyl	Cl	CH <sub>3</sub>	N	N

R <sup>1</sup>	R <sup>2</sup>	R <sup>3</sup>	R <sup>8</sup>	U	V
CH <sub>2</sub> CH(CH <sub>3</sub> ) <sub>2</sub>	2-fluorophenyl	Cl	CH <sub>3</sub>	N	N
CH <sub>3</sub>	2-fluorophenyl	Cl	H	N	CH
CH <sub>3</sub>	2-fluorophenyl	Cl	CH <sub>3</sub>	N	CH
CH <sub>3</sub>	2-fluorophenyl	Cl	H	C-CH <sub>2</sub> O-t- butyl	N
CH <sub>3</sub>	2-pyridyl	Cl	CH <sub>3</sub>	C-CH <sub>2</sub> OH	N

19. A compound according to claim 15, wherein W is H, X is CH<sub>2</sub>, n is 1, Y is CH<sub>2</sub>, m is 1 and wherein R<sup>1</sup>, R<sup>2</sup>, R<sup>3</sup>, R<sup>8</sup>, U and V for each compound are as follows:

R <sup>1</sup>	R <sup>2</sup>	R <sup>3</sup>	R <sup>8</sup>	U	V
CH <sub>3</sub>	2-pyridyl	Br	CH <sub>3</sub>	CH	N
CH <sub>3</sub>	2-pyridyl	Cl	CH <sub>3</sub>	CH	N
CH <sub>3</sub>	2-fluorophenyl	Cl	CH <sub>3</sub>	N	CH
CH <sub>3</sub>	2-pyridyl	Br	H	C-CH <sub>3</sub>	N

5

20. A compound according to claim 15, wherein in W is H, X is CH<sub>2</sub>, n is 1, Y is CH<sub>2</sub>, m is 1, R<sup>1</sup> is CH<sub>3</sub>, R<sup>2</sup> is 2-pyridyl, R<sup>3</sup> is Br or Cl, R<sup>8</sup> is CH<sub>3</sub>, U is CH and V is N.

10 21. A pharmaceutical formulation comprising a pharmaceutically acceptable carrier and an effective amount of a compound of claim 1.

22. A pharmaceutical formulation comprising a pharmaceutically acceptable carrier and an effective amount of a compound of claim 10.

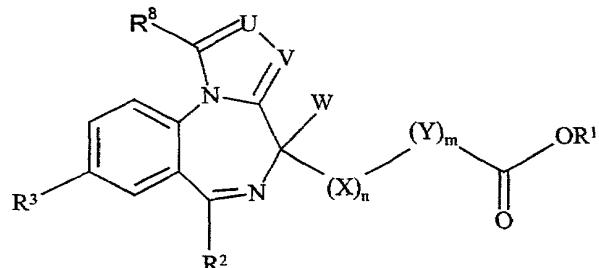
15 23. A pharmaceutical formulation comprising a pharmaceutically acceptable carrier and an effective amount of a compound of claim 15.

24. A method of producing sedation or hypnosis, inducing anxiolysis, inducing muscle relaxation in a mammal or treating convulsions in a mammal which comprises administering to the mammal an effective amount of a compound of claim 1.

5 25. A method of producing sedation or hypnosis, inducing anxiolysis, inducing muscle relaxation in a mammal or treating convulsions in a mammal which comprises administering to the mammal an effective amount of a compound of claim 10.

10 26. A method of producing sedation or hypnosis, inducing anxiolysis, inducing muscle relaxation in a mammal or treating convulsions in a mammal which comprises administering to the mammal an effective amount of a compound of claim 15.

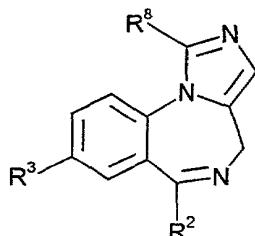
27. A process for preparing a compound of formula (1c)



Formula (1c)

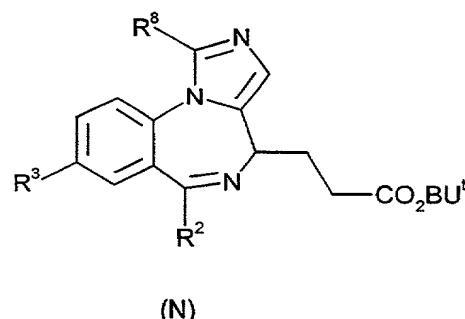
15 wherein W is H, X and Y are CH<sub>2</sub>, n and m are 1, U is N, and V is CH

which process comprises reacting a compound of Formula (M)

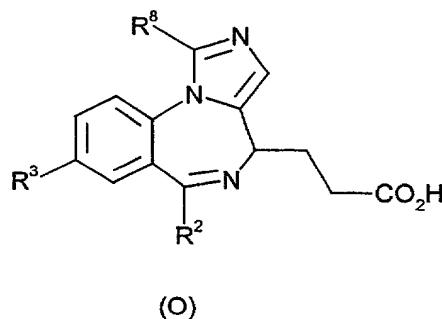


(M)

wherein R<sup>2</sup>, R<sup>3</sup> and R<sup>8</sup> are as defined in claim 15 with a strong base and wherein the resultant anion from treatment with said strong base is treated with a suitable Michael 20 acceptor and wherein the resultant ester adduct from treatment with said Michael acceptor, a compound of Formula (N)



wherein R<sup>2</sup>, R<sup>3</sup> and R<sup>8</sup> are as defined in claim 15, is reacted with a strong acid and the resultant carboxylic acid of formula (O)



wherein R<sup>2</sup>, R<sup>3</sup> and R<sup>8</sup> are as defined in claim 15, is esterified by base-mediated alkylation with an alkyl halide (R<sup>1</sup> halide) to provide the corresponding compound of formula (1c).

10

28. Methyl 3-[(3S)-7-chloro-5-(2-fluorophenyl)-2-oxo-2,3-dihydro-1H-1,4-benzodiazepin-3-yl]propanoate or a pharmaceutically acceptable salt or solvate thereof.

15

29. Methyl 3-[(3S)-7-chloro-5-(2-fluorophenyl)-2-(methylamino)-3H-1,4-benzodiazepin-3-yl]propanoate or a pharmaceutically acceptable salt or solvate thereof.

30. Methyl 3-[(4S)-8-bromo-1-methyl-6-(2-pyridinyl)-4H-imidazo[1,2-a][1,4]benzodiazepin-4-yl]propanoate or a pharmaceutically acceptable salt or solvate thereof.

## DECLARATION FOR "371" APPLICATION

COMBINED DECLARATION FOR UTILITY OR DESIGN PATENT  
APPLICATION WITH POWER OF ATTORNEY

( ) Declaration submitted with initial filing or  
( ) Declaration submitted after initial filing (surcharge required 37CFR1.16(e))

ATTORNEY'S DOCKET PU3318USW
First Names Inventor: <b>FELDMAN</b>
<u>Complete if known:</u> App No.:
Filing Date
Group Art Unit:

As below named inventor. I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**SHORT-ACTING BENZODIAZEPINES**

the specification of which (check only one item below):

[ ] is attached hereto.

OR

[ X ] was filed on \_\_\_\_\_ as United States application Serial No. 09/806,840 or PCT International

Application Number PCT/US00/13134 filed May 12, 2000 and was amended on (MM/DD/YYYY)  
(if applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR §1.56.

I hereby claim foreign priority benefits under 35, U.S.C. §119 (a)-(d) or §365(b) of any foreign applications(s) for patent or inventor's certificate or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate or of any PCT international application having a filing date before that of the application on which priority is claimed:

**PRIOR FOREIGN AND ANY PRIORITY CLAIMS UNDER 35 U.S.C. 119:**

Prior Foreign Application Number (s)	Country	Foreign Filing Date (MM/DD/YYYY)	PRIORITY CLAIMED
1. 9911152.8	GB	05/14/1999	x
2.			
3.			
4.			
5.			

I hereby claim the benefit under Title 35, United States Code §119(e) of any United States provisional application(s) listed below:

Application No.	Filing Date (MM/DD/YYYY)
2.	
3.	
4.	
5.	

## DECLARATION FOR "371" APPLICATION

4

## COMBINED DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION WITH POWER OF ATTORNEY

Declaration submitted with initial filing or  
 Declaration submitted after initial filing (surcharge required 37CFR1.16(e))

ATTORNEY'S DOCKET PU3318USW
First Names Inventor: <b>FELDMAN</b>
<u>Complete if known:</u> App No.:
Filing Date
Group Art Unit:

As below named inventor. I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**SHORT-ACTING BENZODIAZEPINES**

the specification of which (check only one item below):

is attached hereto.

OR

was filed on \_\_\_\_\_ as United States application Serial No. 09/806,840 or PCT International

Application Number PCT/US00/13134 filed May 12, 2000 and was amended on (MM/DD/YYYY)  
 \_\_\_\_\_ (if applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR §1.56.

I hereby claim foreign priority benefits under 35, U.S.C. §119 (a)-(d) or §365(b) of any foreign applications(s) for patent or inventor's certificate or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate or of any PCT international application having a filing date before that of the application on which priority is claimed:

**PRIOR FOREIGN AND ANY PRIORITY CLAIMS UNDER 35 U.S.C. 119:**

Prior Foreign Application Number (s)	Country	Foreign Filing Date (MM/DD/YYYY)	PRIORITY CLAIMED
1. 9911152.8	GB	05/14/1999	<input checked="" type="checkbox"/>
2.			
3.			
4.			
5.			

I hereby claim the benefit under Title 35, United States Code §119(e) of any United States provisional application(s) listed below:

Application No.	Filing Date (MM/DD/YYYY)	
2.		
3.		
4.		
5.		

## DECLARATION FOR "371" APPLICATION

COMBINED DECLARATION FOR UTILITY or DESIGN  
PATENT APPLICATION WITH POWER OF ATTORNEY ContinuedATTORNEY'S DOCKET NUMBER  
PU3318USW

I hereby claim the benefit under 35, U.S.C. §120 of any United States application or §365(c) of any PCT international application designating the United States of America that is listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. §112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. §1.56 which became available between the filing date of the prior application(s) and the national or PCT international filing date of this application:

## PRIOR U.S. PARENT APPLICATION or PCT PARENT APPLICATION

		STATUS (Check one)		
U.S. Parent Application or PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	PATENTED	PENDING	ABANDONED

**POWER OF ATTORNEY:** As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the U.S. Patent and Trademark Office connected therewith. (List name and registration number)

David J. Levy	Reg. No. 27,655	James P. Rick	Reg. No. 39,009	Bonnie L. Deppenbrock	Reg. No. 28,209
Charles E. Dadswell	Reg. No. 35,851	Virginia C. Bennett	Reg. No. 37,092	John L. Lermanowicz	Reg. No. 37,380
Karen L. Prus	Reg. No. 39,337	Frank P. Grassler	Reg. No. 31,164	Amy L. Fix	Reg. No. 42,616
Robert H. Brink	Reg. No. 36,094	Christopher P. Rogers	Reg. No. 36,334		
Elizabeth Selby	Reg. No. 38,298	Lorie Ann Morgan	Reg. No. 38,181		

Send Correspondence to:		Direct Telephone Calls to:
David J. Levy, Patent Counsel Corporate Intellectual Property Department GlaxoSmithKline, Five Moore Drive, PO Box 13398 Research Triangle Park, NC 27709	23347 PATENT TRADEMARK OFFICE	Lorie Ann Morgan 919-483-8222

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

2	FULL NAME OF INVENTOR <b>FELDMAN</b>	FIRST GIVEN NAME <b>Paul</b>	SECOND GIVEN NAME/INITIAL <b>L</b>
0	INVENTOR'S SIGNATURE	DATE:	
0	RESIDENCE & CITIZENSHIP <b>Durham</b>	STATE OR FOREIGN COUNTRY <b>NC</b>	COUNTRY OF CITIZENSHIP <b>US</b>
1	POST OFFICE ADDRESS <b>GlaxoSmithKline Five Moore Drive, PO Box 13398</b>	CITY <b>Research Triangle Park</b>	STATE & ZIP CODE/COUNTRY <b>NC 27709 US</b>
2	FULL NAME OF INVENTOR <b>JUNG</b>	FIRST GIVEN NAME <b>David</b>	SECOND GIVEN NAME/INITIAL <b>Kendall</b>
0	INVENTOR'S SIGNATURE	DATE:	
0	RESIDENCE & CITIZENSHIP <b>Durham</b>	STATE OR FOREIGN COUNTRY <b>NC</b>	COUNTRY OF CITIZENSHIP <b>US</b>
2	POST OFFICE ADDRESS <b>GlaxoSmithKline, Inc. Five Moore Drive, PO Box 13398</b>	CITY <b>Research Triangle Park</b>	STATE & ZIP CODE/COUNTRY <b>NC 27709 US</b>

## DECLARATION FOR "371" APPLICATION

3  
**COMBINED DECLARATION FOR UTILITY OR DESIGN PATENT  
APPLICATION WITH POWER OF ATTORNEY**

( ) Declaration submitted with initial filing or  
( ) Declaration submitted after initial filing (surcharge required 37CFR1.16(e))

ATTORNEY'S DOCKET PU3318USW
First Names Inventor <b>FELDMAN</b>
<u>Complete if known:</u>
App No.:
Filing Date
Group Art Unit:

As below named inventor. I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**SHORT-ACTING BENZODIAZEPINES**

the specification of which (check only one item below):

[ ] is attached hereto.

OR

[ X ] was filed on \_\_\_\_\_ as United States application Serial No. 09/806,840 or PCT International

Application Number PCT/US00/13134 filed May 12, 2000 and was amended on (MM/DD/YYYY)  
(if applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR §1.56.

I hereby claim foreign priority benefits under 35, U.S.C. §119 (a)-(d) or §365(b) of any foreign applications(s) for patent or inventor's certificate or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate or of any PCT international application having a filing date before that of the application on which priority is claimed:

**PRIOR FOREIGN AND ANY PRIORITY CLAIMS UNDER 35 U.S.C. 119:**

Prior Foreign Application Number (s)	Country	Foreign Filing Date (MM/DD/YYYY))	PRIORITY CLAIMED
1. 9911152.8	GB	05/14/1999	x
2.			
3.			
4.			
5.			

I hereby claim the benefit under Title 35, United States Code §119(e) of any United States provisional application(s) listed below:

Application No.	Filing Date (MM/DD/YYYY)	
2.		
3.		
4.		
5.		

DECLARATION FOR "371" APPLICATION

**COMBINED DECLARATION FOR UTILITY or DESIGN  
PATENT APPLICATION WITH POWER OF ATTORNEY** Continued

ATTORNEY'S DOCKET NUMBER  
**PU3318USW**

I hereby claim the benefit under 35, U.S.C. §120 of any United States application or §365(c) of any PCT international application designating the United States of America that is listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. §112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. §1.56 which became available between the filing date of the prior application(s) and the national or PCT international filing date of this application.

**PRIOR U.S. PARENT APPLICATION or PCT PARENT APPLICATION**

U.S. Parent Application or PCT Parent Number		Parent Filing Date (MM/DD/YYYY)	STATUS (Check one)		
			PATENTED	PENDING	ABANDONED

**POWER OF ATTORNEY:** As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the U.S. Patent and Trademark Office connected therewith. (List name and registration number)

David J. Levy	Reg. No. 27,655	James P. Riek	Reg. No. 39,009	Bonnie L. Deppenbrock	Reg. No. 28,209
Charles E. Dadswell	Reg. No. 35,851	Virginia C. Bennett	Reg. No. 37,092	John L. Lemanowicz	Reg. No. 37,380
Karen L. Prus	Reg. No. 39,337	Frank P. Grassler	Reg. No. 31,164	Amy L. Fix	Reg. No. 42,616
Robert H. Brink	Reg. No. 36,094	Christopher P. Rogers	Reg. No. 36,334		
Elizabeth Selby	Reg. No. 38,298	Lorie Ann Morgan	Reg. No. 38,181		

**Send Correspondence to:**

David J. Levy, Patent Counsel  
Corporate Intellectual Property Department  
GlaxoSmithKline,  
Five Moore Drive, PO Box 13398  
Research Triangle Park, NC 27709



23347

**Direct Telephone Calls to:**

Lorie Ann Morgan  
919-483-8222

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

2	FULL NAME OF INVENTOR	FAMILY NAME <b>FELDMAN</b>	FIRST GIVEN NAME <b>Paul</b>	SECOND GIVEN NAME/INITIAL <b>L</b>
	INVENTOR'S SIGNATURE	<u>  </u>		
0	RESIDENCE & CITIZENSHIP	CITY <b>Durham</b>	STATE OR FOREIGN COUNTRY <b>NC</b>	COUNTRY OF CITIZENSHIP <b>US</b>
1	POST OFFICE ADDRESS	POST OFFICE ADDRESS <b>GlaxoSmithKline</b> <b>Five Moore Drive, PO Box</b> <b>13398</b>	CITY <b>Research Triangle Park</b>	STATE & ZIP CODE/COUNTRY <b>NC 27709 US</b>

## DECLARATION FOR "371" APPLICATION

COMBINED DECLARATION FOR UTILITY or DESIGN  
PATENT APPLICATION WITH POWER OF ATTORNEY *Continued*ATTORNEY'S DOCKET NUMBER  
PU3318USW

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## PRIOR U.S. PARENT APPLICATION or PCT PARENT APPLICATION

		STATUS (Check one)		
U.S. Parent Application or PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	PATENTED	PENDING	ABANDONED

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Karen L. Prus	Reg. No. 39,337	Frank P. Grassler	Reg. No. 31,164	Amy L. Fix	Reg. No. 42,616
Robert H. Brink	Reg. No. 36,094	Christopher P. Rogers	Reg. No. 36,334		
Elizabeth Selby	Reg. No. 38,298	Lorie Ann Morgan	Reg. No. 38,181		

Send Correspondence to:		Direct Telephone Calls to:
David J. Levy, Patent Counsel Corporate Intellectual Property Department GlaxoSmithKline, Five Moore Drive, PO Box 13398 Research Triangle Park, NC 27709	 23347 PATENT TRADEMARK OFFICE	Lorie Ann Morgan 919-483-8222

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2	FULL NAME OF INVENTOR <b>FELDMAN</b>	FAMILY NAME <i>Paul</i>	FIRST GIVEN NAME <b>Paul</b>	SECOND GIVEN NAME/INITIAL <b>L</b>
0	INVENTOR'S SIGNATURE <i>Paul L Feld</i>	DATE: <b>10-20-01</b>		
0	RESIDENCE & CITIZENSHIP <b>Durham</b>	STATE OR FOREIGN COUNTRY <b>NC</b>	COUNTRY OF CITIZENSHIP <b>US</b>	
1	POST OFFICE ADDRESS <b>GlaxoSmithKline Five Moore Drive, PO Box 13398</b>	CITY <b>Research Triangle Park</b>	STATE & ZIP CODE/COUNTRY <b>NC 27709 US</b>	
2	FULL NAME OF INVENTOR <b>JUNG</b>	FAMILY NAME <b>David</b>	FIRST GIVEN NAME <b>David</b>	SECOND GIVEN NAME/INITIAL <b>Kendall</b>
0	INVENTOR'S SIGNATURE	DATE:		
0	RESIDENCE & CITIZENSHIP <b>Durham</b>	STATE OR FOREIGN COUNTRY <b>NC</b>	COUNTRY OF CITIZENSHIP <b>US</b>	
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## DECLARATION FOR "371" APPLICATION

COMBINED DECLARATION FOR UTILITY or DESIGN  
PATENT APPLICATION WITH POWER OF ATTORNEY *Continued*ATTORNEY'S DOCKET NUMBER  
PU3318USW

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## PRIOR U.S. PARENT APPLICATION or PCT PARENT APPLICATION

## STATUS (Check one)

U.S. Parent Application or PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	PATENTED	PENDING	ABANDONED

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Robert H. Brink	Reg. No. 36,094	Christopher P. Rogers	Reg. No. 36,334		
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GlaxoSmithKline,  
Five Moore Drive, PO Box 13398  
Research Triangle Park, NC 27709



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PATENT TRADEMARK OFFICE

Direct Telephone Calls to:

Lorie Ann Morgan  
919-483-8222

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2	FULL NAME OF INVENTOR	FAMILY NAME <b>FELDMAN</b>	FIRST GIVEN NAME <b>Paul</b>	SECOND GIVEN NAME/INITIAL <b>L</b>
0	INVENTOR'S SIGNATURE			
0	RESIDENCE & CITIZENSHIP	CITY <b>Durham</b>	STATE OR FOREIGN COUNTRY <b>NC</b>	COUNTRY OF CITIZENSHIP <b>US</b>
1	POST OFFICE ADDRESS	GlaxoSmithKline Five Moore Drive, PO Box 13398	CITY Research Triangle Park	STATE & ZIP CODE/COUNTRY NC 27709 US
2	FULL NAME OF INVENTOR	FAMILY NAME <b>JUNG</b>	FIRST GIVEN NAME <b>David</b>	SECOND GIVEN NAME/INITIAL <b>Kendall</b>
0	INVENTOR'S SIGNATURE			
0	RESIDENCE & CITIZENSHIP	CITY <b>Durham</b>	STATE OR FOREIGN COUNTRY <b>NC</b>	COUNTRY OF CITIZENSHIP <b>US</b>
2	POST OFFICE ADDRESS	GlaxoSmithKline, Inc. Five Moore Drive, PO Box 13398	CITY Research Triangle Park	STATE & ZIP CODE/COUNTRY NC 27709 US
2	FULL NAME OF INVENTOR	FAMILY NAME <b>KALDOR</b>	FIRST GIVEN NAME <b>Istvan</b>	SECOND GIVEN NAME/INITIAL
0	INVENTOR'S SIGNATURE			
0	RESIDENCE & CITIZENSHIP	CITY <b>Durham</b>	STATE OR FOREIGN COUNTRY <b>NC</b>	COUNTRY OF CITIZENSHIP <b>HU</b>
3	POST OFFICE ADDRESS	GlaxoSmithKline Five Moore Drive, PO Box 13398	CITY Research Triangle Park	STATE & ZIP CODE/COUNTRY NC 27709 US

## DECLARATION FOR "371" APPLICATION

2.

**COMBINED DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION WITH POWER OF ATTORNEY**

( ) Declaration submitted with initial filing or  
 ( ) Declaration submitted after initial filing (surcharge required 37CFR1.16(e))

ATTORNEY'S DOCKET PU3318USW
First Names Inventor: <b>FELDMAN</b>
<b><u>Complete if known:</u></b> App No.:
Filing Date
Group Art Unit:

As below named inventor. I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**SHORT-ACTING BENZODIAZEPINES**

the specification of which (check only one item below):

[ ] is attached hereto.

OR

[ X ] was filed on \_\_\_\_\_ as United States application Serial No. 09/806,840 or **PCT International**

Application Number PCT/US00/13134 filed May 12, 2000 and was amended on (MM/DD/YYYY)  
 \_\_\_\_\_ (if applicable)

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**PRIOR FOREIGN AND ANY PRIORITY CLAIMS UNDER 35 U.S.C. 119:**

Prior Foreign Application Number (s)	Country	Foreign Filing Date (MM/DD/YYYY)	PRIORITY CLAIMED
1. 9911152.8	GB	05/14/1999	X
2.			
3.			
4.			
5.			

I hereby claim the benefit under Title 35, United States Code §119(e) of any United States provisional application(s) listed below:

Application No.	Filing Date (MM/DD/YYYY)
2.	
3.	
4.	
5.	

**EXPRESS MAIL NO.**  
**EL395943235US**

DECLARATION FOR "371" APPLICATION

2	FULL NAME OF INVENTOR <b>KALDOR</b>	FAMILY NAME <b>KALDOR</b>	FIRST GIVEN NAME <b>Istvan</b>	SECOND GIVEN NAME/INITIAL
0	INVENTOR'S SIGNATURE			<u>DATE:</u>
0	RESIDENCE & CITIZENSHIP <b>Durham</b>	CITY <b>Durham</b>	STATE OR FOREIGN COUNTRY <b>NC</b>	COUNTRY OF CITIZENSHIP <b>HU</b>
3	POST OFFICE ADDRESS <b>GlaxoSmithKline</b> <b>Five Moore Drive, PO Box</b> <b>13398</b>	CITY <b>Research Triangle Park</b>		STATE & ZIP CODE/COUNTRY <b>NC 27709 US</b>
2	FULL NAME OF INVENTOR <b>PACOFSKY</b>	FAMILY NAME <b>PACOFSKY</b>	FIRST GIVEN NAME <b>Gregory</b>	SECOND GIVEN NAME/INITIAL <b>J</b>
0	INVENTOR'S SIGNATURE			<u>DATE:</u>
0	RESIDENCE & CITIZENSHIP <b>Durham</b>	CITY <b>Durham</b>	STATE OR FOREIGN COUNTRY <b>NC</b>	COUNTRY OF CITIZENSHIP <b>US</b>
4	POST OFFICE ADDRESS <b>GlaxoSmithKline</b> <b>Five Moore Drive, PO Box</b> <b>13398</b>	CITY <b>Research Triangle Park</b>		STATE & ZIP CODE/COUNTRY <b>NC 27709 US</b>
5	FULL NAME OF INVENTOR <b>STAFFORD</b>	FAMILY NAME <b>STAFFORD</b>	FIRST GIVEN NAME <b>Jeffrey</b>	SECOND GIVEN NAME/INITIAL <b>A.</b>
5	INVENTOR'S SIGNATURE			<u>DATE:</u>
5	RESIDENCE & CITIZENSHIP <b>Durham</b>	CITY <b>Durham</b>	STATE OR FOREIGN COUNTRY <b>NC</b>	COUNTRY OF CITIZENSHIP <b>US</b>
5	POST OFFICE ADDRESS <b>GlaxoSmithKline</b> <b>Five Moore Drive, PO Box</b> <b>13398</b>	CITY <b>Research Triangle Park</b>		STATE & ZIP CODE/COUNTRY <b>NC 27709 US</b>
6	FULL NAME OF INVENTOR <b>TIDWELL</b>	FAMILY NAME <b>TIDWELL</b>	FIRST GIVEN NAME <b>Jeffrey</b>	SECOND GIVEN NAME/INITIAL <b>H.</b>
6	INVENTOR'S SIGNATURE			<u>DATE:</u>
6	RESIDENCE & CITIZENSHIP <b>Durham</b>	CITY <b>Durham</b>	STATE OR FOREIGN COUNTRY <b>NC</b>	COUNTRY OF CITIZENSHIP <b>US</b>
6	POST OFFICE ADDRESS <b>GlaxoSmithKline, Inc.</b> <b>Five Moore Drive, PO Box</b> <b>13398</b>	CITY <b>Research Triangle Park</b>		STATE & ZIP CODE/COUNTRY <b>NC 27709 US</b>
2	FULL NAME OF INVENTOR	FAMILY NAME	FIRST GIVEN NAME	SECOND GIVEN NAME/INITIAL
0	INVENTOR'S SIGNATURE			<u>DATE:</u>
0	RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
7	POST OFFICE ADDRESS	POST OFFICE ADDRESS	CITY	STATE & ZIP CODE/COUNTRY
2	FULL NAME OF INVENTOR	FAMILY NAME	FIRST GIVEN NAME	SECOND GIVEN NAME/INITIAL
0	INVENTOR'S SIGNATURE			<u>DATE:</u>
0	RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
8	POST OFFICE ADDRESS	POST OFFICE ADDRESS	CITY	STATE & ZIP CODE/COUNTRY

## DECLARATION FOR "371" APPLICATION

2	FULL NAME OF INVENTOR	FAMILY NAME <b>KALDOR</b>	FIRST GIVEN NAME <b>Istvan</b>	SECOND GIVEN NAME/INITIAL
	INVENTOR'S SIGNATURE			<u>DATE:</u>
0	RESIDENCE & CITIZENSHIP	CITY <b>Durham</b>	STATE OR FOREIGN COUNTRY <b>NC</b>	COUNTRY OF CITIZENSHIP <b>HU</b>
	POST OFFICE ADDRESS	POST OFFICE ADDRESS <b>GlaxoSmithKline</b> <b>Five Moore Drive, PO Box</b> <b>13398</b>	CITY <b>Research Triangle Park</b>	STATE & ZIP CODE/COUNTRY <b>NC 27709 US</b>
2	FULL NAME OF INVENTOR	FAMILY NAME <b>PACOFSKY</b>	FIRST GIVEN NAME <b>Gregory</b>	SECOND GIVEN NAME/INITIAL <b>J</b>
	INVENTOR'S SIGNATURE			<u>DATE:</u>
0	RESIDENCE & CITIZENSHIP	CITY <b>Durham</b>	STATE OR FOREIGN COUNTRY <b>NC</b>	COUNTRY OF CITIZENSHIP <b>US</b>
	POST OFFICE ADDRESS	POST OFFICE ADDRESS <b>GlaxoSmithKline</b> <b>Five Moore Drive, PO Box</b> <b>13398</b>	CITY <b>Research Triangle Park</b>	STATE & ZIP CODE/COUNTRY <b>NC 27709 US</b>
2	FULL NAME OF INVENTOR	FAMILY NAME <b>STAFFORD</b>	FIRST GIVEN NAME <b>Jeffrey</b>	SECOND GIVEN NAME/INITIAL <b>A.</b>
	INVENTOR'S SIGNATURE	<i>JM-JA Stafford</i>		<u>DATE:</u> <b>10/30/01</b>
0	RESIDENCE & CITIZENSHIP	CITY <b>Durham</b>	STATE OR FOREIGN COUNTRY <b>NC</b>	COUNTRY OF CITIZENSHIP <b>US</b>
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	INVENTOR'S SIGNATURE			<u>DATE:</u>
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0	RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
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	POST OFFICE ADDRESS	POST OFFICE ADDRESS	CITY	STATE & ZIP CODE/COUNTRY

EXPRESS MAIL NO.  
**EL395943235US**

## DECLARATION FOR "371" APPLICATION

2	FAMILY NAME <b>KALDOR</b>	FIRST GIVEN NAME <b>Istvan</b>	SECOND GIVEN NAME/INITIAL
0	INVENTOR'S SIGNATURE	<u>DATE:</u>	
0	RESIDENCE & CITIZENSHIP <b>Durham</b>	STATE OR FOREIGN COUNTRY <b>NC</b>	COUNTRY OF CITIZENSHIP <b>HU</b>
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2	FAMILY NAME <b>PACOFSKY</b>	FIRST GIVEN NAME <b>Gregory</b>	SECOND GIVEN NAME/INITIAL <b>J.</b>
0	INVENTOR'S SIGNATURE	<u>DATE:</u> <b>24 October 2001</b>	
0	RESIDENCE & CITIZENSHIP <b>Durham</b>	STATE OR FOREIGN COUNTRY <b>NC</b>	COUNTRY OF CITIZENSHIP <b>US</b>
4	POST OFFICE ADDRESS <b>GlaxoSmithKline Five Moore Drive, PO Box 13398</b>	CITY <b>Research Triangle Park</b>	STATE & ZIP CODE/COUNTRY <b>NC 27709 US</b>
2	FAMILY NAME <b>STAFFORD</b>	FIRST GIVEN NAME <b>Jeffrey</b>	SECOND GIVEN NAME/INITIAL <b>A.</b>
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0	INVENTOR'S SIGNATURE	<u>DATE:</u>	
0	RESIDENCE & CITIZENSHIP <b>Durham</b>	STATE OR FOREIGN COUNTRY <b>NC</b>	COUNTRY OF CITIZENSHIP <b>US</b>
7	POST OFFICE ADDRESS <b>GlaxoSmithKline, Inc. Five Moore Drive, PO Box 13398</b>	CITY <b>Research Triangle Park</b>	STATE & ZIP CODE/COUNTRY <b>NC 27709 US</b>
2	FAMILY NAME	FIRST GIVEN NAME	SECOND GIVEN NAME/INITIAL
0	INVENTOR'S SIGNATURE	<u>DATE:</u>	
0	RESIDENCE & CITIZENSHIP	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
7	POST OFFICE ADDRESS	CITY	STATE & ZIP CODE/COUNTRY
2	FAMILY NAME	FIRST GIVEN NAME	SECOND GIVEN NAME/INITIAL
0	INVENTOR'S SIGNATURE	<u>DATE:</u>	
0	RESIDENCE & CITIZENSHIP	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
8	POST OFFICE ADDRESS	CITY	STATE & ZIP CODE/COUNTRY

## DECLARATION FOR "371" APPLICATION

2	FULL NAME OF INVENTOR <b>PACOFSKY</b>	FAMILY NAME <b>PACOFSKY</b>	FIRST GIVEN NAME <b>Gregory</b>	SECOND GIVEN NAME/INITIAL <b>J</b>
0	INVENTOR'S SIGNATURE	<u> </u>		
0	RESIDENCE & CITIZENSHIP <b>Durham</b>	STATE OR FOREIGN COUNTRY <b>NC</b>	COUNTRY OF CITIZENSHIP <b>US</b>	
4	POST OFFICE ADDRESS <b>GlaxoSmithKline Five Moore Drive, PO Box 13398</b>	CITY <b>Research Triangle Park</b>	STATE & ZIP CODE/COUNTRY <b>NC 27709 US</b>	
2	FULL NAME OF INVENTOR <b>STAFFORD</b>	FAMILY NAME <b>STAFFORD</b>	FIRST GIVEN NAME <b>Jeffrey</b>	SECOND GIVEN NAME/INITIAL <b>A.</b>
0	INVENTOR'S SIGNATURE	<u> </u>		
0	RESIDENCE & CITIZENSHIP <b>Durham</b>	STATE OR FOREIGN COUNTRY <b>NC</b>	COUNTRY OF CITIZENSHIP <b>US</b>	
5	POST OFFICE ADDRESS <b>GlaxoSmithKline Five Moore Drive, PO Box 13398</b>	CITY <b>Research Triangle Park</b>	STATE & ZIP CODE/COUNTRY <b>NC 27709 US</b>	
2	FULL NAME OF INVENTOR <b>TIDWELL</b>	FAMILY NAME <b>TIDWELL</b>	FIRST GIVEN NAME <b>Jeffrey</b>	SECOND GIVEN NAME/INITIAL <b>H.</b>
0	INVENTOR'S SIGNATURE <i>Jeffrey H. Tidwell</i>	<u> </u>		
0	RESIDENCE & CITIZENSHIP <b>Durham</b>	STATE OR FOREIGN COUNTRY <b>NC</b>	COUNTRY OF CITIZENSHIP <b>US</b>	
6	POST OFFICE ADDRESS <b>GlaxoSmithKline, Inc. Five Moore Drive, PO Box 13398</b>	CITY <b>Research Triangle Park</b>	STATE & ZIP CODE/COUNTRY <b>NC 27709 US</b>	
2	FULL NAME OF INVENTOR	FAMILY NAME	FIRST GIVEN NAME	SECOND GIVEN NAME/INITIAL
0	INVENTOR'S SIGNATURE	<u> </u>		
0	RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
7	POST OFFICE ADDRESS	POST OFFICE ADDRESS	CITY	STATE & ZIP CODE/COUNTRY
2	FULL NAME OF INVENTOR	FAMILY NAME	FIRST GIVEN NAME	SECOND GIVEN NAME/INITIAL
0	INVENTOR'S SIGNATURE	<u> </u>		
0	RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
8	POST OFFICE ADDRESS	POST OFFICE ADDRESS	CITY	STATE & ZIP CODE/COUNTRY

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